

REPORT ON

SITE SPECIFIC ALTERNATE TO INITIATION OF CLOSURE DEADLINE FOR ASH POND 1 (CELL 001, CELL 003 AND CELL 004) THOMAS HILL ENERGY CENTER CLIFTON HILL, MISSOURI

by Haley & Aldrich, Inc. Cleveland, Ohio

for Associated Electric Cooperative, Inc. Springfield, Missouri

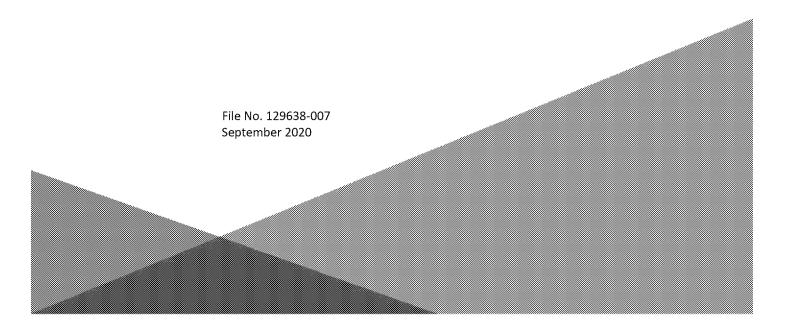


Table of Contents

				Page								
1.	Introduction											
	1.1	REGUL	ATORY REQUIREMENTS	2								
	1.2	BACKG	GROUND	3								
	1.3	CURRE	NT IMPOUNDMENT OPERATION	3								
2.	Development of Alternative Capacity											
	2.2	DEVEL	OPMENT OF ALTERNATIVE CAPACITY IS TECHNICALLY INFEASIBLE	4								
	2.2	ALTER	NATIVE CLOSURE EXTENSION WORKPLAN	5								
		2.2.1	Written Narrative	5								
		2.2.2	Detailed Schedule	7								
		2.2.3	Narrative Discussion of Schedule	. 8								
		2.2.4	Narrative Discussion of Progress	13								
3.	40 CF	R §25	7 Subpart Compliance	14								
4.	Sumi	mary o	f Actions Required During Alternative Capacity Pursuit	17								

Appendix A – No Alternative Capacity Schedule

Appendix B - Compliance Certification

Appendix C – Groundwater Wells Location Map and Flow Direction

Appendix D – Groundwater Well Diagrams and Drilling Logs

Appendix E – Table of Groundwater Constituent Concentrations

Appendix F - Stratigraphic Cross-Sections

Appendix G – Structural Stability Assessments

Appendix H - Safety Factor Assessments

1. Introduction

Haley and Aldrich, Inc. was retained by Associated Electric Cooperative, Inc. (AECI) to prepare this Site Specific Alternate to Initiate Closure Report related to a closure deadline extension for the coal combustion residual (CCR) management units identified as Cells 001, 003 and 004 at the Thomas Hill Energy Center (THEC), located near Clifton Hill, Missouri. Cells 003 and 004 triggered closure in October 2018 based on the results of location restriction demonstrations for groundwater separation under the U. S. Environmental Protection Agency's (USEPA) rule entitled *Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities*. 80 Fed. Reg. 21302 (effective 19 October 2015) and subsequent regulatory revisions (CCR Rule). Cell 001 is also required to close since the unit is considered "unlined".

AECI has actively been pursuing alternative disposal capacity for CCR generation and non-CCR wastestreams at the THEC, but is requiring extended use of Cells 001, 003 and 004 until 30 November 2021, when alternative capacity can be brought online. The USEPA recently issued a revised CCR Rule rulemaking entitled Hazardous and Solid Waste Management System: Disposal of Coal Combustion Residuals from Electric Utilities; A Holistic Approach to Closure Part A: Deadline to Initiate Closure (effective 28 September 2020) providing AECI the opportunity to continue use of the CCR units while alternative capacity is obtained. This Report documents the efforts AECI has made and continues to make to obtain alternative capacity including the schedule of activities to date and those planned moving forward.

1.1 REGULATORY REQUIREMENTS

The USEPA has published this referenced rulemaking to revise portions of the federal CCR regulations in Title 40 of the Code of Federal Regulations (CFR) Part 257 so that they accurately reflect the regulations as they now stand in light of the decision by the D.C. Circuit Court (D.C. Circuit) of Appeals in the case of Utility Solid Waste Activities Group, et al. v. EPA, 901 F.3d 414 (D.C. Circuit. 2018) (USWAG decision), on 21 August 2018. The D.C. Circuit vacated the provisions that permitted unlined impoundments to continue receiving CCR unless they leak (see 40 CFR §257.101(a)). In addition, this rulemaking addresses the 31 October 2020 deadline in §257.101(a) and (b)(1)(i), by which CCR surface impoundments must cease receipt of waste. These regulatory provisions were remanded back to EPA by the D.C. Circuit Court of Appeals for further reconsideration in light of the USWAG decision. See Waterkeeper Alliance Inc., et al. v. EPA No. 18-1289.

Specifically, as relates to this Report, USEPA is requiring a new deadline of 11 April 2021 to replace the current deadline of 31 October 2020 for CCR units to cease receipt of waste and initiate closure because the unit either (1) is an unlined or formerly "clay-lined" CCR surface impoundment (§257.101(a)) or (2) did not demonstrate compliance with the groundwater separation location restriction (§257.101(b)(1)). USEPA also revised the alternate closure provisions, §257.103(a), (b), (e), and (f). These revisions allow facilities to receive the necessary additional time to develop alternate capacity to manage plant wastestreams (both CCR and non-CCR), to cease receipt of waste, and initiate closure of a CCR surface impoundment.



1.2 BACKGROUND

AECI owns and operates a series of CCR surface impoundments at the THEC. Ash Pond 1 consists of a series of cells (referred to as Cell 001, Cell 002 East (previously closed), Cell 002 West (previously closed), Cell 003, and Cell 004) that manage (i.e., wet handle) site CCRs and CCR sluice water (primarily boiler slag from Units 1 and 2) and non-CCR solids and process waters (e.g., coal pile runoff, plant process water) with discharge through the permitted National Pollutant Discharge Elimination System (NPDES) Outfall #001 located at the outlet structure of Cell 004.

Cells 003 and 004 have been triggered for closure based under the CCR Rule groundwater separation location restriction (40 CFR §257.60) requirements. Cell 001 is also now triggered for closure based on the vacated allowance to continue operating unlined ponds which are not leaking (40 CFR §257.101(a)). Consequently, and consistent with the updated closure requirements listed in 40 CFR §257.102, AECI is required to cease placing CCR and non-CCR wastestreams into Cells 001, 003 and 004 no later than 11 April 2021 and begin closure of the impoundments unless an alternative deadline of **30 November 2021** is approved.

1.3 CURRENT IMPOUNDMENT OPERATION

Currently, CCR (primarily boiler slag) is sluiced to Cell 001 along with intermittent non-CCR flows. The majority of flows conveyed to Cells 003 and 004 consist of non-CCR wastestreams from Generating Units 1 & 2 coal pile runoff, Generating Unit 3 coal pile runoff, site stormwater runoff, coal handling equipment washdown, various wash waters, and miscellaneous low volume wastewater flows. CCRs are settled within Cell 001, removed by AECI operational staff, and hauled and placed in the existing Prairie Hill Mine for mine reclamation purposes or taken off-site for beneficial use. Discharge from Cell 001 enters a conveyance channel where it comingles with the non-CCR wastestreams and flows south, bypasses non-CCR Cells 002 East and 002 West, and into the northwest corner of Cell 003. Water then decants into Cell 004 and is ultimately discharged to the Middle Fork of the Little Chariton River from the Cell 004 outlet structure through the plant NPDES Permit Outfall 001. Cells 001, 003, and 004 are regulated as individual active CCR impoundments under the CCR Rule.



2. Development of Alternative Capacity

AECI cannot immediately cease the placement of CCR and non-CCR wastestreams into Ash Pond 1 without causing potentially significant disruptions to plant operations and overall electric grid reliability and thus the provision of electricity to their customers, as they currently lack additional capacity to manage these CCRs and non-CCR wastestreams elsewhere. This Report provides the demonstration requested by USEPA under 40 CFR §257.103(f) to explain the reasons that CCR and non-CCR wastestreams cannot at this time be managed through alternative capacity through a technically feasible scenario by 11 April 2021, the actions being taken on an ongoing basis to pursue alternative capacity, and the justification of an operational deadline extension for these CCR units. The following sections directly address the regulatory requirements of 40 CFR §257.103(f) and are formatted in a manner to allow USEPA to complete the Agency's review process of this required submittal conveniently and efficiently.

2.2 DEVELOPMENT OF ALTERNATIVE CAPACITY IS TECHNICALLY INFEASIBLE 1

40 CFR §257.103(f)(1) Development of Alternative Capacity is Technically Infeasible.

Notwithstanding the provisions of §257.101(a) and (b)(1), a CCR surface impoundment may continue to receive the waste specified in paragraph (f)(1)(ii)(A) or (B) of this section, provided the owner or operator demonstrates the wastestream(s) must continue to be managed in that CCR surface impoundment because it was technically infeasible to complete the measures necessary to obtain alternative disposal capacity on or off-site of the facility by April 11, 2021.

40 CFR §257.103(f)(1)(i) No alternative disposal capacity is available on or off-site. An increase in costs or the inconvenience of existing capacity is not sufficient to support qualification under this section;

40 CFR §257.103(f)(1)(ii)(A) For units closing pursuant to §257.101(a) and (b)(1), CCR and non-CCR wastestreams must continue to be managed in that CCR surface impoundment because it was technically infeasible to complete the measures necessary to obtain alternative disposal capacity either on or off-site of the facility by April 11, 2021.

40 CFR §257.103(f)(1)(iii) The facility is in compliance with all of the requirements of this subpart.

As mentioned previously, Cell 001 is required to close based on the revised §257.101(a) related to the Unit not meeting the liner requirements of the revised rulemaking, and Cells 003 and 004 are required to close pursuant to §257.101(b)(1). AECI maintains compliance with all other requirements of the 40 CFR §257 subpart including the maintained CCR compliance website located at https://www.aeci.org/clean/ccr. There is no technically feasible on or off-site alternative disposal capacity currently available at the THEC to manage the CCR and non-CCR flows that are discharged into Cells 001, 003, and 004. Boiler slag is generated within the plant and stored in a wet slag tank, where sluice water and other process waters are used to discharge the boiler slag into Cell 001. There is

¹ Technically Infeasible means "not possible to do in a way that would likely be successful" as defined in the 40 CFR 257 Hazardous and Solid Waste Management System: Disposal of Coal Combustion Residuals from Electric Utilities; A Holistic Approach to Closure Part A: Deadline to Initiate Closure.



currently no dry method of handling available for the boiler slag, and no other compliant CCR surface impoundment exists on-site that would provide an alternative wet handling option. Furthermore, the USEPA clearly states in the CCR Rule that "while it is possible to transport dry ash off-site to alternate disposal facility that simply is not feasible for wet-generated CCR." Therefore, AECI has no current alternative wet handling alternatives available for boiler slag or its associated sluice water prior to 11 April 2021. To state clearly in this submittal, AECI has in good faith been developing plans and has already begun the process of pursuing alternative capacity for Cell 001 including alternative handling of CCRs that are currently conveyed to the subject CCR impoundments.

For other non-CCR wastestreams (e.g., coal pile runoff, boiler wash water, plant surface water, stormwater runoff, and other low volume waste sources), Cells 003 and 004 provide settling treatment and discharge these waters through existing NPDES Outfall #001. The THEC power block and coal yards are sited on a naturally higher ground. The CCR surface impoundments were sited downstream, to allow for primarily gravity drainage, along with a few source flows pumped to inflow points allowing for a similar gravity drainage to the CCR impoundments. No alternative discharge locations are permitted for these flows and no sufficient treatment or volumetric capacity exists on-site to currently manage these flows with the current plant water management configuration. Therefore, no alternative capacity currently exists to manage the non-CCR wastestreams that Cells 003 and 004 currently manage prior to 11 April 2021. Similar to the CCR wastestreams currently flowing to Cell 001, AECI has in good faith been developing plans and has already begun the process of pursuing alternative capacity for Cells 003 and 004 to manage non-CCR wastestreams.

Efforts include significant planning, engineering evaluations, and pre-emptive implementation steps necessary to provide alternative capacity for these referenced CCR and non-CCR wastestreams to allow for initiating closure of CCR surface impoundments Cells 001, 003 and 004. The planned alternative capacity projects will provide the capacity needed in an implementable timeframe which falls within the USEPA identified reasonable timeframes for similar projects involving multiple technologies of between 36 and 55 months including cessation of some individual wastestreams well in advance of the reasonable timeframes. If required to immediately cease placement of CCR and non-CCR wastes into the surface impoundments, AECI would have to cease power production and there would be significant risks to grid reliability associated with the shut-down, as well as other notable adverse consequences that would arise if customers were left without power for an extended period of time. The schedule provided in **Appendix A** of this submittal (i.e., for the conversion to alternative disposal capacity for Cells 001, 003, and 004 for wet CCRs and non-CCR flows) is reasonable and defensible, and allows for the streamlined management of power grid reliability and power provision to AECI's rural constituency.

2.2 ALTERNATIVE CLOSURE EXTENSION WORKPLAN

2.2.1 Written Narrative

40 CFR §257.103(f)(1)(iv)(A) To demonstrate that the criteria in paragraphs (f)(1)(i) and (ii) of this section have been met the owner or operator must submit a workplan that contains all of the following elements:

40 CFR §257.103(f)(1)(iv)(A)(1) A written narrative discussing the options considered both on and off-site to obtain alternative capacity for each CCR and/or non-CCR wastestreams, the technical infeasibility of obtaining alternative capacity prior to April 11, 2021, and the option



selected and justification for the alternative capacity selected. The narrative must also include all of the following:

- (i) An in-depth analysis of the site and any site-specific conditions that led to the decision to select the alternative capacity being developed;
- (ii) An analysis of the adverse impact to plant operations if the CCR surface impoundment in question were to no longer be available for use; and
- (iii) A detailed explanation and justification for the amount of time being requested and how it is the fastest technically feasible time to complete the development of the alternative capacity;

Due to the significant quantity of process water sources currently flowing into Cells 001, 003, and 004, AECI – through the use of internal feasibility studies – has considered multiple technologies to develop alternative capacity for both the CCR and non-CCR wastestreams. Off-site alternatives are not feasible since the CCR and non-CCR wastestreams consist of wet wastestreams that are incapable of being managed off-site. For CCR wastestreams, AECI evaluated conversion to dry handling consisting of a remote drag chain conveyor system, a wastewater treatment facility consisting of a concrete dewatering tank, and a reconfiguration of an existing unlined CCR surface impoundment. Alternatives analyses were completed for these technologies and based on existing plant operations, use of existing infrastructure, and a shortened construction timeframe, AECI has selected a concrete dewatering tank as the alternative capacity.

Similarly, AECI completed an alternatives analysis of non-CCR surface impoundment options to manage coal pile runoff and other low-volume wastewater flows. Coal-pile runoff and related stormwater runoff includes over 80 acres of watershed drainage area needing treatment. Based on the evaluations, AECI determined that reconfiguring of existing non-CCR surface impoundments for the purpose of new capacity is the preferred option. This reconfiguration requires design of existing non-CCR wastewater conveyance systems, and earthwork construction including berm construction, grading, installation of a liner system, construction of access roads and ramps, development of sediment removal access, and NPDES permit alterations with a new permitted outfall. Additional site-specific conditions were previously discussed in Section 2.1.

To accomplish this overall system reconfiguration, the multiple technology system requires significant evaluations of water mass balance, solids loading, geotechnical investigations and analyses, water chemistry analyses, surface water sampling and analysis, and overall system operation impacts. For both technologies being considered, the phases to complete the conversion include a planning, design and engineering phase, procurement and contractor bid phase, fabrication, and delivery of new equipment phase, and lastly, a construction and start-up phase. The timeframes for each of these phases are dependent on the site-specific circumstances and the integration of individual technologies into the master reconfiguration schedule. AECI has made notable efforts and progress associated with the planning and engineering evaluation in the pursuit of a variety of potential alternative technologies using a systematic process that allows for some steps to be completed in parallel while also managing the iterative nature of multiple component design.

AECI understands the need to develop alternative capacity in the fastest reasonable time possible. If required to immediately cease placement of CCR and non-CCR wastes into the surface impoundments, AECI would have to cease power production and there would be significant risks to grid reliability associated with the shut-down, as well as other notable adverse consequences that would arise if



customers were left without power for an extended period of time. The plant relies on the existing CCR impoundments to manage not only generated CCR but also the multiple non-CCR wastestreams previously discussed. Based on discussions with state regulators, no alternative NPDES discharge outfalls would be able to manage the current flows without significant development of alternate impoundments or systems and the entire NPDES permitting process associated with those. Those alternates would not be available in a shorter period of time.

AECI selected these technologies as a means of developing alternative capacity in the shortest period of time associated with the use of existing infrastructure (e.g. internal pump systems and sluice piping, existing embankments, and channels/conduits) and more available systems. Mechanical systems in place of the proposed concrete dewatering tank had longer lead times for design, procurement, manufacturing, shipping, and installation in addition to other internal plant reconfiguration needs to develop space to construct the overall slag handling system. AECI also selected an Engineering-Procurement-Construction (EPC) contractor for the concrete dewatering tank (CDT) to allow for an expedited design-construction relationship. AECI is actively constructing the multiple technologies but will need additional time beyond the April 11, 2021 date to allow for adequate start-up of the CDT related to recirculation systems and overall plant chemistry. In addition, AECI is evaluating the EPA's Steam Electric Effluent Limitations Guidelines (ELG) regulations revisions dated 31 August 2020 to further define allowable blowdown discharges from the CDT and to determine if any alterations to that system design are required. This ELG impact, along with internal plant recirculation revisions, are significant impacts to the need for an extension to fully make the system operational. To allow for additional start-up time, AECI is requesting an approval of closure extension for Cells 001, 003, and 004 until 30 November 2021 which is reasonable and technically feasible to install and operate a fully functional multi-technology system at this site.

2.2.2 Detailed Schedule

40 CFR §257.103(f)(1)(iv)(A)(2) A detailed schedule of the fastest technically feasible time to complete the measures necessary for alternative capacity to be available including a visual timeline representation. The visual timeline must clearly show all of the following:

- (i) How each phase and the steps within that phase interact with or are dependent on each other and the other phases;
- (ii) All of the steps and phases that can be completed concurrently;
- (iii) The total time needed to obtain the alternative capacity and how long each phase and step within each phase will take: and
- (iv) At a minimum, the following phases: engineering and design, contractor selection, equipment fabrication and delivery, construction, and start up and implementation.;

A project schedule depicting the necessary and reasonable sequence and timing of the steps required to obtain the alternate capacity is provided as **Appendix A** and discussed in the narrative description that follows in this section. Steps are shown that are being completed in parallel to expedite the overall timeframe for completion.



2.2.3 Narrative Discussion of Schedule

40 CFR §257.103(f)(1)(iv)(A)(3) A narrative discussion of the schedule and visual timeline representation, which must discuss all of the following:

- (i) Why the length of time for each phase and step is needed and a discussion of the tasks that occur during each step;
- (ii) Why each phase and step shown on the chart must happen in the order it is occurring;
- (iii) The tasks that occur during each of the steps within the phase: and
- (iv) Anticipated worker schedules; and

2.2.3.1 Wastewater Treatment Facility

To address the cessation of using Cell 001, as required by the federal CCR Rule, a new CDT will be constructed at the THEC to manage CCR wastestreams (specifically boiler slag). The critical tasks necessary to implement this project, along with an estimated and approximate timeframe for completing those tasks, is provided below.

Planning / Alternatives Analysis

An alternatives analysis was completed that evaluated multiple technologies for boiler slag handling. The planning and evaluation phase took approximately eight (8) months including water mass balance assessments, surface water sampling and analysis, surveying, and preliminary layout work.

Engineering Design and Data Collection

The engineering and design phase will take approximately thirteen (13) months from the decision on the preferred alternate to complete and includes engineering and design of the tank and equipment, survey/geotechnical/water chemistry data collection, structural design, process equipment improvements in the plant/piping, site grading plans, stormwater management controls, and access to the concrete dewatering tank. Flocculant/ coagulant injection is also being evaluated. To further explain, AECI began evaluations of the tank and equipment design and determined what supplemental investigations and data collection were determined necessary. The structural design of the tank was dependent on the geotechnical field investigation results and proceeded following completion of the investigation and associated data summary report. In parallel, the water chemistry analysis was being completed to determine appropriate equipment, flocculant/coagulant types and ratios for treatment, and associated resultant effluent expectations. This analysis was further used to determine in-plant alterations necessary to re-route the closed loop system back into the plant for long-term operations. In addition, expected CDT operations for material removal, dewatering pads, and staging areas were considered to manage the solids settled. In areas where design elements could proceed in parallel, efforts have been made to do so. The iterative design process was necessary to ensure that the structural, mechanical, chemical, and operational aspects of the overall performance needs were met.

Air Permitting / NPDES Permit Modification

AECI is actively pursuing air permitting alterations to support construction activities. As discussed further in the non-CCR surface impoundment work, NPDES permit alterations are also being completed.



For Missouri Department of Natural Resources (MDNR) permitting, the design must be at a level of substantial completion that the design engineer is able to provide sealed documents to MDNR, forcing these actions to move in series. These permits may take up to six (6) months to complete including operational approvals. Specific to NPDES related permit modifications, AECI is actively negotiating permit modifications that are dependent on ELG regulatory changes that are not yet finalized and will have impacts to the CDT final design and operation, including potential changes to purging and blowdown from the CDT to manage water chemistry.

Bidding and Contractor Selection

Consistent with AECI internal mandates and in the pursuit of the most cost-competitive pricing², AECI obtained multiple competitive bids for the design, site/civil construction, concrete work, and wastewater treatment systems and equipment (i.e., design-build contract by an EPC contractor). A contractor bidding package and procurement documents were developed, and the completed bid package was issued by AECI for bid. Following bid issuance and prior to contractor selection, substantial time was needed for activities including, but not limited to, pre-bid meetings, contractor document review, clarifications, bid submittals, and contractor interviews. This phase required approximately three (3) months to complete.

Procurement

AECI's procurement process includes contractor selection justification and submittals to the internal management, followed by the AECI Board of Directors' packaged submittals. Board of Director meetings occur, in general, on a monthly basis. Following confirmation of the selected bidder, a purchase order was issued. This phase of the project timeline required approximately three (3) months to complete.

Construction Activities

The approximate time to complete construction for the concrete dewatering tank is approximately sixteen (16) months. This timeframe includes the site excavation, dewatering, site grading, tank foundation, concrete framing, pouring, and finishing, utilities and mechanical controls, mass grading, access roads, and piping. Construction is currently underway and includes completion of parallel tasks as possible. The total time includes the potential delays due to weather, equipment lead time and freight, regulatory changes, and supplier issues. There is the potential for a completion sooner than the total timeframe if those delays do not actually occur.

Weather is another significant factor that has impacted timing considerations for this project. Of primary impact is wet weather in late summer and fall months that reduced productivity and required more substantial unwatering efforts. Seasonal changes can be planned for, though severe or off-season weather events cannot be controlled and can substantially affect project timing. AECI has already been delayed for over 20 rain delay days since construction started in May 2020. Construction work that

² AECI is a rural cooperative which is a member-owned, member-governed entity that finds its strength in its mission to provide an economical, reliable power supply and support services to members. AECI has the responsibility to produce clean, affordable, and reliable electricity that as a core value and principle pursues the lowest cost energy production for their rural electrical users. More than 48 percent of those rural customers have annual incomes less than \$75,000 and 60 percent of surveyed members are age 55 or older. See also section entitled "Reliability and Outage Timing" in this document for additional information on AECI's maintenance needs and overall outage programs of the multiple generating facilities in AECI's fleet.



involves ground excavation, soil compaction, or filling or pouring concrete will be limited or impractical to perform during winter months (i.e., between late November and April).

Reliability and Outage Timing

To manage the maintenance needs of the multiple generating facilities, AECI continually develops the schedule for maintenance outages for all three (3) units at the THEC in conjunction with the overall outage program across the generation fleet. A long-range outage plan, consisting of outages for all five (5) coal combustion generator assets at two separate locations, determines the maintenance activities years in advance. The types of maintenance activities range from normal short-term cleaning activities to periodic longer-duration component overhauls. Scheduling when these outages can take place is dependent on the ability to properly provide load to member owners and grid reliability/stability, which are essential. For that reason, AECI limits the outage sequencing so that major generation assets are not offline together for a significant length of time. Another step that is taken to ensure load capacity to member owners and maintain grid reliability is to conduct outage activities in the fall and spring, avoiding the high demand periods of the summer and winter.

The conversion to a concrete dewatering tank at THEC will require approximately one (1) month of outage for both units to switch over the sluice lines to the concrete dewatering tank. Adding separate outages for the conversion to the concrete dewatering tank would require those outages to be in peak generation periods and have a severe, negative impact to grid reliability and significantly impact AECI's ability to provide electricity to member owners.

Startup and Operational Transition

Startup will include use of the new piping and optimization of the chemical precipitate system operation, boiler slag removal operations, and return flows back to the plant. This process may take up to seven (7) months to complete. Recirculating water to the plant and achieving an overall water chemistry and temperature balance will be key to both plant and CDT operations. Also, AECI will need to implement any additional ELG regulations to meet allowable blowdown quantities and water chemistry and temperature, along with other plant activities such as boiler washes. These ELG considerations including impacts to NPDES permit allowances may further delay the ability to commission the system. Since EPA just issued the 2020 Reconsideration Rule on 31 August 2020, AECI is evaluating any associated impacts from that rulemaking as relates the CCR management and associated wastestreams. AECI anticipates that there may be potential impacts requiring alteration or redesign to certain components or system operations.

In addition, AECI maintains active beneficial use contracts with third-party vendors that allows for the off-site beneficial use of CCR materials instead of placement in the on-site mine reclamation. The concrete tank project will involve constructing infrastructure that allows for the continued beneficial use practice. Once the system is operational, AECI will need to coordinate with the beneficial use contractors to determine access to new facilities, processing of materials, and subsequent conveyance off-site.

2.2.3.2 Reconfiguration of Existing Non-CCR Surface Impoundments

AECI evaluated operational improvement options to cease the discharge of non-CCR flows into Cells 003 and 004 and still comply with the plant's NPDES permit and CCR Rule requirements. The range of



options considered include upstream source improvements/ reductions (e.g., coal pile runoff) and midstream management controls (e.g., reconfigured surface impoundments) to manage total suspended solids (TSS) loading and discharge routes in support of the objective to provide AECI with various options to consider as part of their overall long-term planning effort.

Planning / Alternatives Analysis

An alternatives analysis was completed that identified and developed supporting documentation for various upstream options (i.e., coal yard improvements) and Cell 002 East and 002 West reconfiguration with new outfall locations for consideration of near-term and long-term non-CCR management flows that currently discharge through Cells 003 and 004. Upstream options are focused on areas and/or sources of discharge (e.g., coal piles) that contribute flow into the current Cells 003 and 004 impoundments. Evaluations of operational impacts to coal yard management, pump station operations, and solids management were also considered. The planning and evaluation phase took approximately nine (9) months including water mass balance, surface water sampling and analysis, survey, and preliminary layout work.

Engineering Design and Data Collection

The engineering and design phase took approximately thirteen (13) months to complete. The engineering phase included engineering and design of the impoundment reconfiguration, geotechnical/geologic/ hydrogeologic investigations including laboratory testing, soil borrow source evaluation, impoundment liner systems, stormwater runoff modeling, process water runoff, coordination with the concrete dewatering tank design, access to settling basins for sediment removal, dewatering pad, channel linings, and conduit/piping. Supplemental flocculant/ coagulant injection was also evaluated. The basin design is critical to determine that there is proper residence time and the construction materials selected are compatible with the water chemistry of the non-CCR waste streams. The residence time is the necessary time for any reactions or settling to be completed before the wastewater discharged.

NPDES Operating Permit Modification

The existing NPDES permit requires compliance with pH, TSS, and oil and grease concentrations from Outfall #001 (located at the outlet structure of Cell 004). The primary loading of non-CCR TSS concentrations is related to coal pile runoff (i.e., coal fines that are conveyed in the sediment-laden water). To meet the TSS discharge requirements, reductions in TSS loading at the coal piles, considerations for alternate discharge locations, and options for reconfigured impoundments were evaluated to support NPDES permitting modifications. AECI is actively working with the MDNR to complete modification to the existing NPDES operating permit to allow for discharge from the reconfigured non-CCR surface impoundment. This process was estimated to require up to six (6) months to complete but has experienced numerous review interactions and resubmittals in the review and approval of these projects, even with AECI applying for the necessary modifications in parts to attempt to expedite the process.

NPDES Construction Permit

AECI was also required to obtain a NPDES related construction permit from MDNR to allow for alteration of the existing impoundments and allow for operation and discharge from the reconfigured



impoundments. MDNR determined the proposed work was a major modification to the existing basis, and therefore required a submittal, review, and response period to allow for construction activities to commence. This delayed construction start by approximately one (1) month and added additional requirements beyond original design plans.

Bidding and Contractor Selection

Following the completion of the engineering and design phase, the design drawings and contract documents were released for competitive bid. Following bid issuance and prior to contractor selection, substantial time was needed for activities including, but not limited to, pre-bid meetings, contractor document review, clarifications, bid submittals, and contractor interviews. The bidding and contractor selection process required approximately three (3) months to complete.

Procurement

As stated previously, AECI's procurement process includes contractor selection justification and submittals to the internal management, followed by the AECI Board of Directors' packaged submittals. Board of Director meetings occur, in general, on a monthly basis. Assuming confirmation of the selected bidder, a purchase order will then be issued. This phase of the project timeline required approximately three (3) months to complete.

Construction Activities

The approximate time to complete construction for the reconfigured non-CCR surface impoundments (i.e., Cell 002 West and 002 East) is estimated to take approximately sixteen (16) months if not completed prior to onset of winter months. This timeframe includes the unwatering of the impoundments, soil borrow import, subgrade development, liner installations, protective cover installations, access layer (concrete/aggregate) installation, berm construction, access roads, channel lining, and conduits/piping. The total time includes the potential delays due to weather, equipment lead time and freight, regulatory changes, and supplier issues. There is the potential for a completion sooner than the total timeframe if those delays do not actually occur.

As stated previously, weather is another significant factor that has already impacted timing considerations for this project. Of primary impact are wet weather in late summer and fall months that will reduce productivity and require more substantial unwatering efforts. Seasonal changes can be planned for, though severe or off-season weather events — as those experienced — cannot be controlled and can substantially affect project timing. Construction work that involves ground excavation, soil compaction, or filling or pouring concrete will be limited or impractical to be performed during winter months (i.e., between late November and April). AECI has already been delayed for approximately twenty (20) rain delay days in the months of June, July, and August 2020.

Startup and Operational Transition

Following construction, AECI will need to introduce flows, commence operational activities, and evaluate discharges for a period of up to three (3) months to confirm that NPDES permitted limits are being met. Alterations to system operation may be required, and sediment removal activities will need to be optimized. Once proper suspended solids settling times are achieved, the reconfigured non-CCR surface impoundment will be considered fully operational.



2.2.3.3 Anticipated Worker Schedules

During construction of the CDT, the anticipated worker schedules consists of straight time 40 hour weeks. During construction of the impoundment reconfiguration, the anticipated worker schedules consists of five (5) days per week, working approximately eight to ten hours per day. If weather days are encountered, a weekend day may be worked to attempt to make up for lost construction days.

2.2.4 Narrative Discussion of Progress

40 CFR §257.103(f)(1)(iv)(A)(4) A narrative discussion of the progress the owner or operator has made to obtain alternative capacity for the CCR and/or non-CCR wastestreams. The narrative must discuss all the steps taken, starting from when the owner or operator initiated the design phase up to the steps occurring when the demonstration is compiled. It must discuss where the facility currently is on the timeline and the efforts that are currently being undertaken to develop alternative capacity.

AECI began the planning process to identify and evaluate alternatives for capacity upon the determination that location restriction demonstrations were not successful for Cells 003 and 004. Since that time, feasibility studies of alternatives for CCR and non-CCR flows have been evaluated and water mass balance evaluations, surface water sampling and analyses, geotechnical investigations, engineering design, overall system planning activities, and bidding and procurement have been completed. As referenced previously, EPA issued the 2020 Reconsideration Rule on 31 August 2020. AECI continues to evaluate any associated impacts from that rulemaking as relates the CCR management and associated wastestreams. AECI anticipates that there may be potential impacts requiring alteration or redesign to certain components or system operations, in particular to changes need to be made to the current concrete dewatering tank and operating plan.

Construction activities for the concrete dewatering tank commenced in Spring 2020. To date, construction has been completed for the CDT foundation, the forming and rebar construction of the CDT, associated concrete pours, foundation development for the conveyance piping to and from the plant. AECI has procured the chemical treatment system and pumping system that will be used to support the operation of the CDT as well.

AECI pursued and obtained MDNR construction permits for the reconfigured impoundments in June 2020 and commenced construction thereafter. Construction completed to date includes AECI confirming suitable borrow soils, development of the proposed subgrade grades in the basins, installation of clay liner material, preparation for the geomembrane installation, procurement of chemical treatment system, installation of concrete outlet structures, installation of diversion walls, and forebay berms, procurement of baffles and channel lining materials. AECI is also actively pursuing modifications to the NPDES operating permit to discharge from the new and reconfigured facilities.



3. 40 CFR §257 Subpart Compliance

The THEC surface impoundments are in, and will remain in, compliance with all other CCR Rule requirements described under 40 CFR Part §257. AECI's CCR compliance website, located at https://www.aeci.org/clean/ccr, contains all the necessary notification postings including, but not limited to, Locations Restrictions, Annual CCR Unit Inspections, Annual Groundwater Monitoring, and Corrective Action Reports. AECI has completed an internal review of the website and the CCR units' Operating Record and has confirmed that necessary postings have been made in accordance with 40 CFR §257.105, §257.106, and §257.107.

40 CFR §257.103(f)(1)(iv)(B) To demonstrate that the criteria in paragraph (f)(1)(iii) of this section have been met, the owner or operator must submit all of the following:

(1) A certification signed by the owner or operator that the facility is in compliance with all of the requirements of this subpart;

The required certification is provided in **Appendix B**.

- (2) Visual representation of hydrogeologic information at and around the CCR unit(s) that supports the design, construction and installation of the groundwater monitoring system. This includes the following:
 - (i) Map(s) of the monitoring well locations in relation to the CCR unit(s);
 - (ii) Well construction diagrams and drilling logs for all groundwater monitoring wells; and
 - (iii) Maps that characterize the direction of groundwater flow accounting for seasonal variations;

The required map showing monitoring well locations with flow direction are provided in **Appendix C**. Well construction diagrams and drilling logs are provided in **Appendix D**.

(3) Constituent concentrations, summarized in table form, at each groundwater monitoring well monitored during each sampling event;

The required table of constituent concentrations is provided in **Appendix E**.

(4) A description of site hydrogeology including stratigraphic cross-sections;

The THEC plant site and Ash Pond System are located in the eastern portion of the Western Interior Coal Province of the Central Lowlands physiographic province. The Central Lowland is characterized by horizontal sequences of predominantly marine sedimentary rocks that span more than 400 million years of deposition from the Paleozoic and Mesozoic eras. Several of the sedimentary formations of the Central Lowland constitute regional scale hydrogeologic units with widely variable groundwater production and groundwater quality characteristics.

The land surface is mostly comprised of rolling hills with land-surface elevations ranging from 750 feet to 650 feet. Hilltops are generally flat to gently rounded as a result of Pleistocene glacial activity. A thick



mantle of Pleistocene age glacial drift material is present in the hill and through the area, which ranges in thickness from approximately 50 to 100 feet thick. In isolated areas, this glacial till is capped by a veneer of Wisconsan age wind-blown loess as thick as 10 feet. Pennsylvanian age strata underlie the glacial deposits which are in turn underlain by Mississippian age formations.

Geologic units that underlie the THEC Ash Pond System are principally horizontal with a slight regional dip northwest about 2 to 3 feet per mile. In order from ground surface downward, the THEC Ash Pond System is underlain by the Lagonda, Bevier, Verdigris, Croweburg, and Fleming formations. Each of these formations is described below.

Aquifers in Northern Missouri are typically classified in two groups: unconsolidated aquifers in glacial drift and alluvium or consolidated or bedrock aquifers. The unconsolidated aquifers are an important source of groundwater in the area, while shallow consolidated aquifers yield small supplies of moderately mineralized water and may be derived in part from underlying Pennsylvanian formations. Groundwater in the unconsolidated aquifers tend to be perched above the low permeability materials such as clay and paleosols in the glacial drift or in buried glacial drift channel deposits. Locally, there is no use of groundwater downgradient of the AECI site (i.e., between the subject CCR Units Cells 001, 003, and 004 and the Middle Fork of the Little Chariton River). Furthermore, the groundwater is unlikely to pose an exposure concern in groundwater or the Middle Fork of the Chariton River due to a lack of receptors.

The Pleistocene soils and Verdigris formation are reported to contain small quantities of groundwater and is generally incapable of producing sufficient water to support large-scale production wells. The underlying regional formations are predominately fine-grained marine shales which constitute low productivity aquifers. The overlying glacial till has a very high clay content (usually greater than 35 percent) and a very low sand content (usually less than 15 percent). Vertical groundwater movement is also impeded by the continuous underclays which lie beneath the coal seams throughout the region.

The Mississippian bedrock aquifer lies beneath the THEC site, extends throughout the region, and is a significant source of groundwater production. The United States Geological Survey (1983) reports that water yield is generally greater in carbonate rocks where fracture intensity is greatest. Wells that are completed within this aquifer will generally yield useable quantities of good quality water.

Stratigraphic cross-sections are provided in Appendix F.

- (5) Any corrective measures assessment conducted as required at §257.96;
- (6) Any progress reports on corrective action remedy selection and design and the report of final remedy selection required at §257.97(a);

The multi-unit groundwater monitoring program which includes Cells 001, 003, and 004 remains in detection monitoring and is not required to complete the corrective measures references above.

(7) The most recent structural stability assessment required at §257.73(d);

The most recent versions of the structural stability assessments are provided in Appendix G.



(8) The most recent safety factor assessment required at §257.73(e);

The most recent versions of the safety factor assessments are provided in **Appendix H**.



4. Summary of Actions Required During Alternative Capacity Pursuit

40 CFR §257.103(f)(1)(vii) An owner or operator may seek additional time beyond the time granted in the initial approval by making the showing in paragraph (f)(1)(i) through (iv) of this section, provided that no facility may be granted time to operate the impoundment beyond the maximum allowable time frames provided in §257.103(f)(1)(vi).

40 CFR §257.103(f)(1)(vi)(A) Except as provided by paragraph (f)(1)(vi)(B) of this section, no later than October 15, 2023.

AECI has demonstrated that additional time is necessary to complete the alternative capacity construction projects and obtain fully functional operational usage of the alternative capacity. AECI foresees that the projects will be completed, or key activities within the master project schedule will occur by **30 November 2021** to obtain alternative capacity and allow for AECI to cease use of Cells 001, 003, and 004.

40 CFR §257.103(f)(1)(x) The owner or operator must prepare semi-annual progress reports. The semi-annual progress reports must contain all of the following elements:

- (A) Discussion of the progress made to date in obtaining alternative capacity, including:
 - (1) Discussion of the current stage of obtaining the capacity in refence to the timeline required under paragraph (f)(1)(iv)(A) of this section;
 - (2) Discussion of whether the owner or operator is on schedule for obtaining alternative capacity;
 - (3) If the owner or operator is not on or ahead of schedule for obtaining alternative capacity, the following must be included:
 - (i) Discussion of any problems encountered, and a description of the actions taken or planned to resolve the problems and get back on schedule; and (ii) Discussion of the goals for the next six months and major milestones to be achieved for obtaining alternative capacity; and
- (B) Discussion of any planned operational changes at the facility.
- (xi) The progress reports are to be completed according to the following schedule:
 - (A) The semi-annual progress reports are to be prepared no later than April 30 and October 31 of each year for the duration of the alternate cease receipt of waste deadline.
 - (B) The first semi-annual progress report must be prepared by whichever date, April 30 or October 31, is soonest after receiving approval from the Administrator or the Participating State Director; and
 - (C) The owner or operator has completed the progress reports specified in paragraph (f)(1)(x) of this section when the reports are placed in the facility's operating record as required by § 257.105(i)(17).

AECI will complete the semi-annual progress reports in accordance with the CCR Rule.



APPENDIX A

No Alternative Capacity Schedule

Deadline to Initiate Closure CCR and Non-CCR Alternative Capacity Extensions

Note: EPA Timeframes taken from Final Rule for Holistic Approach to Closure Part A 2018 2022 2023 2019 2020 2021 **EPA Estimated Multiple Technology Systems** - Short Timeframe (approx. 36 months) EPA Estimated Multiple Technology Systems - Long Timeframe (approx. 55 months) Construct Alternate Boiler Slag Handling (Concrete **Dewatering Tank)** EPA Estimated Wastewater Treatment Facility - Short Timeframe (approx. 18.5 months) **EPA Estimated Wastewater Treatment Facility** - Long Timeframe (approx. 26 months) Procurement - Planning Study Planning / Alternatives Analysis Preliminary Engineering Design with Data Collection, Survey, Geotechnical Analysis, Surface Water Analysis Bid Letter & Contractor Selection - Engineering, Procurement, Construction Procurement - Engineering, Procurement, Construction Engineering Design with Data Collection, Process Water Analysis Air Permitting / NPDES Permitting **Construction Activities** Startup and Operational Transitioning Construct New Coal Pile Runoff Pond and Other **Process Water Ponds** EPA Estimated Non-CCR Wastestream Basins - Short Timeframe (approx. 18 months) **EPA Estimated Non-CCR Wastestream Basins** - Long Timeframe (approx. 29 months) Planning / Alternatives Analysis Engineering Design with Data Collection, Survey, Geotechnical Analysis, Surface Water Analysis NPDES Permit Modification Bid Letter & Contractor Selection



Construction Activities

Startup and Operational Transitioning

Procurement

1 of 1 09/28/2020

APPENDIX B

Compliance Certification

29 September 2020

SUBJECT:

Site-specific Alternative Deadline to Initiate Closure of CCR Surface Impoundment

Certification of Facility Compliance with 40 CFR 257

Cell 001, Cell 003, and Cell 004

Thomas Hill Energy Center - Clifton Hill, Missouri

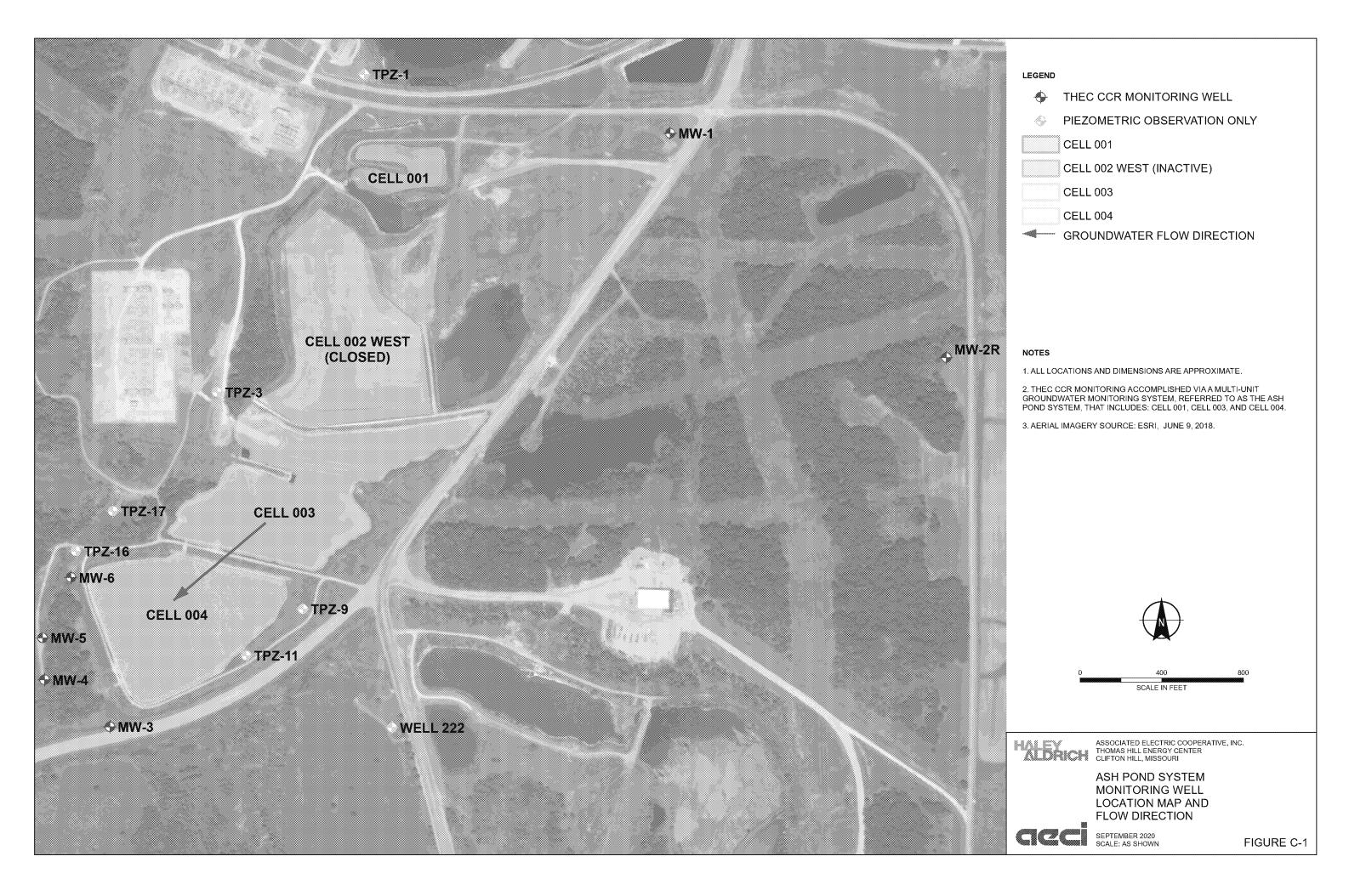
Associated Electric Cooperative, Inc.

Associated Electric Cooperative, Inc. (AECI) operates the existing coal-fired power plant known as the Thomas Hill Energy Center (THEC, facility) located near Clifton Hill, Missouri. AECI operates the coal combustion residuals (CCR) management units referred to as Cell 001, Cell 003, and Cell 004 at the THEC. These CCR units are required to cease receiving CCR and non-CCR wastestreams and commence closure. In support of a closure extension, this document addresses the requirements of 40 CFR §257.103(f)(1)(iv)(B)(1) of the U.S. Environmental Protection Agency (USEPA) Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities, Code of Federal Regulations Title 40 CFR (40 CFR) Part 257 (CCR Rule) effective 19 October 2015, and subsequent rulemaking revisions. AECI has actively managed and completed necessary compliance activities to meet the requirements of the CCR Rule.

I, KENNETH S. WILMOT, being a qualified representative of Associated Electric Cooperative, Inc., do hereby certify, to the best of my knowledge, information, and belief, that the Thomas Hill Energy Center facility is in compliance with all of the requirements of the 40 CFR 257 subpart applicable to the facility. This certification is being prepared to meet the requirement of 40 CFR §257.103(f)(1)(iv)(B)(1).

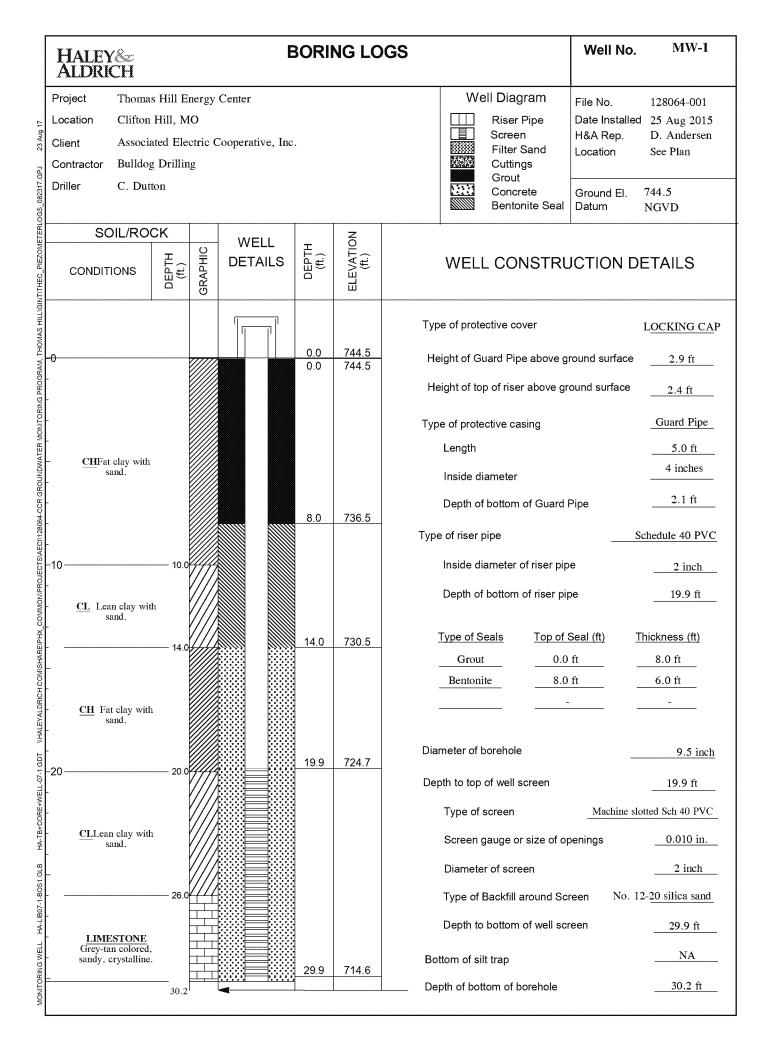
Title:

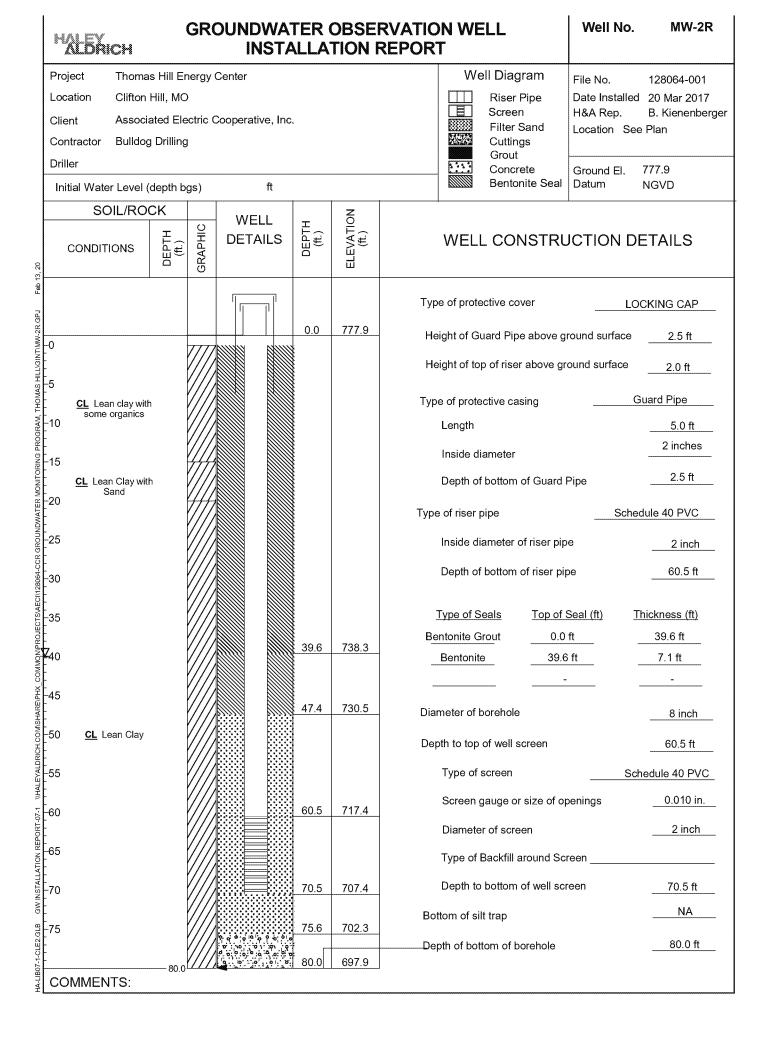
APPENDIX C
Groundwater Wells Location Map and Flow Direction

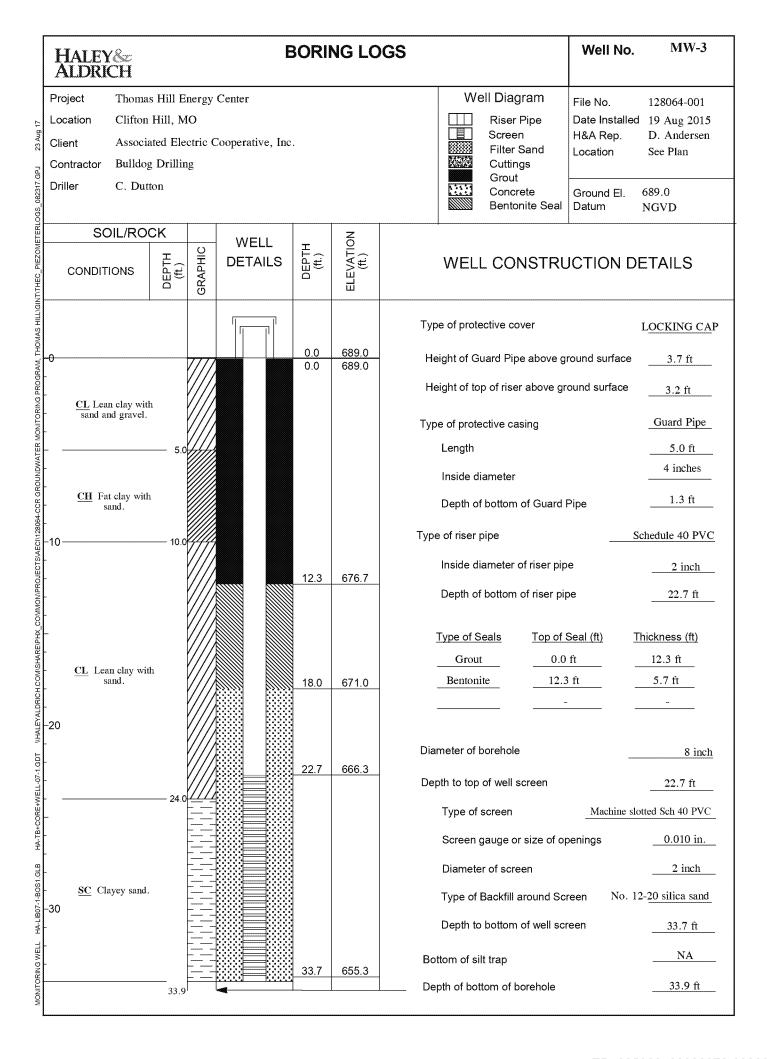


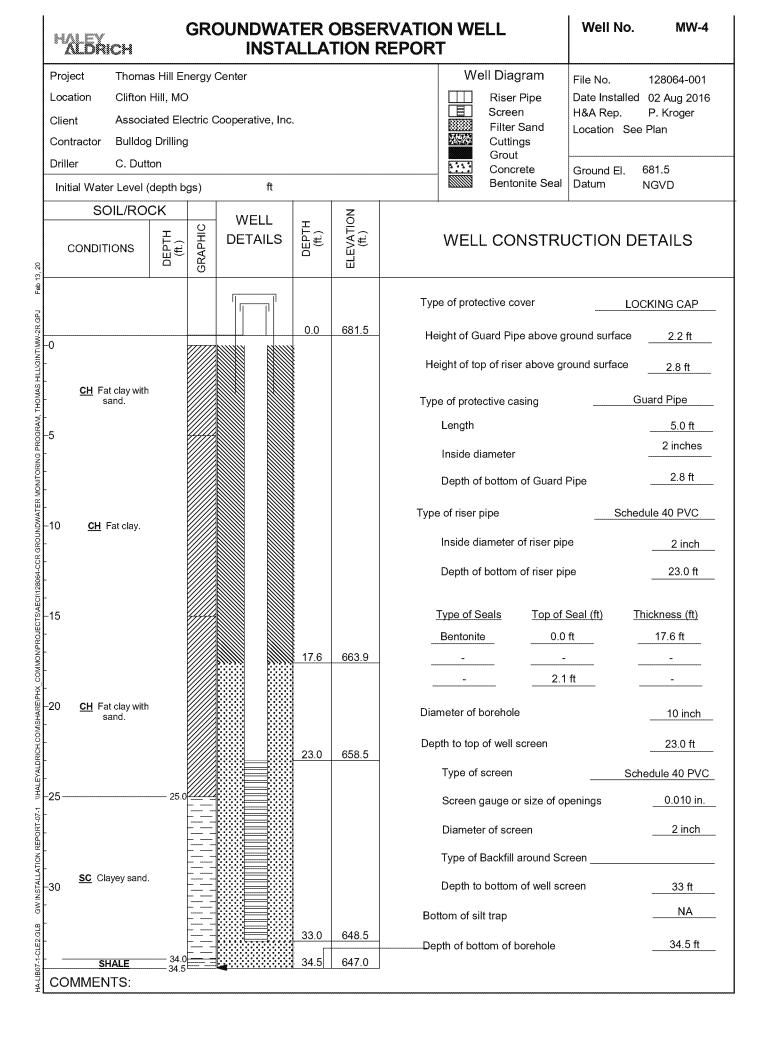
APPENDIX D

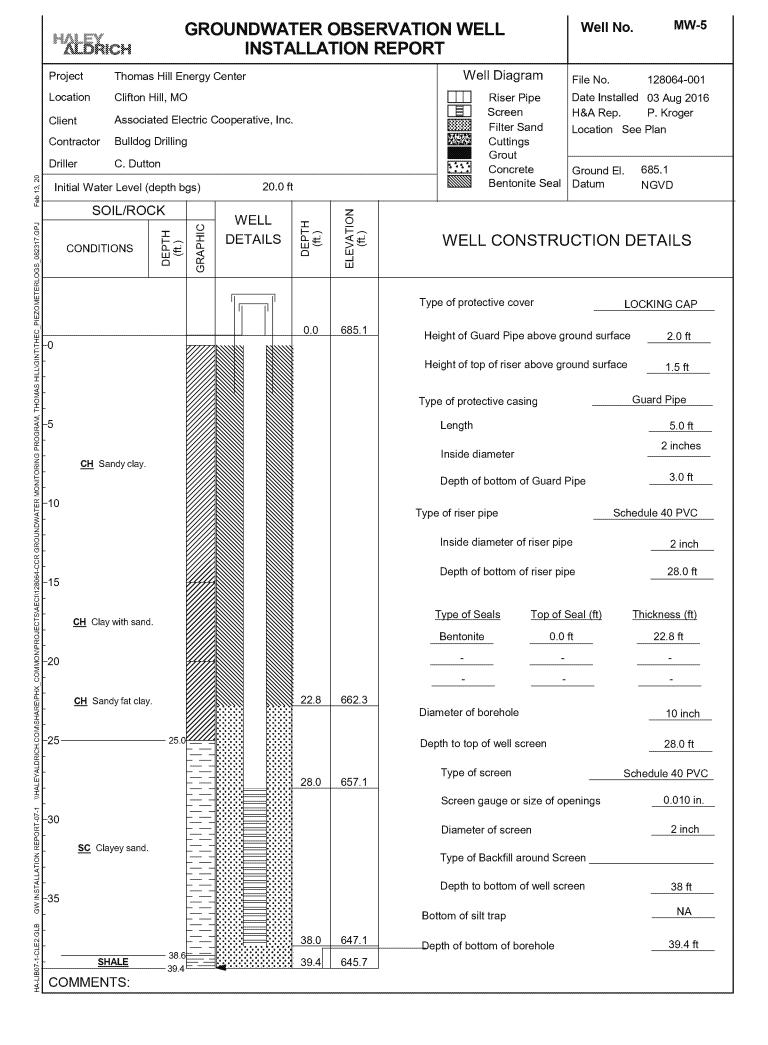
Groundwater Well Diagrams and Drilling Logs











HALEY® ALDRICH		E	BORII	NG LOG	S	Well No. MW-6					
Location Clifton Client Associa Contractor Bulldon	Hill Energy Hill, MO ted Electric O Drilling	Riser Pipe Screen H8 Filter Sand Cuttings Grout Concrete Grown	e No. 128064-001 Ite Installed 25 Aug 2015 IAA Rep. D. Andersen Cation See Plan Tound El. 702.7 Installed 25 Aug 2015 Installed 25 Aug 2015 Installed 27								
SOIL/ROC CONDITIONS	DEPTH X (ft.) GRAPHIC	- WELL DETAILS	DEPTH (ft.)	ELEVATION (ft.)	WELL CONSTRUCTION DETAILS						
SOIL/ROC CONDITIONS O CH Fat clay with sand.			8.0	694.7	Type of protective cover Height of Guard Pipe above ground Height of top of riser above ground Type of protective casing Length Inside diameter Depth of bottom of Guard Pipe Type of riser pipe Inside diameter of riser pipe Depth of bottom of riser pipe	d surface 2.4 ft Guard Pipe 5.0 ft 4 inches					
10 <u>CH</u> Fat clay with sand.			13.1	689.5	Type of Seals Bentonite 0.0 ft Diameter of borehole Depth to top of well screen Type of screen	9.5 inch 13.1 ft Machine slotted Sch 40 PVC					
GC Clayey gravel with sand. Rounder quartzose river gravel and sub-angular			23.1 23.4	679.5 679.3	Screen gauge or size of opening Diameter of screen Type of Backfill around Screen Depth to bottom of well screen Bottom of silt trap	2 inch No. 12-20 silica sand					
sub-angular feldspathic gravel	24.0		24.5	678.2	Bottom of silt trap Depth of bottom of borehole	24.5 ft					

APPENDIX E Table of Groundwater Constituent Concentrations

SUMMARY OF ANALYTICAL RESULTS

AECI Thomas Hill Energy Center

Ash Pond Multi-Unit Groundwater Monitoring System

Clifton Hill, Missouri

Location	Sample Date	Detec	tion Monitor	ing - USEP	A Appendix	x III Consti	tuents (n	ng/L)	Assessment Monitoring - USEPA Appendix IV Constituents (mg/L)														
Loca	Cample Date	Boron, Total	Calcium, Total	Chloride	Fluoride	Sulfate	pH (su)	TDS	Antimony, Total	Arsenic, Total	Barium, Total	Beryllium, Total	Cadmium, Total	Chromium, Total	Cobalt, Total	Lead, Total	Lithium, Total	Molybdenum, Total	Selenium, Total	Thallium, Total	Mercury, Total	Fluoride	Radium-226 & 228 (pCi/L)
	8/22/2016	0.067	541	27.7	0.3	2070	6.70	3389	<0.0005	<0.001	0.015	<0.001	<0.0005	0.001	0.001	<0.001	0.14	0.001	0.003	<0.001	<0.0008	0.3	1.50
	9/17/2016	<0.125	569	29.6	0.3	1970	6.72	3353	<0.0005	<0.008	0.027	<0.001	<0.001	<0.008	<0.008	<0.008	0.15	<0.008	0.003	<0.001	<0.0008	0.3	3.16
	10/18/2016	0.112	571	28	0.3	1980	6.76	3438	<0.0005	<0.004	0.013	<0.004	<0.002	<0.004	<0.004	<0.004	0.14	<0.004	0.002	<0.002	<0.0008	0.3	1.32
	11/8/2016	0.091	558	28.8	0.3	979	6.69	3461	<0.0005	<0.005	0.014	<0.004	<0.002	<0.005	<0.005	<0.001	0.140	<0.005	0.002	<0.001	<0.0008	0.3	1.30
	12/15/2016	0.111	632	27.9	0.3	2100	6.78	3482	<0.0005	<0.005	0.014	<0.004	<0.005	<0.005	<0.005	<0.005	0.16	<0.005	0.002	<0.0005	<0.0008	0.3	1.0
	1/12/2017	0.133	584	26.1	0.3	2050	7.02	3490	<0.0005	<0.005	0.014	<0.004	<0.005	<0.005	<0.005	<0.005	0.14	<0.005	0.003	<0.0005	<0.0008	0.3	1.67
	2/2/2017	0.122	595	26.2	0.3	2070	6.75	3577	<0.0005	<0.005	0.012	<0.004	<0.005	<0.005	<0.005	<0.005	0.14	<0.005	0.003	<0.0005	<0.0008	0.3	1.27
	3/8/2017	0.127	621	26.8	0.3	2100	6.74	3517	<0.0005	<0.005	0.013	<0.004	<0.005	<0.005	<0.005	<0.005	0.14	<0.005	0.002	<0.0005	<0.0008	0.3	0.73
	4/10/2017	0.094	601	28.6	0.3	2080	6.73	3350	<0.0005	<0.005	0.012	<0.004	<0.005	<0.005	<0.005	<0.005	0.12	<0.005	0.003	<0.0005	<0.0008	0.3	1.07
MW-1	5/9/2017	0.104	605	29.5	0.3	2070	6.28	3470	<0.0005	<0.005	0.012	<0.004	<0.005	<0.005	<0.005	<0.005	0.12	<0.005	0.004	<0.0005	<0.0008	0.3	1.80
≦	6/13/2017	0.071	572	29.8	0.3	2050	6.94	3490	<0.0005	<0.005	0.012	<0.004	<0.005	<0.005	<0.005	<0.005	0.13	<0.005	0.004	<0.0005	<0.0008	0.3	0.58
	7/11/2017	0.069	562	30.8	0.3	1980	7.04	3541	<0.0005	<0.005	0.012	<0.004	<0.005	<0.005	<0.005	<0.005	0.14	<0.005	0.004	<0.0005	<0.0008	0.3	1.25
	7/11/2017	0.079	589	30.1	0.3	2040	6.18	3545	<0.0005	<0.005	0.012	<0.004	<0.005	<0.005	<0.005	<0.005	0.14	<0.005	0.004	<0.0005	<0.0008	0.3	1.13
	2/27/2018	0.113	631	24.5	0.532	1900		3560												~~			
	5/23/2018	0.089	583	23.8	<0.065	1990	6.65	3630															
	9/10/2018	0.089	587	33.8	1.48	2180	6.87	3570															
	3/15/2019	0.072	614	26.6	< 0.100	2070	7.25	3632			-												
	8/26/2019	0.085	589	34.6	0.360	1910	6.56	3588															
	2/27/2020	0.121	484	30.6	0.802	2240	6.68	3421															
	2/27/2020	0.104	465	30.3	0.512	2180	6.71	3426															
	5/11/2017	0.200	206	5.4	0.6	411	7.34	1096	<0.0005	<0.005	0.057	<0.004	<0.005	<0.008	<0.005	<0.005	0.01	0.007	0.002	<0.0005	<0.0008	0.6	2.07
	6/13/2017	0.187	211	5.5	0.5	433	7.25	1140	<0.0005	<0.005	0.063	<0.004	<0.005	<0.005	<0.005	<0.005	0.01	0.010	0.001	<0.0005	<0.0008	0.5	0.53
	7/11/2017 7/31/2017	0.166	210	5.5	0.5	448	7.24	1277	<0.0005	<0.005	0.060	<0.004	<0.005	<0.005	<0.005	<0.005	0.02	0.011	0.002	<0.0005	<0.0008	0.5	1.86
	8/14/2017	0.202 0.203	240 241	5.2	0.5 0.5	474	7.05 7.28	1286 1342	<0.0005 <0.0005	<0.005 <0.005	0.056 0.066	<0.004 <0.004	<0.005 <0.005	<0.008 <0.005	<0.005 <0.005	<0.005 <0.005	0.01 0.01	<0.008	<0.003	<0.0005 <0.0005	<0.0008 <0.0008	0.5	2.30 1.37
	8/31/2017	0.205	250	5.1 5.2	0.5	473 468	7.26 7.06	1342	<0.0005	<0.005	0.065	<0.004	<0.005	<0.005	<0.005	<0.005	0.01	0.010 0.010	<0.001	<0.0005	<0.0008	0.5 0.5	2.70
\ ~	9/12/2017	0.205	250	5.2	0.5	467	6.98	1343	<0.0005	<0.005	0.065	<0.004	<0.005	<0.005	<0.005	<0.005	0.01	0.010	0.003	<0.0005	<0.0008	0.5 0.5	1.55
MW-2R	2/27/2018	0.200	266	5.64	0.634	457		1460	\0.0003 			\0.004 	~0.003	<0.003	\0.003	~0.003 	0.01	0.010	0.003	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	0.5	1.55
	5/23/2018	0.194	253	<5.00	<0.065	563	7.12	1540			-												
	9/10/2018	0.194	270	6.77	1.00	645	6.92	1500															
	3/15/2019	0.201	281	5.60	< 0.100	615	7.35	1615			_												
	3/15/2019	0.195	287	5.50	< 0.100	632	7.35 7.47	1601															
	8/26/2019	0.193	270	6.00	0.440	588	6.95	1613															
	8/26/2019	0.189	273	6.09	0.670	616	6.98	1606															
	2/27/2020	0.109	267	4.64	0.429	634	7.05	1537															
L	212112020	U. 1U0	40/	4.04	0.425	034	7.05	1997		<u> </u>													**

SUMMARY OF ANALYTICAL RESULTS

AECI Thomas Hill Energy Center

Ash Pond Multi-Unit Groundwater Monitoring System

Clifton Hill, Missouri

Location	Sample Date	Detect	ion Monitori	ng - USEP	A Appendi	x III Consti	tuents (m	ng/L)		***************************************				Assessm	ent Monit	oring - US	EPA Appe	ndix IV Constitu	ıents (mg/L)				
Loc	J. J	Boron, Total	Calcium, Total	Chloride	Fluoride	Sulfate	pH (su)	TDS	Antimony, Total	Arsenic, Total	Barium, Total	Beryllium, Total	Cadmium, Total	Chromium, Total	Cobalt, Total	Lead, Total	Lithium, Total	Molybdenum, Total	Selenium, Total	Thallium, Total	Mercury, Total	Fluoride	Radium-226 & 228 (pCi/L)
	8/22/2016	0.281	410	12.7	0.1	1670	6.49	3482	<0.0005	0.026	0.032	<0.001	<0.0005	<0.001	0.007	<0.001	0.03	0.003	0.003	<0.001	<0.0008	0.1	1.63
	9/18/2016	0.472	470	13.5	0.1	2280	6.43	3911	<0.0005	<0.008	0.030	<0.001	<0.001	<0.008	<0.008	<0.008	0.03	<0.008	0.002	<0.001	<0.0008	0.1	2.12
	10/17/2016	0.475	455	13	<0.1	2260	6.48	4138	<0.0005	<0.004	0.019	<0.004	<0.002	<0.004	0.006	<0.004	0.02	<0.004	0.002	< 0.002	<0.0008	<0.1	3.2
	11/8/2016	0.458	423	12.9	0.1	2280	6.48	3995	<0.0005	<0.005	0.019	<0.004	<0.002	<0.005	0.006	<0.005	0.020	<0.005	0.002	<0.002	<0.0008	0.1	0.46
	12/14/2016	0.468	518	13.6	<0.1	2220	6.60	3921	<0.0005	<0.005	0.018	<0.004	<0.005	<0.005	<0.005	<0.005	0.02	<0.005	0.002	<0.0005	<0.0008	<0.1	1.90
	12/14/2016	0.458	510	13.6	<0.1	2240	6.57	3962	<0.0005	<0.005	0.018	<0.004	<0.005	<0.005	<0.005	< 0.005	0.02	<0.005	0.002	<0.0005	<0.0008	<0.1	1.78
	1/11/2017	0.453	481	13.6	<0.1	2340	6.65	3950	<0.0005	<0.005	0.017	<0.004	<0.005	<0.005	<0.005	<0.005	0.02	<0.005	0.003	<0.0005	<0.0008	<0.1	1.02
	2/2/2017 3/7/2017	0.435	484	13.6	0.1	2280	6.55	3960	<0.0005	<0.005	0.016	<0.004	<0.005	<0.005	<0.005	<0.005	0.02	<0.005	0.002 0.002	<0.0005	<0.0008	0.1	0.67
	4/10/2017	0.449 0.444	512 492	13.6 13.3	<0.1	2360 2290	6.50 6.54	3960 3850	<0.0005 <0.0005	<0.005 <0.005	0.017	<0.004 <0.004	<0.005 <0.005	<0.005 <0.005	0.007	<0.005 <0.005	0.02 0.01	<0.005 <0.005	0.002	<0.0005 <0.0005	<0.0008 <0.0008	<0.1 0.2	ND ND
ا بر.	5/9/2017	0.393	498	13.5	0.2 <0.1	2280	6.41	3790	<0.0005	<0.005	0.017	<0.004	<0.005	<0.005	<0.007	<0.005	0.01	<0.005	0.003	<0.0005	<0.0008	<0.1	1.15
MW-3	6/13/2017	0.333	483	13.6	<0.1	2330	6.65	4000	<0.0005	<0.005	0.017	<0.004	<0.005	<0.005	0.006	<0.005	0.02	<0.005	0.003	<0.0005	<0.0008	<0.1	ND
_	6/13/2017	0.373	482	13.5	<0.1	2310	6.74	3970	<0.0005	<0.005	0.019	<0.004	<0.005	<0.005	<0.005	<0.005	0.02	<0.005	0.003	<0.0005	<0.0008	<0.1	0.77
	7/11/2017	0.348	472	13.7	<0.1	2320	6.62	3985	<0.0005	<0.005	0.018	<0.004	<0.005	<0.005	0.006	< 0.005	0.02	<0.005	0.004	<0.0005	<0.0008	<0.1	0.88
	2/27/2018	0.404	516	11.6	<0.500	1970		3790															~~
	2/27/2018	0.407	495	24.1	<1.0	2040		3800															
	5/23/2018	0.366	473	10.4	<0.065	2200	6.51	4010															
	9/10/2018	0.420	520	15.7	<0.500	4830	6.55	3970					~~							~~		***	~~
	9/10/2018	0.083	625	33.4	0.516	4420	6.63	3570															
	3/15/2019	0.353	469	13.1	< 0.100	2040	6.92	3677															
	8/26/2019	0.352	465	14.2	0.110	1920	6.32	3770															
	2/27/2020	0.183	459	12.9	<0.100	2300	6.43	3578								==							
	8/21/2016	0.050	109	6.9	0.3	178	7.14	505	<0.0005	0.002	0.171	<0.001	<0.0005	0.001	0.001	<0.001	0.01	0.003	<0.001	<0.001	<0.0008	0.3	0.80
	9/17/2016	<0.125	99.8	9.1	0.3	116	6.97	378	<0.0005	<0.008	0.15	<0.001	<0.001	<0.008	<0.008	<0.008	<0.01	<0.008	<0.001	<0.001	<0.0008	0.3	1.68
	10/17/2016	0.035	105	7	0.3	112	6.91	454	<0.0005	0.006	0.141	<0.004	<0.002	<0.004	<0.004	<0.004	<0.01	0.005	0.001	<0.002	<0.0008	0.3	1.74
	11/8/2016	0.057	110	7.2	0.3	159	7.06	511	<0.0005	0.006	0.180	<0.004	<0.002	<0.005	<0.005	<0.005	<0.01	0.006	<0.001	<0.002	<0.0008	0.3	1.57
	12/14/2016	0.042	117	7.3	0.4	104	7.15	397	<0.0005	<0.005	0.142	<0.004	<0.005	<0.005	<0.005	<0.005	<0.01	<0.005	0.002	<0.0005	<0.0008	0.4	2.59
	1/11/2017	0.031	116	7.2	0.3	164	6.90	489	<0.0005	< 0.005	0.150	<0.004	<0.005	<0.005	<0.005	<0.005	<0.01	<0.005	0.001	<0.0005	<0.0008	0.3	0.68
	2/2/2017 3/8/2017	0.035	122	7.0	0.3	174 188	6.85 6.92	538 565	<0.0005 <0.0005	0.010	0.144	<0.004 <0.004	<0.005 <0.005	<0.005 <0.005	<0.005	<0.005 <0.005	<0.01 <0.01	<0.005 <0.005	<0.001 <0.001	<0.0005 <0.0005	<0.0008 <0.0008	0.3 0.3	1.26 1.52
	4/11/2017	0.030 0.028	131 118	6.9 6.7	0.3	168	6.97	565 523	<0.0005	0.015 0.008	0.152 0.138	<0.004	<0.005	<0.005	<0.005 <0.005	<0.005	<0.01	0.005	<0.001	<0.0005	<0.0008	0.3	0.86
MW-4	5/9/2017	<0.028	120	6.9	0.3	176	6.93	387	<0.0005	0.005	0.138	<0.004	<0.005	<0.005	<0.005	<0.005	<0.01	0.006	0.001	<0.0005	<0.0008	0.3	2.31
≩	5/9/2017	<0.025	122	6.9	0.3	176	7.01	460	<0.0005	0.005	0.137	<0.004	<0.005	<0.005	<0.005	<0.005	<0.01	0.006	0.001	<0.0005	<0.0008	0.3	1.11
	6/13/2017	<0.025	116	7.0	0.4	169	6.85	558	<0.0005	0.007	0.137	<0.004	<0.005	<0.005	<0.005	<0.005	<0.01	0.006	<0.001	<0.0005	<0.0008	0.4	3.13
	7/11/2017	0.026	113	6.9	0.3	164	6.94	514	<0.0005	0.007	0.136	<0.003	<0.005	<0.005	<0.005	<0.005	<0.01	0.006	0.001	<0.0005	<0.0008	0.4	0.94
	2/27/2018	<0.050	130	6.67	0.820	179	0.54	573			0.100	\0.00 +	~~			~0.000	~~					0.0	
	5/23/2018	0.034	116	<5.00	<0.065	157	6.83	558															
	9/10/2018	0.052	107	7.49	<0.500	101	6.96	380															
	3/15/2019	0.016	121	6.30	0.279	158	7.49	540															
	8/26/2019	0.043	110	7.78	0.730	173	6.82	573															
	2/27/2020	0.030	121	8.01	0.274	213	6.88	444															

SUMMARY OF ANALYTICAL RESULTS

AECI Thomas Hill Energy Center

Ash Pond Multi-Unit Groundwater Monitoring System

Clifton Hill, Missouri

Location	Sample Date	Detection Monitoring - USEPA Appendix III Constituents (mg/L)								Assessment Monitoring - USEPA Appendix IV Constituents (mg/L)													
Loc	oampie bate	Boron, Total	Calcium, Total	Chloride	Fluoride	Sulfate	pH (su)	TDS	Antimony, Total	Arsenic, Total	Barium, Total	Beryllium, Total	Cadmium, Total	Chromium, Total	Cobalt, Total	Lead, Total	Lithium, Total	Molybdenum, Total	Selenium, Total	Thallium, Total	Mercury, Total	Fluoride	Radium-226 & 228 (pCi/L)
	8/21/2016	0.371	128	6.1	0.2	329	7.21	620	<0.0005	0.006	0.039	<0.001	<0.0005	<0.001	<0.001	<0.001	0.01	0.003	<0.001	<0.001	<0.0008	0.2	3.71
	8/21/2016	0.378	129	6.1	0.2	329	7.21	601	<0.0005	0.006	0.035	<0.001	<0.0005	<0.001	<0.001	<0.001	0.01	0.002	<0.001	<0.001	<0.0008	0.2	1.94
	9/17/2016	0.437	125	6.1	0.3	323	7.10	566	<0.0005	<0.008	0.04	<0.001	<0.001	<0.008	<0.008	<0.008	0.02	<0.008	<0.001	<0.001	<0.0008	0.3	1.66
	10/18/2016	0.418	136	6	0.2	306	7.05	632	<0.0005	0.008	0.038	<0.004	<0.002	<0.004	<0.004	<0.004	<0.01	<0.004	<0.001	<0.002	<0.0008	0.2	1.83
	11/8/2016	0.438	133	6.5	<0.1	323	7.32	667	<0.0005	0.010	0.038	<0.004	<0.002	<0.005	<0.005	<0.005	<0.01	<0.005	<0.001	<0.002	<0.0008	<0.1	0.99
	11/8/2016	0.436	136	6.2	0.3	323	7.18	683	<0.0005	0.010	0.039	<0.004	<0.002	<0.005	<0.005	<0.005	<0.01	<0.005	<0.001	<0.002	<0.0008	0.3	1.51
	1/11/2017	0.482	152	6.4	0.3	328	7.41	667	<0.0005	0.009	0.038	<0.004	<0.005	<0.005	<0.005	<0.005	<0.01	<0.005	<0.001	<0.0005	<0.0008	0.3	1.43
	2/2/2017	0.452	153	6.2	0.2	322	6.95	726	<0.0005	0.010	0.036	<0.004	<0.005	<0.005	<0.005	<0.005	<0.01	<0.005	<0.001	<0.0005	<0.0008	0.2	1.33
	2/2/2017	0.459	154	6.2	0.2	323	6.95	705	<0.0005	0.01	0.036	<0.004	<0.005	<0.005	<0.005	<0.005	<0.01	<0.005	<0.001	<0.0005	<0.0008	0.2	0.96
	3/9/2017	0.513	196	6.2	0.2	326	7.04	715	<0.0005	<0.005	0.055	<0.004	<0.005	<0.005	<0.005	<0.005	<0.01	0.021	<0.001	<0.0005	<0.0008	0.2	1.42
MW-5	4/11/2017	0.475	148.0	6	0.2	329	7.06	721	<0.0005	0.010	0.038	<0.004	<0.005	<0.005	<0.005	<0.005	<0.01	<0.005	<0.001	<0.0005	<0.0008	0.2	1.14
Σ	4/11/2017	0.476	147	6	0.2	328	7.08	724	<0.0005	0.009	0.037	<0.004	<0.005	<0.005	<0.005	<0.005	<0.01	<0.005	<0.001	<0.0005	<0.0008	0.2	1.65
	5/9/2017	0.434	156	6.2	0.2	324	7.20	591	<0.0005	<0.005	0.038	<0.004	<0.005	<0.005	<0.005	<0.005	<0.01	<0.005	0.001	<0.0005	<0.0008	0.2	2.35
	6/13/2017	0.445	146	6.1	0.2	328	6.99	696	<0.0005	0.010	0.038	<0.004	<0.005	<0.005	<0.005	<0.005	<0.01	<0.005	0.001	<0.0005	<0.0008	0.2	0.33
	7/11/2017	0.397	142	6.2	0.2	315	7.02	722	<0.0005	0.008	0.035	<0.004	<0.005	<0.005	<0.005	<0.005	<0.01	<0.005	0.001	<0.0005	<0.0008	0.2	1.32
	2/27/2018	0.533	159	5.39	<0.500	<5.00		965															
	5/23/2018	0.478	147	<5.00	<0.065	293	6.93	758			-												
	5/23/2018	0.487	147	<5.00	<0.065	300	6.94	733		~~						***	~~			~-			~-
	9/10/2018	0.476	145	6.66	<0.500	329	6.92	311															
	3/15/2019	0.564 0.459	151 133	5.21 5.95	< 0.100	259	7.43 6.96	710 700															
	8/26/2019 2/27/2020	0.459	143	5.95 5.66	0.460 0.225	271 245	6.94	700 588															
	8/22/2016	0.440	152	11.5	0.223	353	6.86	782	<0.0005	<0.001	0.044	<0.001	<0.0005	<0.001	<0.001	<0.001	0.02	0.019	0.001	<0.001	<0.0008	0.4	0.72
	9/17/2016	0.545	151	11.3	0.4	359	7.04	680	<0.0005	<0.001	0.044	<0.001	<0.0003	<0.001	<0.001	<0.001	0.02	0.020	<0.001	<0.001	<0.0008	0.4	2.32
	10/17/2016	0.473	162	11.3	0.4	346	6.89	780	<0.0005	<0.004	0.043	<0.004	<0.001	<0.004	<0.004	<0.008	0.03	0.023	0.002	<0.001	<0.0008	0.4	1.77
	10/17/2016	0.479	162	11	0.4	344	6.99	775	<0.0005	<0.004	0.047	<0.004	<0.002	<0.004	<0.004	<0.004	0.02	0.023	0.002	<0.002	<0.0008	0.4	1.37
	11/8/2016	0.479	158	11.6	0.4	361	6.90	752	<0.0005	<0.005	0.049	<0.004	<0.002	<0.005	<0.005	<0.005	0.02	0.023	0.001	<0.002	<0.0008	0.4	0.99
	12/14/2016	0.499	193	11.7	0.4	375	7.05	777	<0.0005	<0.005	0.052	<0.004	<0.002	<0.005	<0.005	<0.005	0.02	0.024	<0.001	<0.002	<0.0008	0.4	2.82
	1/12/2017	0.5	179	11.8	0.4	385	7.30	791	<0.0005	<0.005	0.053	<0.004	<0.005	<0.005	<0.005	<0.005	0.02	0.022	0.001	<0.0005	<0.0008	0.4	<0.59
	2/2/2017	0.475	185	11.9	0.4	385	6.99	855	< 0.0005	<0.005	0.1	<0.004	<0.005	<0.005	<0.005	<0.005	0.020	0.022	0.001	<0.0005	<0.0008	0.4	0.92
	3/8/2017	0.504	193	11.9	0.4	390	6.95	849	<0.0005	<0.005	0.055	<0.004	<0.005	<0.005	<0.005	<0.005	0.02	0.021	<0.001	<0.0005	<0.0008	0.4	0.73
ဖု	3/8/2017	0.030	131	11.9	0.4	388	6.93	838	<0.0005	0.015	0.152	<0.004	<0.005	<0.005	<0.005	<0.005	0.02	<0.005	<0.001	<0.0005	<0.0008	0.4	0.84
MW-6	4/10/2017	0.487	182	11.7	0.3	382	6.84	813	<0.0005	<0.005	0.050	<0.004	<0.005	<0.005	<0.005	<0.005	0.02	0.021	0.002	<0.0005	<0.0008	0.3	0.73
=	5/9/2017	0.402	177	11.7	0.3	372	6.89	704	< 0.0005	<0.005	0.050	<0.004	<0.005	<0.005	<0.005	<0.005	0.02	0.020	0.002	<0.0005	<0.0008	0.3	<0.66
	6/13/2017	0.446	167	11.7	0.3	371	6.96	833	<0.0005	<0.005	0.050	<0.004	<0.005	<0.005	<0.005	<0.005	0.02	0.019	0.001	< 0.0005	<0.0008	0.3	0.78
	7/11/2017	0.397	164	11.6	0.4	356	7.20	790	< 0.0005	<0.005	0.048	<0.004	<0.005	<0.005	<0.005	<0.005	0.02	0.021	0.002	<0.0005	<0.0008	0.4	1.08
	2/27/2018	0.498	190	10.0	0.530	426		847															
	5/23/2018	0.409	153	7.70	<0.065	317	6.88	794															
	9/10/2018	0.460	154	10.9	<0.500	313	6.93	627												~-			
	3/15/2019	0.509	181	11.1	0.338	366	7.42	848															
	8/26/2019	0.453	151	11.8	0.510	297	6.89	775															
	2/27/2020	0.233	155	10.6	0.314	315	6.91	758											~~	~~			
TPZ-9	12/15/2016	2.15	387	15.0	0.4	2590	6.88	4347	<0.0005	<0.005	0.021	<0.004	<0.005	<0.005	<0.005	<0.005	0.16	<0.005	0.006	<0.0005	<0.0008	0.4	1.22

Notes:

ND: "Non Detect," the report does not provide reporting limit and instead states "ND."

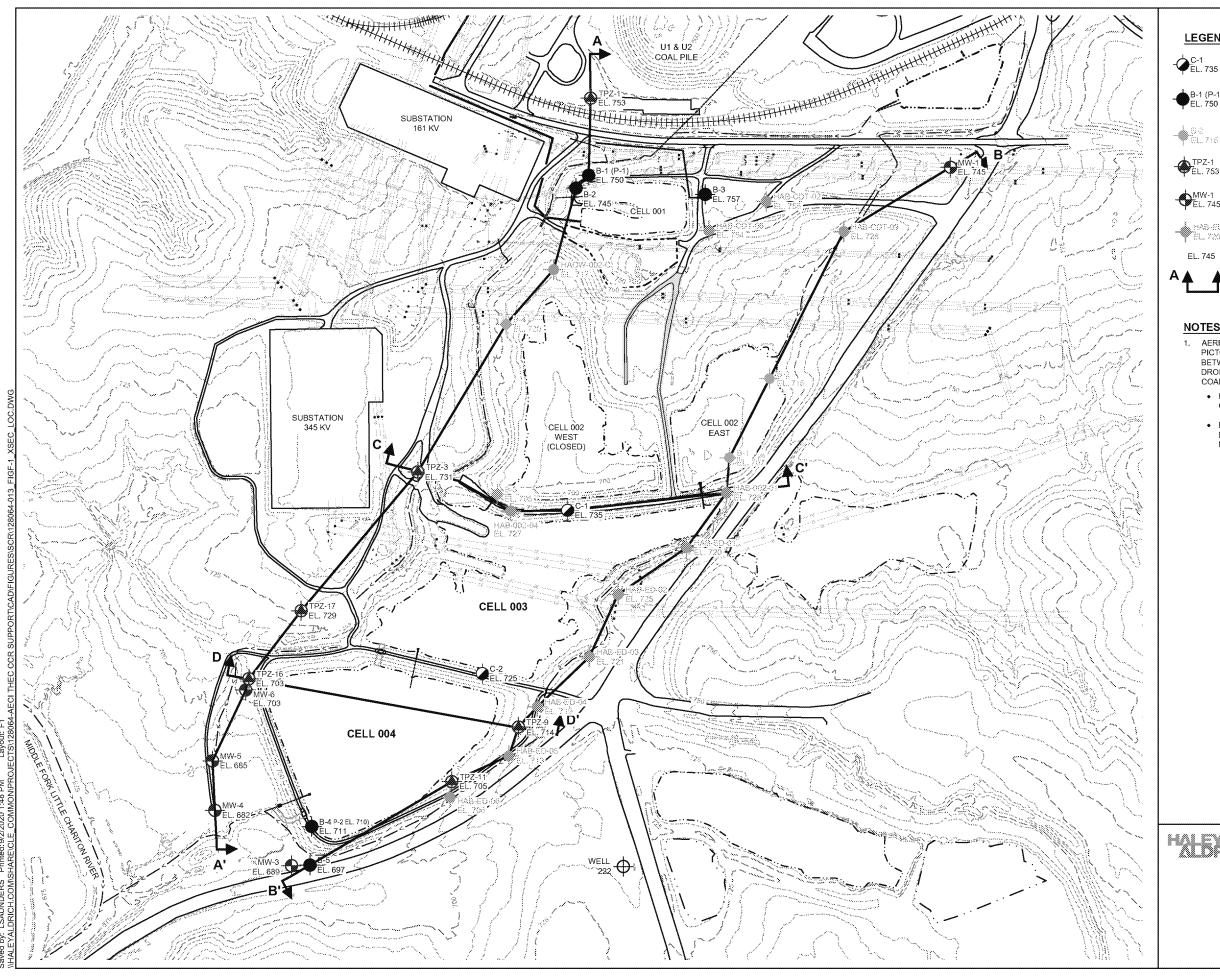
Bold Value: indicates a detection by the laboratory

mg/L: milligrams per liter pCi/L: picocuries per liter su : standard unit

USEPA: United States Environmental Protection Agency

APPENDIX F

Stratigraphic Cross-Sections



LEGEND

-C-1 EL. 735

APPROXIMATE LOCATION OF TEST BORING PERFORMED BY GEOTECHNOLOGY, INC. JANUARY 2010.

B-1 (P-1) EL. 750

TEST BORINGS PERFORMED BY GEOTECHNOLOGY, INC. NOVEMBER 2011. "P" INDICATES TEMPORARY PIEZOMETER WAS INSTALLED

APPROXIMATE EXPLORATORY BORING LOCATION PERFORMED BY GREDELL ENGINEERING RESOURCES, INC. SEPTEMBER 2014



PIEZOMETERS INSTALLED BY BULLDOG DRILLING AUGUST 2015 TO MARCH 2018



CCR MONITORING WELL LOCATION INSTALLED BY BULLDOG DRILLING AUGUST 2015 TO MARCH 2017



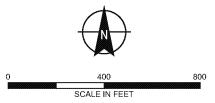
HAB-ED-01 TEST BORING PERFORMED BY BULLDOG DRILLING OCTOBER 2019

APPROXIMATE GROUND SURFACE ELEVATION AT TIME OF



A' CROSS SECTION LOCATION

- AERIAL SURVEY USED TO DEVELOP TOPOGRAPHY WAS PERFORMED BY PICTOMETRY INTERNATIONAL CORP. OF ROCHESTER, NEW YORK BETWEEN FEBRUARY 29,2016 AND APRIL 11, 2016, COMBINED WITH DRONE SURVEY PERFORMED BY HLR IN MAY 2019 FOR THE CELLS AND
- HORIZONTAL CONTROL IS MISSOURI STATE PLANE CENTRAL ZONE COORDINATE SYSTEM (NAD 83).
- ELEVATIONS IN THIS DRAWING ARE SHOWN IN FEET. THE VERTICAL DATUM FOR GROUND SURFACE ELEVATION CONTOUR LINES IS NAVD 88.



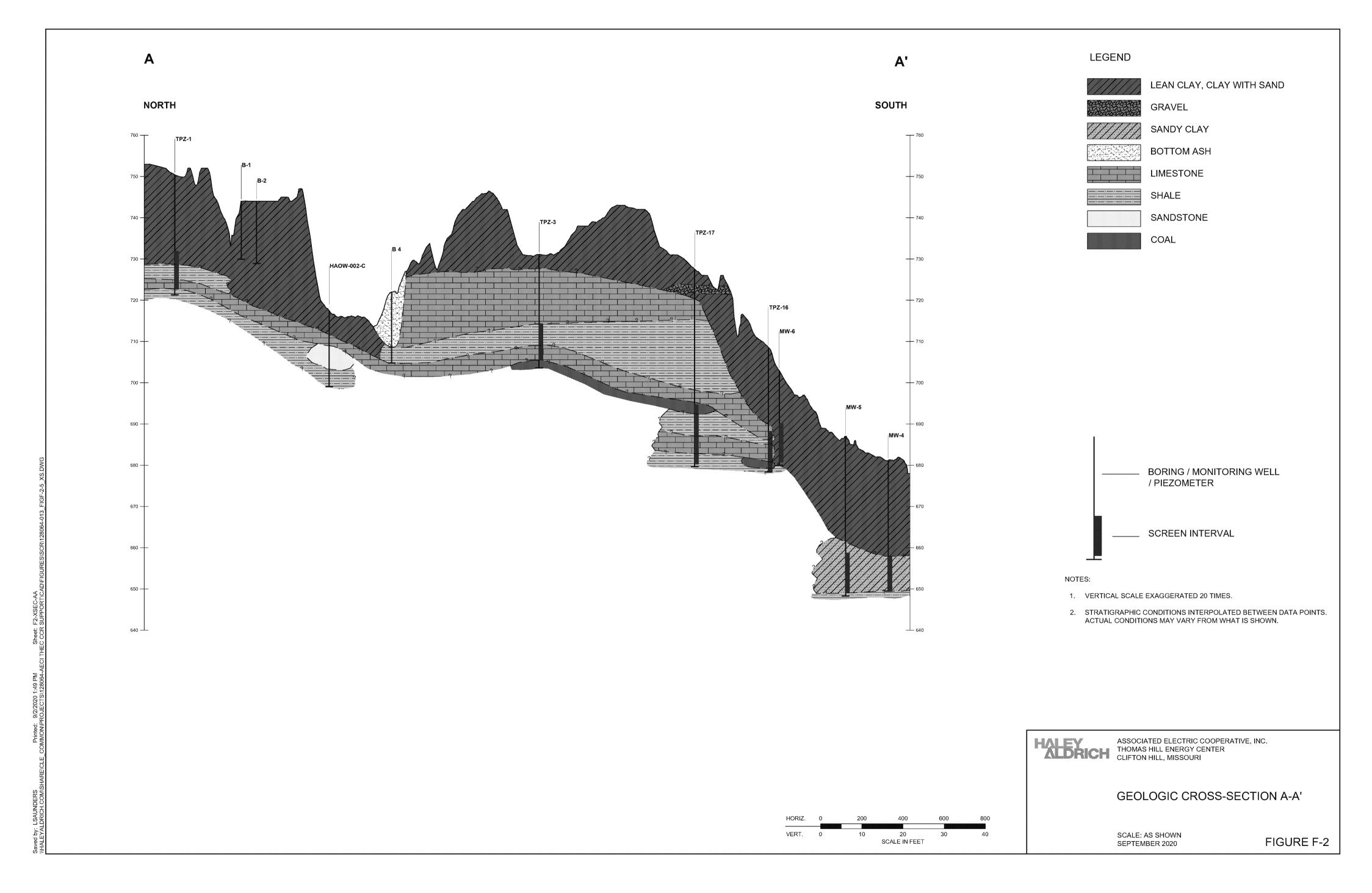
MEXICH

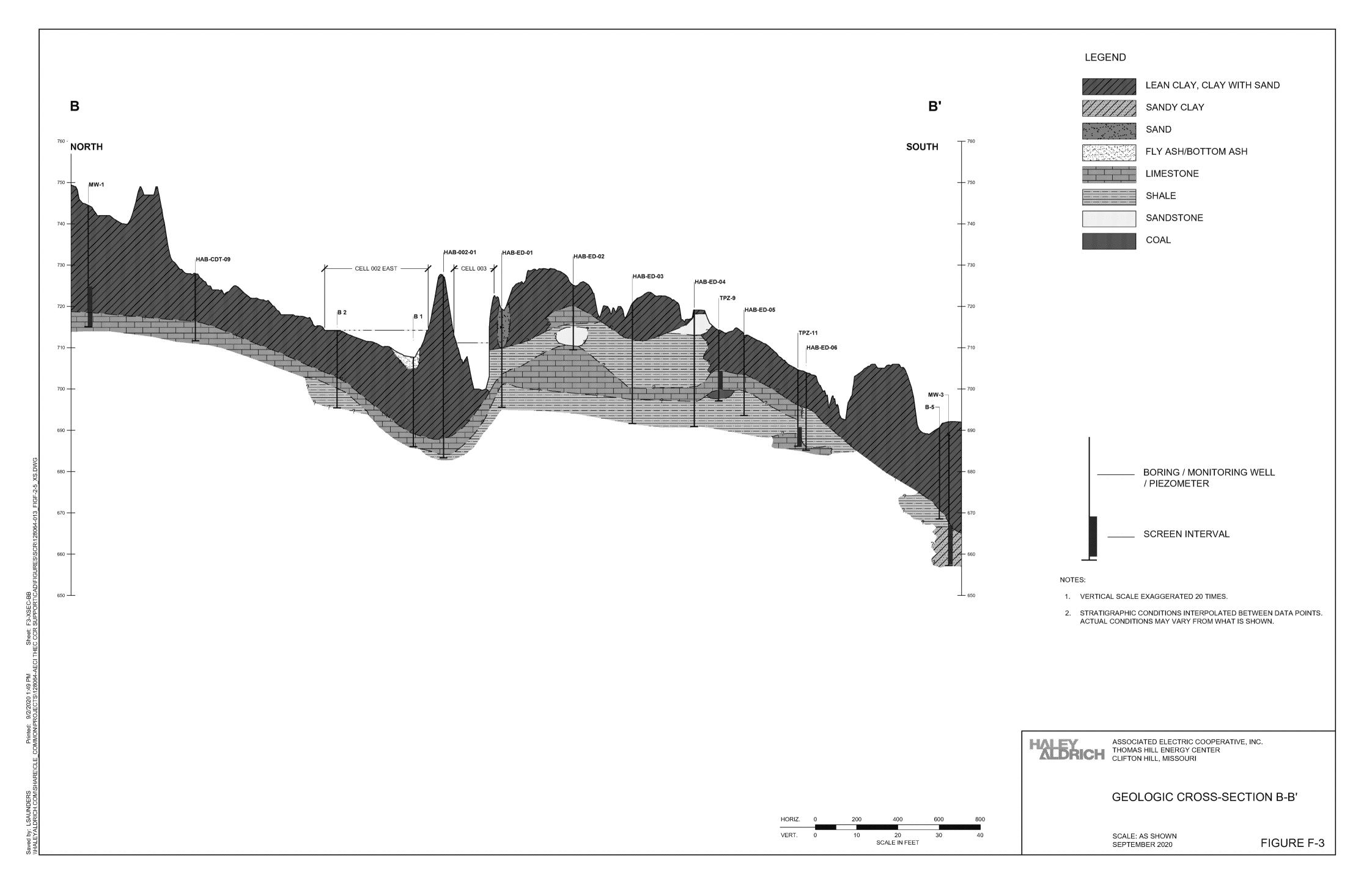
ASSOCIATED ELECTRIC COOPERATIVE, INC. THOMAS HILL ENERGY CENTER CLIFTON HILL, MISSOURI

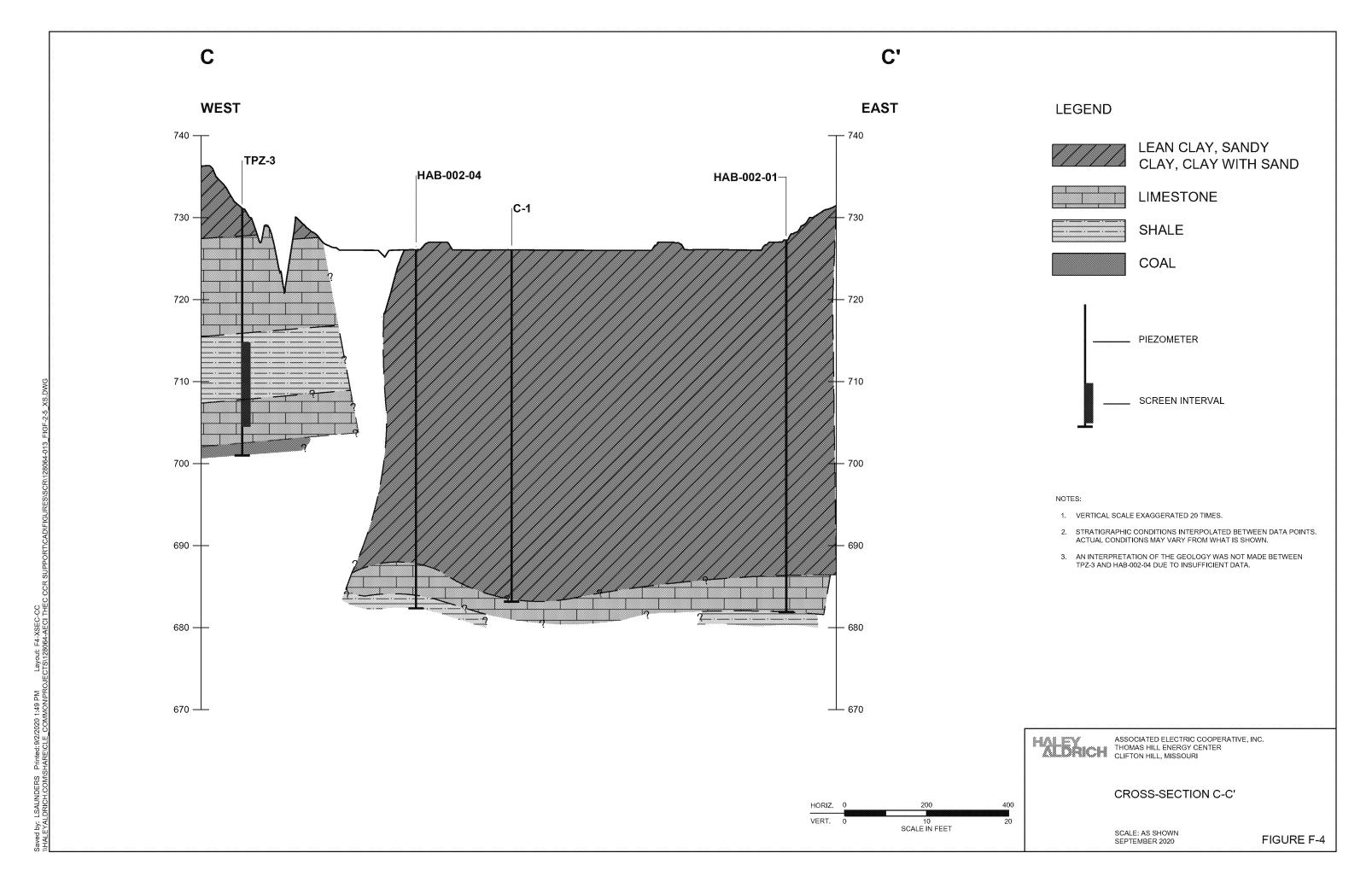
GEOLOGIC CROSS SECTION LOCATION MAP

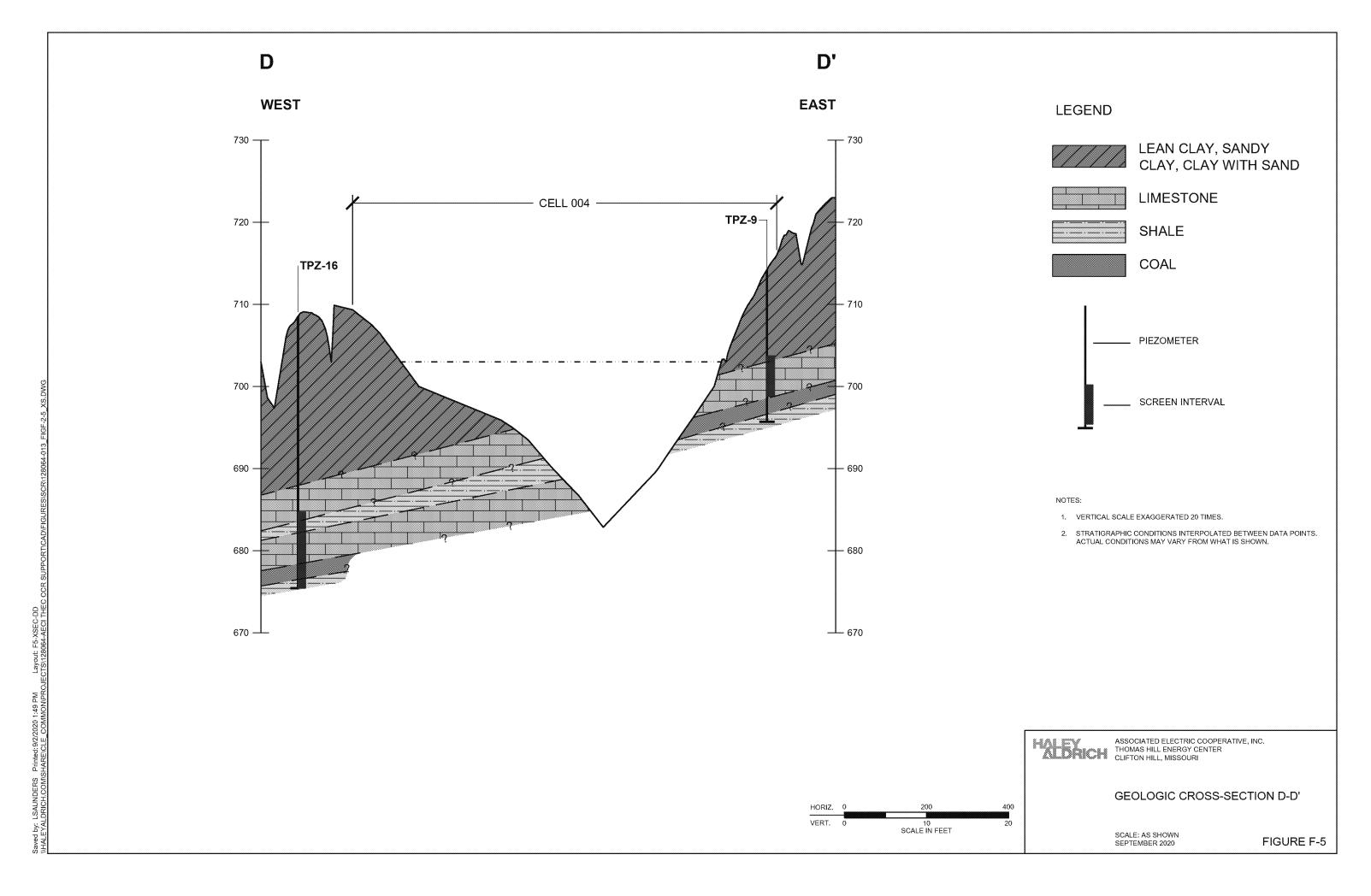
SCALE: AS SHOWN SEPTEMBER 2020

FIGURE F-1









APPENDIX G

Structural Stability Assessments



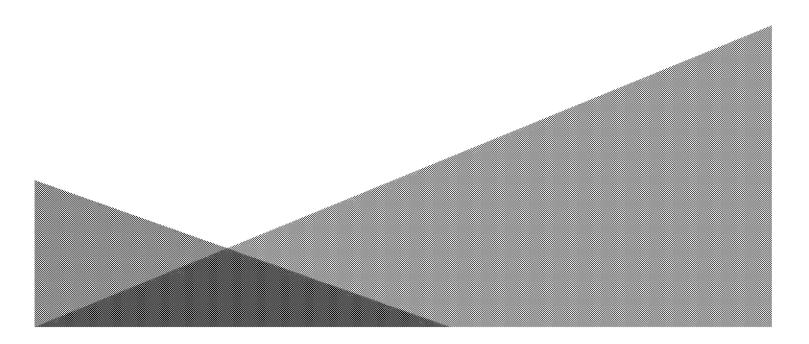
REPORT ON

INITIAL PERIODIC STRUCTURAL STABILITY ASSESSMENT POND 001 – CELL 001
THOMAS HILL ENERGY CENTER
CLIFTON HILL, MISSOURI

by Haley & Aldrich, Inc. Cleveland, Ohio

for Associated Electric Cooperative, Inc. Clifton Hill, Missouri

File No. 128064-003 October 2016





HALEY & ALDRICH, INC. 6500 Rockside Road Suite 200 Cleveland, OH 44131 216.739.0555

17 October 2016 File No. 128064-003

Associated Electric Cooperative, Inc. Thomas Hill Energy Center 5693 Highway F Clifton Hill, Missouri 65244

Attention: Ms. Kim Dickerson

Senior Environmental Analyst

Subject: Initial Periodic Structural Stability Assessment

Pond 001 - Cell 001

Thomas Hill Energy Center

Clifton Hill, Missouri

Ms. Dickerson:

Enclosed please find our report on the Initial Periodic Structural Stability Assessment for the Associated Electric Cooperative, Inc. (AECI) Pond 001 - Cell 001 (Cell 001) coal combustion residuals (CCR) Surface Impoundment located at the Thomas Hill Energy Center (THEC) in Clifton Hill, Missouri.

This work was performed by Haley & Aldrich, Inc. (Haley & Aldrich) on behalf of AECI in accordance with the US Environmental Protection Agency's (EPA's) Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities, 40 CFR Part 257, specifically §257.73(d).

The scope of our work consisted of the following: 1) obtain and review readily available reports, investigations, plans and data pertaining to the Cell 001 surface impoundment; 2) visit the site to observe Cell 001; 3) evaluate whether the design, construction, operation, and maintenance of Cell 001 are consistent with recognized and generally accepted good engineering practices; and 4) prepare and submit this report presenting the results of our assessment including recommendations.

Associated Electric Cooperative, Inc. 17 October 2016 Page 2

Thank you for inviting us to complete this assessment and please feel free to contact us if you wish to discuss the contents of the report.

Sincerely yours, HALEY & ALDRICH, INC.

Steven F. Putrich, P.E. Project Principal

Enclosures





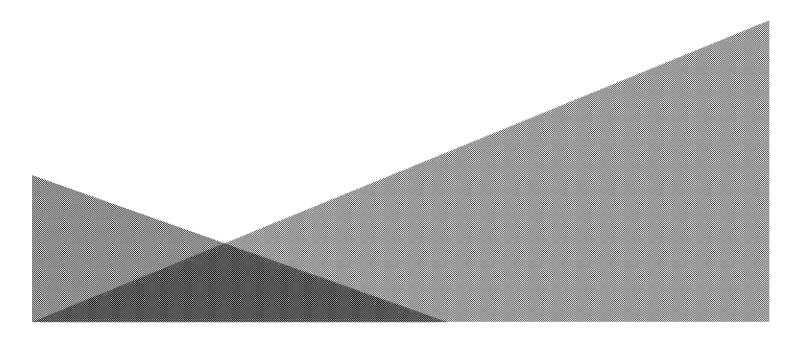
REPORT ON

INITIAL PERIODIC STRUCTURAL STABILITY ASSESSMENT POND 001 – CELL 001
THOMAS HILL ENERGY CENTER
CLIFTON HILL, MISSOURI

by Haley & Aldrich, Inc. Cleveland, Ohio

for Associated Electric Cooperative, Inc. Clifton Hill, Missouri

File No. 128064-003 October 2016



List of Figures			
1.	General		
	1.1 1.2	AUTHORITY PURPOSE OF STRUCTURAL STABILITY ASSESSMENT	1 1
2.	Description and Operation of Cell 001		
	2.1 2.2	DESCRIPTION OF CELL 001 OPERATION, MAINTENANCE AND INSPECTION	2 2
3.	Structural Stability Assessment		4
	3.1 3.2 3.3	REVIEW OF EXISTING INFORMATION SITE VISIT AND FIELD OBSERVATIONS STRUCTURAL STABILITY ASSESSMENT	4 4 4
4.	Con	clusions/Certification	8

Appendix A – References



List of Figures

Figure No. Title

1 Project Locus

2 Site Plan



1. General

1.1 AUTHORITY

Haley & Aldrich, Inc. (Haley & Aldrich) has been contracted by Associated Electric Cooperative, Inc. (AECI) to perform the Initial Periodic Structural Stability Assessment (Assessment) for the AECI Pond 001 – Cell 001 (Cell 001) coal combustion residuals (CCR) surface impoundment located at Thomas Hill Energy Center (THEC) in Clifton Hill, Missouri. This work was completed in accordance with the US Environmental Protection Agency's (EPA's) Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities, 40 CFR Part 257, specifically §257.73(d).

1.2 PURPOSE OF STRUCTURAL STABILITY ASSESSMENT

The purpose of this Initial Structural Stability Assessment was to document whether the design, construction, operation, and maintenance of Cell 001 are consistent with recognized and generally accepted good engineering practices.

The scope of our work consisted of the following: 1) obtain and review readily available reports, investigations, plans and data pertaining to the Cell 001 surface impoundment; 2) visit the site to observe Cell 001; 3) evaluate whether the design, construction, operation, and maintenance of Cell 001 are consistent with recognized and generally accepted good engineering practices; and 4) prepare and submit this report presenting the results of our evaluation, including recommendations.



2. Description and Operation of Cell 001

2.1 DESCRIPTION OF CELL 001

Cell 001 is a CCR surface impoundment used for settling and temporary wet storage of bottom ash and boiler slag sluiced from Thomas Hill Units 1 and 2. CCR slurry is pumped from the power plant and discharges into the southwest corner of Cell 001 through two approximate 14-in. diameter pipes. After initial settling, water and suspended CCR enter a rectangular concrete decant structure equipped with 60-inch wide concrete stop logs, and flow via a 30-in. diameter concrete outlet pipe to a drainage channel which discharges into Cell 003.

It is understood that Cell 001 was originally designed by Burns & McDonnell in 1978-1979 and constructed shortly thereafter. The embankments were constructed from clayey fill obtained from an on-site borrow source. Underlying the embankment fill is naturally deposited stiff clay, which in turn is underlain by stiff shaley clay.

Historically, CCR that settled in Cell 001 were excavated from the impoundment and placed in the high and dry northern portion of Cell 002. The ash was then loaded onto trucks by a contractor who sold it for beneficial re-use. Excess CCR has been placed as mine reclamation.

In 2015, AECI constructed a CCR Processing and Containment Pad to allow continued removal and dewatering of CCR from Cell 001 in compliance with Federal CCR Regulation 40 CFR Part 257 Subpart D. The processing and containment pad was designed to allow removal and dewatering of CCR from Cell 001, with free liquids from the dredged CCR draining back into Cell 001. The construction included a 5-ft high containment berm to prevent CCR and free liquids from migrating outside the pad. Fill for the processing pad and containment berm consisted of clayey fill obtained from on-site borrow sources. The clay fill was keyed into the underlying natural clays, and a 2-ft thick compacted clay liner was placed below the processing and containment pad.

The Cell 001 impoundment has an area of approximately 2.3 acres. The Cell 001 embankments are generally 10 ft or less in height, with a crest width generally ranging from 15 to 20 ft. The containment berm defines the southern edge of the processing and containment pad. Beyond the containment berm, ground surface slopes downward to Cell 002 with a slope height of up to 30 ft.

2.2 OPERATION, MAINTENANCE AND INSPECTION

Cell 001 and the other cells within Pond 001 system are operated and managed by AECI personnel in accordance with AECI's "Operating and Management Plan" dated December 14, 2012 (Reference 1).

AECI personnel are conducting 7-day and annual inspections of the Cell 001 impoundment in accordance with EPA's Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities, 40 CFR Part 257.83. In addition, the impoundment is inspected following heavy rain events. No instrumentation exists in the dike for the 30-day inspection.

Maintenance of the impoundment includes regular mowing of grass, seeding of thinly vegetated areas, control of woody growth, repair of erosion as needed, and inspection of the drain mechanisms.



Operation includes regular removal and processing of accumulated bottom ash and boiler slag from the impoundment, regulating and monitoring wastewater discharge from the plant to Cell 001, regulating water levels in the cell, and monitoring flow in the drainage channel from Cell 001 to Cell 003.



3. Structural Stability Assessment

3.1 REVIEW OF EXISTING INFORMATION

For this assessment, Haley & Aldrich reviewed multiple sources of information including:

- Report on the Initial Annual Inspection performed by AECI in accordance with 40 CFR §257.83, dated January 19, 2016
- Previous impoundment inspection reports by GEI (on behalf of EPA) and Geotechnology, Inc.
- Operating and Management Plan
- Topographic plans and aerial photos
- Construction drawings
- Subsurface information
- Geotechnical laboratory test results
- Slope stability evaluations
- Correspondence
- Variety of other information in addition to verbal information provided by AECI during our assessment.

Our review included, but was not limited to the references listed in Appendix A.

3.2 SITE VISIT AND FIELD OBSERVATIONS

On 29 August 2016, Haley & Aldrich visited Thomas Hill Energy Center to observe conditions at Cell 001, and to meet with AECI personnel to discuss operations and maintenance of the impoundment. Prior to the site visit, we reviewed previous inspection reports including the above-referenced Initial Annual Inspection Report by AECI, and previous inspection reports referenced above and listed in Appendix A. At the time of our site visit, Cell 001 was in operation with water levels at the normal operating level.

3.3 STRUCTURAL STABILITY ASSESSMENT

In accordance with 40 CFR §257.73(d), the owner or operator of a CCR surface impoundment must conduct initial and periodic structural stability assessments to determine whether the design, construction, operation, and maintenance of the CCR unit is consistent with recognized and generally accepted good engineering practices.

Haley & Aldrich reviewed the information provided to us and visited the site to observe Cell 001. Based on our review of available information and observations during our 29 August 2016 site visit, we have concluded the following in accordance with 40 CFR §257.73(d):



1. §257.73(d)(1)(i): Stable foundations and abutments.

Based on our review of available subsurface information, design/construction records, investigation reports, impoundment inspection reports, geotechnical laboratory test results, slope stability analyses, and observations during our 29 August 2016 site visit, Cell 001 was judged to have stable foundations. The Cell 001 embankments have not exhibited signs of excessive settlement, instability or other signs of inadequate foundation support.

2. §257.73(d)(1)(ii): Adequate slope protection to protect against surface erosion, wave action, and adverse effects of sudden drawdown.

The Cell 001 interior slopes are covered with vegetation for the full height of the slopes. Based on observations during our 29 August 2016 site visit, the slope protection on the interior slopes was in good condition and was judged to provide adequate slope protection against surface erosion, wave action and adverse effects from sudden drawdown.

The exterior slopes are well vegetated with grass and were judged to have adequate slope protection.

3. §257.73(d)(1)(iii): Dikes mechanically compacted to a density sufficient to withstand the range of loading conditions in the CCR unit.

Records of the original construction of Cell 001 are not available. However, in 2001, Geotechnology, Inc. drilled three test borings through the Cell 001 embankments and into the underlying natural soils. The test borings indicate that the embankments consist of medium stiff clay fill with varying amounts of sand, gravel and slag.

During our 29 August 2016 site visit, we observed no evidence of slope instability or other signs of inadequate compaction of the embankment fill. In addition, based on the information reviewed for this Structural Stability Assessment, there has been no historic evidence of slope instability or other signs of inadequate embankment compaction.

Based on our review of the test boring logs and other available information on the Cell 001 embankments, as well as our observations during the 29 August 2016 site visit, we have concluded the fill soils used to construct the Cell 001 embankments were likely mechanically compacted during construction.

4. §257.73(d)(1)(iv): Vegetated slopes of dikes and surrounding areas not to exceed a height of six inches above the slope of the dike, except for slopes which have an alternate form or forms of slope protection.

At the time of our 29 August 2016 site visit, the grass on the Cell 001 exterior slopes was typically 6 to 12 inches in height. During our site visit, AECI was mowing the interior slopes of Cell 001 using a recently purchased specialized mower that attaches to the boom of a Cat 330 long-reach excavator. The excavator has a 60-ft reach, enabling the equipment to mow areas that were previously inaccessible. After mowing, vegetation on the interior slopes was approximately 6 inches in length.



- 5. §257.73(d)(1)(v)(A): Spillway Erosion Protection All spillways must be either: (1) Of non-erodible construction and designed to carry sustained flows; or (2) Earth- of grass-lined and designed to carry short-term, infrequent flows at non-erosive velocities where sustained flows are not expected.
 - The spillway in Cell 001 consists of the concrete decant structure located in the northwest corner of the impoundment. The concrete construction is non-erodible and designed to carry sustained flows.
- 6. §257.73(d)(1)(v)(B): Spillway Capacity The combined capacity of all spillways must adequately manage flow during and following the peak discharge from a: (1) Probable maximum flood (PMF) for a high hazard potential CCR surface impoundment; or (2) 1000-year flood for a significant hazard potential CCR surface impoundment; or (3) 100-year flood for a low hazard potential CCR surface impoundment.

The spillway capacity for the impoundment is required to be modeled and analyzed in accordance with §257.82 Hydrologic and Hydraulic Capacity Requirements for CCR Surface Impoundments. AECI will complete that capacity analysis requirement under separate cover, consistent with the CCR Rule Preamble reference to the same section.

7. §257.73(d)(1)(vi): Hydraulic structures underlying the base of the CCR unit or passing through the dike of the CCR unit that maintain structural integrity and are free of significant deterioration, deformation, distortion, bedding deficiencies, sedimentation, and debris which may negatively affect the operation of the hydraulic structure.

Cell 001 hydraulic structures include the decant structure and outlet pipe. The rectangular concrete decant structure is located in the northwest corner of the impoundment. Flow entering the decant structure is conveyed through the Cell 001 west embankment via a 30-in. diameter reinforced concrete pipe which discharges to a drainage ditch that flows to Cell 003.

The decant structure has some surface pitting on the concrete and surface rust on some of the metal components but was judged to be in good condition overall.

The 30-inch discharge pipe is buried and is only visible for a few feet at each end of the pipe. There are no signs of ground settlement above or around the pipe. No sediment or debris were observed at either end of the outlet pipe.

8. §257.73(d)(1)(vii): For CCR units with downstream slopes which can be inundated by the pool of an adjacent water body, such as a river, stream or lake, downstream slopes that maintain structural stability during low pool of the adjacent water body or sudden drawdown of the adjacent water body.

There are no natural water bodies in the vicinity of Cell 001. Cell 002 exists immediately to the south of Cell 001 with normal operating levels below the elevation of the Cell 001 slope. The drainage channel west and southwest of Cell 001 that conveys flow from Cell 001 to Cell 003 also conveys other plant process water and coal pile runoff. Flow to this channel is controlled by the power plant, and their elevations and flow capacities prevent them from inundating the downstream slopes of Cell 001. As a result, inundation of the Cell 001 downstream slopes is not likely and no rapid drawdown potential exists.



9. §257.73(d)(2): Identify any structural stability deficiencies associated with the CCR unit in addition to recommending corrective measures.

Our Structural Stability Assessment identified no structural stability deficiencies at Cell 001. However, we recommend the following maintenance actions:

- a. Maintain height of vegetation in accordance with §257.73(d)(1)(iv).
- b. Update Operating and Management Plan to reflect recent modifications to Cell 001 including the new processing and containment pad.



4. Conclusions/Certification

Based on our review of the information provided to us and observations during our 29 August 2016 site visit, it is our opinion that the design, construction, operation, and maintenance of Pond 001 – Cell 001 at Thomas Hill Energy Center is consistent with recognized and generally accepted good engineering practices for the maximum volume of CCR and CCR wastewater which can be impounded in Cell 001.

I certify that the Periodic Structural Stability Assessment for AECI's Pond 001 – Cell 001 at the Thomas Hill Energy Center was conducted in accordance with the requirements of §257.73(d) of the USEPA's CCR Rule.

Signed:

Certifying Engineer

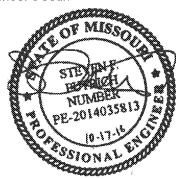
Print Name: Steven F. Putrich

Missouri License No.: 2014035813

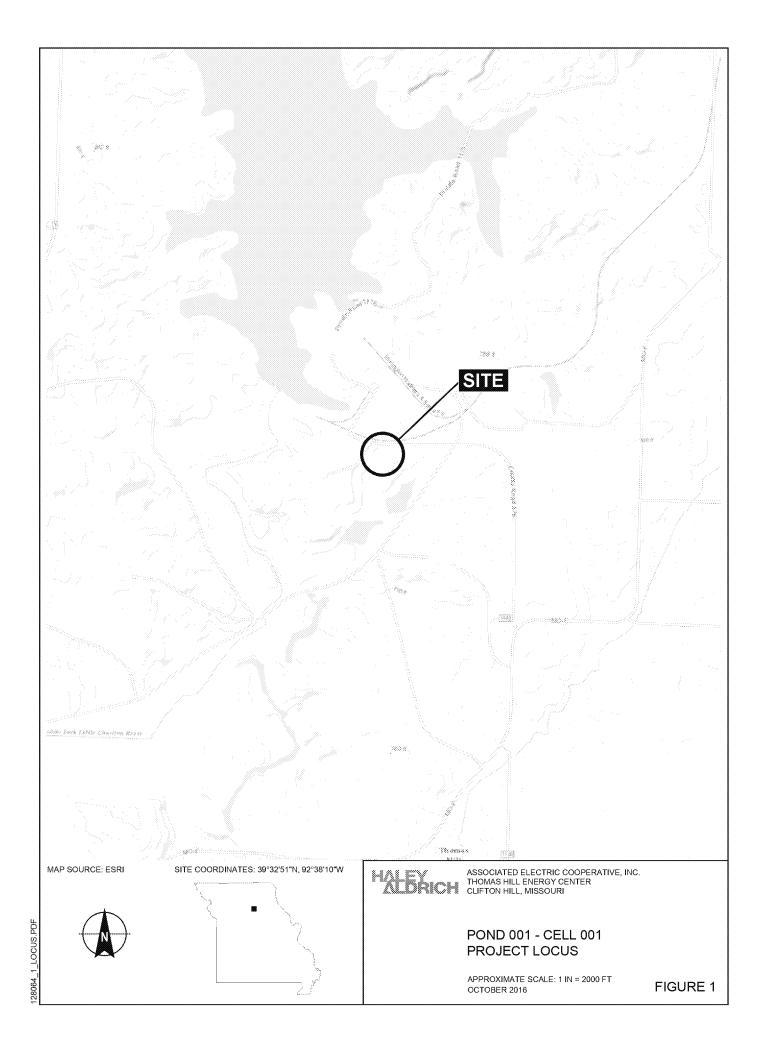
Title: <u>Project Principal</u>

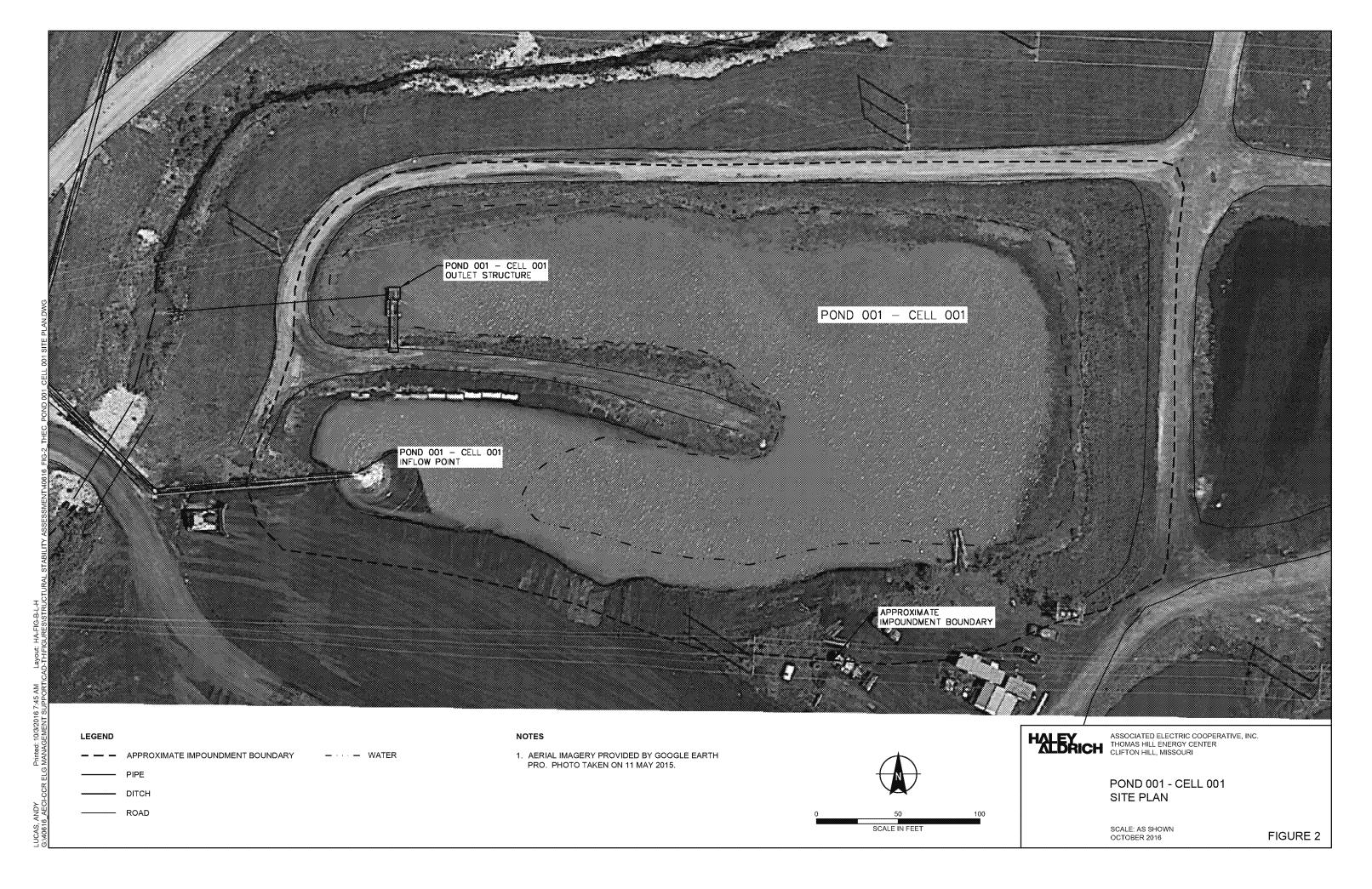
Company: Haley & Aldrich, Inc.

Professional Engineer's Seal:









APPENDIX A

References

References

- 1. AECI, "Pond #001, the Ash Pond Series Operating and Management Plan," revised December 14, 2012.
- 2. AECI, "Report: Initial Annual CCR Surface Impoundment PE Inspection, Ash Pond 001 Cell 001, Cell 002, Cell 003, Cell 004," dated January 19, 2016.
- 3. Burns & McDonnell, Various Construction Drawings, dated 1979 and 1984.
- 4. GEI Consultants, "Specific Site Assessment for Coal Combustion Waste Impoundments at Thomas Hill Energy Center," dated June 2011.
- 5. Gredell Engineering Resources, Inc., "Project #3 CCR Processing Pad & Containerization, Pond 001 Cell 1 2015, Project Description and Specifications," dated September 9, 2015.
- 6. Gredell Engineering Resources, Inc., "Pond 001 Cell 1 CCR Processing Pad" Design and Construction Summary Report, dated December 2015.
- 7. Geotechnology, Inc., "Slope Stability and Seepage Analysis, Slag Dewatering Basin, Thomas Hill Energy Center," dated February 3, 2012.



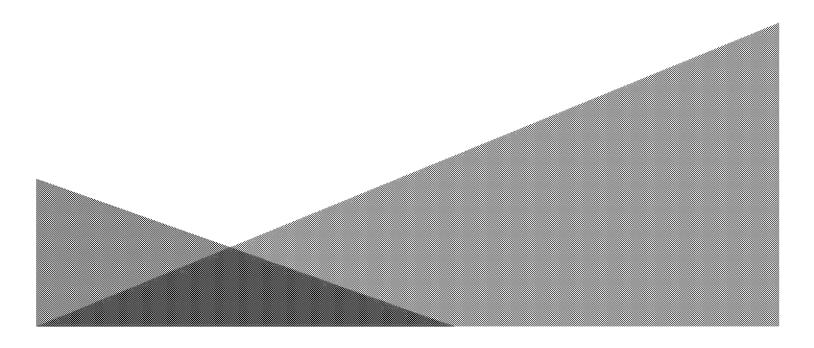
REPORT ON

INITIAL PERIODIC STRUCTURAL STABILITY ASSESSMENT POND 001 – CELL 003
THOMAS HILL ENERGY CENTER
CLIFTON HILL, MISSOURI

by Haley & Aldrich, Inc. Cleveland, Ohio

for Associated Electric Cooperative, Inc. Clifton Hill, Missouri

File No. 128064-003 October 2016





HALEY & ALDRICH, INC. 6500 Rockside Road Suite 200 Cleveland, OH 44131 216.739.0555

17 October 2016 File No. 128064-003

Associated Electric Cooperative, Inc. Thomas Hill Energy Center 5693 Highway F Clifton Hill, Missouri 65244

Attention: Ms. Kim Dickerson

Senior Environmental Analyst

Subject: Initial Periodic Structural Stability Assessment

Pond 001 - Cell 003

Thomas Hill Energy Center

Clifton Hill, Missouri

Ms. Dickerson:

Enclosed please find our report on the Initial Periodic Structural Stability Assessment for the Associated Electric Cooperative, Inc. (AECI) Pond 001 - Cell 003 (Cell 003) coal combustion residuals (CCR) surface impoundment located at the Thomas Hill Energy Center (THEC) in Clifton Hill, Missouri.

This work was performed by Haley & Aldrich, Inc. (Haley & Aldrich) on behalf of AECI in accordance with the US Environmental Protection Agency's (EPA's) Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities, 40 CFR Part 257, specifically §257.73(d).

The scope of our work consisted of the following: 1) obtain and review readily available reports, investigations, plans and data pertaining to the Pond 001 – Cell 003 surface impoundment; 2) visit the site to observe Cell 003; 3) evaluate whether the design, construction, operation, and maintenance of Cell 003 are consistent with recognized and generally accepted good engineering practices; and 4) prepare and submit this report presenting the results of our assessment including recommendations.

Associated Electric Cooperative, Inc. 17 October 2016 Page 2

Thank you for inviting us to complete this assessment and please feel free to contact us if you wish to discuss the contents of the report.

Sincerely yours, HALEY & ALDRICH, INC.

Steven F. Putrich, P.E. Project Principal

Enclosures





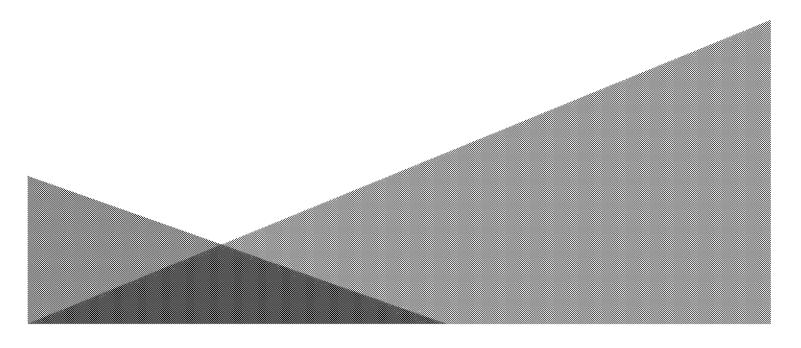
REPORT ON

INITIAL PERIODIC STRUCTURAL STABILITY ASSESSMENT POND 001 – CELL 003
THOMAS HILL ENERGY CENTER
CLIFTON HILL, MISSOURI

by Haley & Aldrich, Inc. Cleveland, Ohio

for Associated Electric Cooperative, Inc. Clifton Hill, Missouri

File No. 128064-003 October 2016



List c	iv		
1.	Gen	1	
	1.1 1.2	AUTHORITY PURPOSE OF STRUCTURAL STABILITY ASSESSMENT	1 1
2.	Description and Operation of Cell 003		
	2.1 2.2	DESCRIPTION OF CELL 003 OPERATION, MAINTENANCE AND INSPECTION	2
3.	Structural Stability Assessment		4
	3.1 3.2 3.3	REVIEW OF EXISTING INFORMATION SITE VISIT AND FIELD OBSERVATIONS STRUCTURAL STABILITY ASSESSMENT	4 4 4
4.	Con	clusions/Certification	8

Appendix A – References



List of Figures

Figure No. Title

1 Project Locus

2 Site Plan



1. General

1.1 AUTHORITY

Haley & Aldrich, Inc. (Haley & Aldrich) has been contracted by Associated Electric Cooperative, Inc. (AECI) to perform the Initial Periodic Structural Stability Assessment (Assessment) for the AECI Pond 001 – Cell 003 (Cell 003) coal combustion residuals (CCR) surface impoundment located at Thomas Hill Energy Center (THEC) in Clifton Hill, Missouri. This work was completed in accordance with the US Environmental Protection Agency's (EPA's) Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities, 40 CFR Part 257, specifically §257.73(d).

1.2 PURPOSE OF STRUCTURAL STABILITY ASSESSMENT

The purpose of this Initial Structural Stability Assessment was to document whether the design, construction, operation, and maintenance of Cell 003 are consistent with recognized and generally accepted good engineering practices.

The scope of our work consisted of the following: 1) obtain and review readily available reports, investigations, plans and data pertaining to the Cell 003 surface impoundment; 2) visit the site to observe Cell 003; 3) evaluate whether the design, construction, operation, and maintenance of Cell 003 are consistent with recognized and generally accepted good engineering practices; and 4) prepare and submit this report presenting the results of our evaluation, including recommendations.



2. Description and Operation of Cell 003

2.1 DESCRIPTION OF CELL 003

Cell 003 is a CCR surface impoundment located to the south of the Thomas Hill power plant. Cell 003 was originally designed by Burns & McDonnell in 1978-1979 and constructed shortly thereafter. It is understood that Cell 003 was modified in 1984.

Cell 003 is used for wet storage of fly ash, bottom ash, boiler slag and sediments from the coal pile runoff. Cell 003 is incised on the east and west sides. On the north side, an embankment with 18-ft crest width separates Cell 003 and Cell 002. The embankment is constructed from clay fill obtained from an on-site borrow source. The embankment is underlain by naturally deposited medium stiff to very stiff clay and silty clay. The north interior slope of Cell 003 varies from about 3H:1V to 2H:1V, while the north exterior slope is typically 3H:1V.

On the south side, an embankment with 16-ft crest width separates Cell 003 and Cell 004. The embankment is constructed from clay fill obtained from an on-site borrow source. The embankment is underlain by naturally deposited stiff clay with trace sand, which is in turn underlain by weathered limestone. The south interior and exterior slopes are typically 3H:1V. In 1984, the current south embankment was constructed and the original embankment was abandoned and left in place. The abandoned embankment is submerged at normal pool level.

Cell 003 has a surface area of approximately 13 acres and total storage capacity of approximately 160 acre-feet as stated in the Initial Annual Inspection.

Cell 003 receives decant water and suspended CCR from Cell 001 via an earthen bypass channel which flows from Cell 001 and around Cell 002, discharging into the northwest corner of Cell 003. In addition, stormwater and non-CCR process water from Cell 002 East flows to Cell 003, discharging from an underwater pipe in the northeast corner of the impoundment. During the 2015 modifications to Cell 002 West, a 15-in. corrugated metal pipe was installed through the Cell 002/003 embankment to convey water from Cell 002 to Cell 003. This pipe remains inactive as Cell 002 is maintained in a dry condition to facilitate the ongoing CCR removal from the impoundment.

The outlet structure from Cell 003 consists of a rectangular concrete drop inlet tower equipped with 60-in. wide concrete stop logs. Decant water entering the structure flows through a pipe that penetrates the common Cell 003/004 embankment and discharges underwater into Cell 004. The Cell 003 emergency spillway consists of an 18-ft wide riprap-lined channel which is approximately 2 ft in depth located across the crest of the south dike. To provide vehicle access across the riprapped channel, the riprap has been topped off with a layer of crushed stone within the limits of access road.

Accumulated CCR is periodically dredged from Cell 003, generally in odd years, one half of the cell at a time at an approximate 4-year cycle for the full unit.

2.2 OPERATION, MAINTENANCE AND INSPECTION

Cell 003 and the other cells within the Pond 001 system are operated and managed by AECI personnel in accordance with AECI's "Operating and Management Plan" dated December 14, 2012 (Reference 1).



AECI personnel are conducting 7-day and annual inspections of the Cell 003 impoundment in accordance with EPA's Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities, 40 CFR Part 257.83. In addition, the impoundment is inspected following heavy rain events. No instrumentation exists in the dike for the 30-day inspection.

Maintenance of the impoundment includes regular mowing of grass, seeding of thinly vegetated areas, control of woody growth, repair of erosion as needed, and inspection of the drain mechanisms.

Operation includes regulating water levels in the impoundment, regulating and monitoring process water discharge from Cell 001 and Cell 002, and periodic dredging of accumulated CCR from the impoundment.



3. Structural Stability Assessment

3.1 REVIEW OF EXISTING INFORMATION

For this assessment, Haley & Aldrich reviewed multiple sources of information including:

- Report on the Initial Annual Inspection performed by AECI in accordance with 40 CFR §257.83, dated January 19, 2016
- Previous impoundment inspection reports by GEI (on behalf of EPA) and Geotechnology, Inc.
- Operating and Management Plan
- Topographic plans and aerial photos
- Construction drawings
- Subsurface information
- Geotechnical laboratory test results
- Slope stability evaluations
- Correspondence
- Variety of other information in addition to verbal information provided by AECI during our assessment.

Our review included, but was not limited to the references listed in Appendix A.

3.2 SITE VISIT AND FIELD OBSERVATIONS

On 29 August 2016, Haley & Aldrich visited Thomas Hill Energy Center to observe conditions at Cell 003, and to meet with AECI personnel to discuss operations and maintenance of the impoundment. Prior to the site visit, we reviewed previous inspection reports including the above-referenced Initial Annual Inspection Report by AECI, and previous inspection reports referenced above and listed in Appendix A. At the time of our site visit, Cell 003 was in operation with water levels at the normal operating level.

3.3 STRUCTURAL STABILITY ASSESSMENT

In accordance with 40 CFR §257.73(d), the owner or operator of a CCR surface impoundment must conduct initial and periodic structural stability assessments to determine whether the design, construction, operation, and maintenance of the CCR unit is consistent with recognized and generally accepted good engineering practices.

Haley & Aldrich reviewed the information provided to us and visited the site to observe Cell 003. Based on our review of available information and observations during our 29 August 2016 site visit, we have concluded the following in accordance with 40 CFR §257.73(d):

1. §257.73(d)(1)(i): Stable foundations and abutments.

Based on our review of available subsurface information, impoundment inspection reports, geotechnical laboratory test results, slope stability analyses, and observations during our 29 August



2016 site visit, Cell 003 was judged to have stable foundations. The Cell 003 embankments have not exhibited signs of excessive settlement, instability or other signs of inadequate foundation support.

2. §257.73(d)(1)(ii): Adequate slope protection to protect against surface erosion, wave action, and adverse effects of sudden drawdown.

The Cell 003 interior slopes are covered with vegetation for the full height of the slopes. Based on observations during our 29 August 2016 site visit, the slope protection on the interior slopes was judged to provide adequate slope protection against surface erosion, wave action and adverse effects from sudden drawdown. The exterior slopes of Cell 003 are vegetated for the full height of the slopes and were judged to have adequate slope protection.

3. §257.73(d)(1)(iii): Dikes mechanically compacted to a density sufficient to withstand the range of loading conditions in the CCR unit.

Cell 003 is incised on the east and west sides. Constructed dikes around Cell 003 include the north and south embankments. The north embankment separates Cell 003 and Cell 002, while the south embankment separates Cell 003 and Cell 004.

Construction records are not available for the north and south embankments. However, in 2010, Geotechnology, Inc. performed one test boring and one cone penetrometer sounding through the north embankment, and one test boring and one cone penetrometer sounding through the south embankment. The borings and cone penetrometers were drilled through the embankment fill and into the underlying natural soils. The subsurface explorations indicate the embankment fill in the north embankment consists of stiff clay with trace silt and sand, while the fill in the south embankment consists of medium stiff to stiff clay with varying amounts of silt, sand and gravel.

During our 29 August 2016 site visit, we observed no evidence of slope instability or other signs of inadequate compaction of the embankment fill. In addition, based on the information reviewed for this Assessment, there has been no historic evidence of slope instability or other signs of inadequate embankment compaction.

Based on our review of subsurface exploration logs, and other available information on the Cell 003 embankments, as well as our observations during the 29 August 2016 site visit, we have concluded the fill used to construct the Cell 003 embankments was mechanically compacted.

4. §257.73(d)(1)(iv): Vegetated slopes of dikes and surrounding areas not to exceed a height of six inches above the slope of the dike, except for slopes which have an alternate form or forms of slope protection.

The vegetation on the interior and exterior slopes of Cell 003 was generally 6 to 12 inches in height at the time of our 29 August 2016 site visit. AECI has recently purchased a specialized mower that attaches to the boom of a Cat 330 long-reach excavator. The excavator has a 60-ft reach, enabling the equipment to mow areas that were previously inaccessible. During our site visit, AECI was in the process of mowing such areas. After mowing, vegetation was approximately 6 inches in height.



5. §257.73(d)(1)(v)(A): Spillway Erosion Protection — All spillways must be either: (1) Of non-erodible construction and designed to carry sustained flows; or (2) Earth- of grass-lined and designed to carry short-term, infrequent flows at non-erosive velocities where sustained flows are not expected.

The primary spillway in Cell 003 consists of the concrete decant structure located in the southwest corner of the impoundment. The concrete construction is non-erodible and designed to carry sustained flows.

The emergency spillway in Cell 003 consists of an 18-ft wide riprap-lined channel which is approximately 2 ft in depth located across the crest of the south dike. The emergency spillway channel was judged to have adequate erosion protection to withstand short-term, infrequent flows.

6. §257.73(d)(1)(v)(B): Spillway Capacity — The combined capacity of all spillways must adequately manage flow during and following the peak discharge from a: (1) Probable maximum flood (PMF) for a high hazard potential CCR surface impoundment; or (2) 1000-year flood for a significant hazard potential CCR surface impoundment; or (3) 100-year flood for a low hazard potential CCR surface impoundment.

The spillway capacity for the impoundment is required to be modeled and analyzed in accordance with §257.82 Hydrologic and Hydraulic Capacity Requirements for CCR surface impoundments. AECI will complete that capacity analysis requirement under separate cover, consistent with the CCR Rule Preamble reference to the same section.

7. §257.73(d)(1)(vi): Hydraulic structures underlying the base of the CCR unit or passing through the dike of the CCR unit that maintain structural integrity and are free of significant deterioration, deformation, distortion, bedding deficiencies, sedimentation, and debris which may negatively affect the operation of the hydraulic structure.

Cell 003 hydraulic structures include the rectangular concrete decant structure and outlet pipe. Flow entering the decant structure is conveyed through the Cell 003 south embankment and discharges underwater into Cell 004. The decant structure was judged to be in good condition.

The discharge pipe is buried within the south embankment and is not visible. There are no signs of ground settlement above or around the pipe. No sediment or debris was observed at either end of the outlet pipe.

8. §257.73(d)(1)(vii): For CCR units with downstream slopes which can be inundated by the pool of an adjacent water body, such as a river, stream or lake, downstream slopes that maintain structural stability during low pool of the adjacent water body or sudden drawdown of the adjacent water body.

There are no natural water bodies in the vicinity of Cell 003. Cell 002 exists immediately to the north (upstream) of Cell 003 and shares the northern edge of Cell 003, while Cell 004 exists immediately to the south (downstream) of Cell 003 and shares the south dike of Cell 003.

The water level in Cell 004 is controlled by AECI using stop logs in the impoundment's outlet structure, thus a rapid drawdown condition is not a realistic possibility without a failure of its own berm. In addition, in 2010, Geotechnology, Inc. performed slope stability analyses on both the north



and south embankments of Cell 003 (Reference 5) and confirmed the stability of these embankments. Additional analyses for a Cell 004 sudden drawdown are recommended to confirm the stability of the Cell 003 berm under that unlikely scenario.

9. §257.73(d)(2): Identify any structural stability deficiencies associated with the CCR unit in addition to recommending corrective measures.

Our Structural Stability Assessment identified no structural stability deficiencies at Cell 003. However, we recommend the following maintenance actions:

- a. Repair ruts on crest of the north embankment.
- b. Maintain height of vegetation in accordance with §257.73(d)(1)(iv).
- c. Confirmation of Cell 003 structural stability following a sudden drawdown of Cell 004.



4. Conclusions/Certification

Based on our review of the information provided to us and observations during our 29 August 2016 site visit, it is our opinion that the design, construction, operation, and maintenance of Pond 001 – Cell 003 at Thomas Hill Energy Center is consistent with recognized and generally accepted good engineering practices for the maximum volume of CCR and CCR wastewater which can be impounded in Cell 003.

I certify that the Periodic Structural Stability Assessment for AECI's Pond 001 – Cell 003 at the Thomas Hill Energy Center was conducted in accordance with the requirements of §257.73(d) of the USEPA's Final CCR Rule.

Signed:

Certifying Engineer

Print Name: Steven F. Putrich

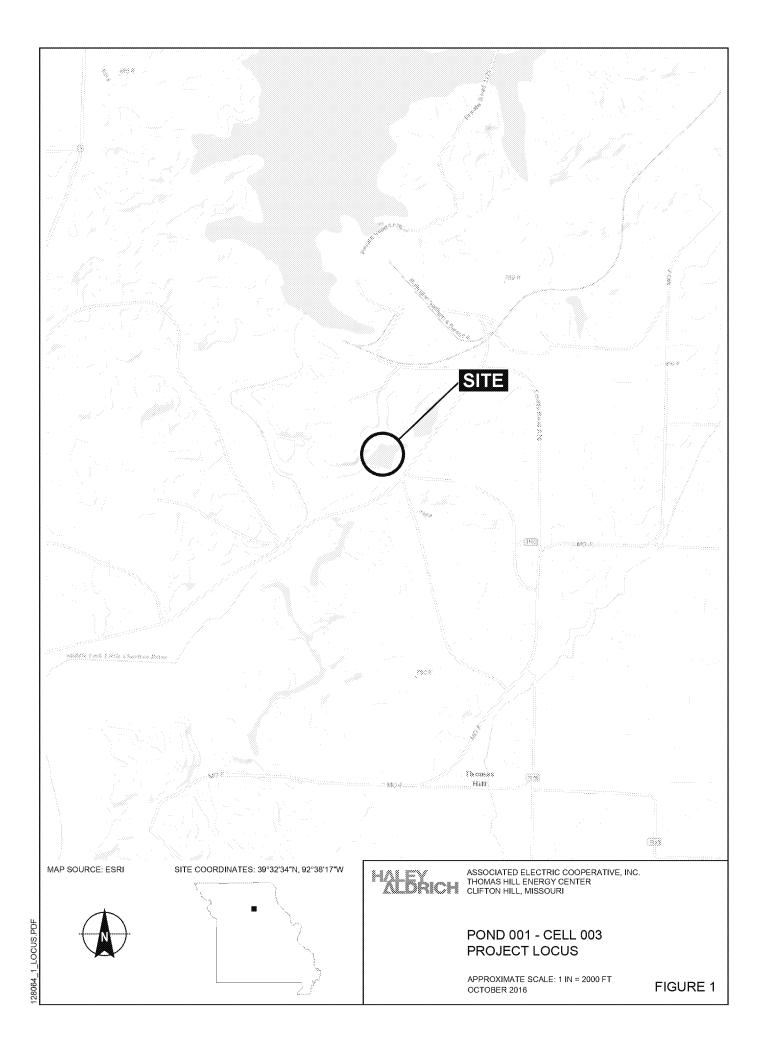
Missouri License No.: 2014035813

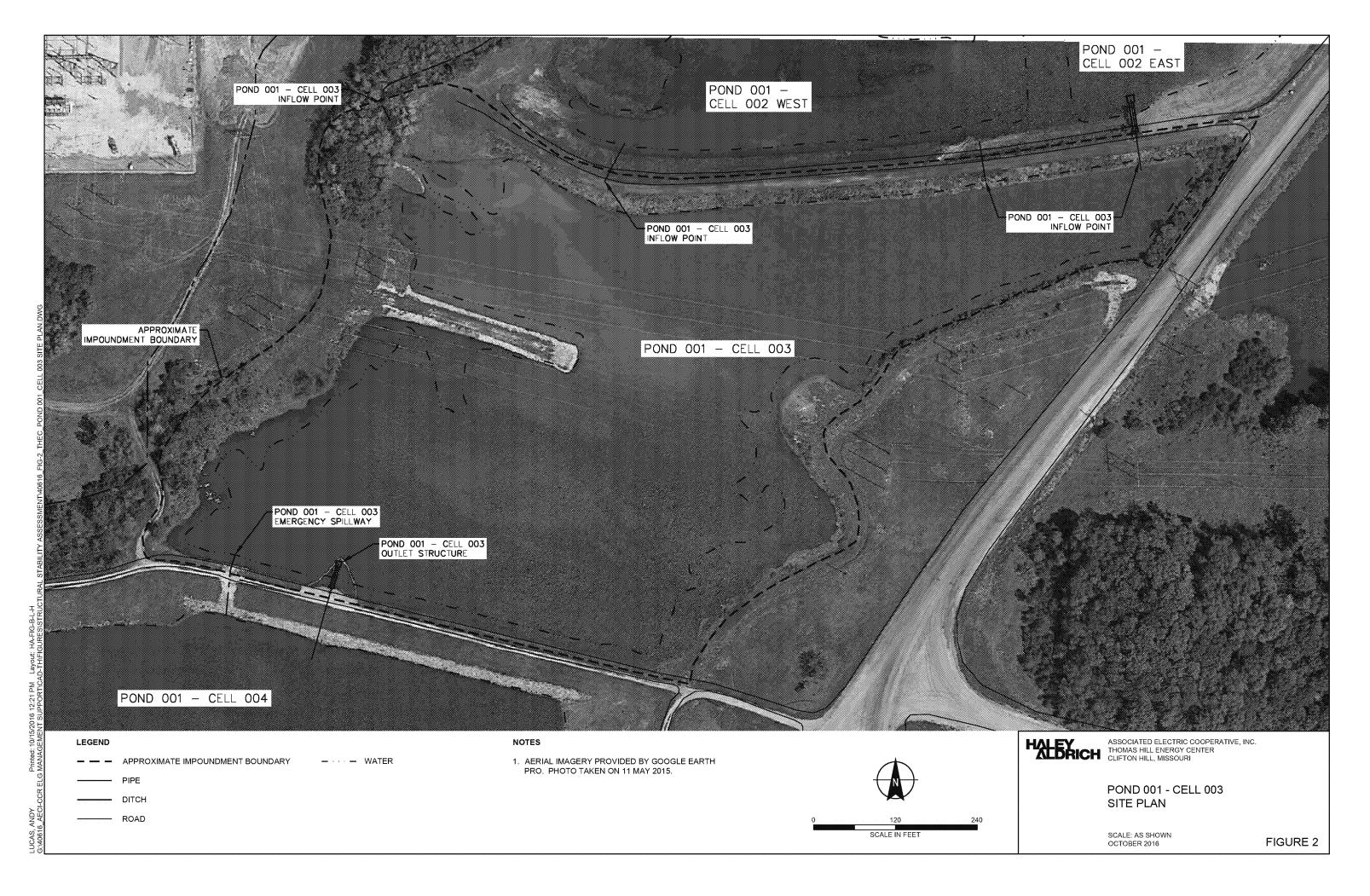
Title: <u>Project Principal</u>
Company: <u>Haley & Aldrich, Inc.</u>

Professional Engineer's Seal:









APPENDIX A

References

References

- 1. AECI, "Pond #001, the Ash Pond Series Operating and Management Plan," revised December 14, 2012.
- 2. AECI, "Report: Initial Annual CCR Surface Impoundment PE Inspection, Ash Pond 001 Cell 001, Cell 002, Cell 003, Cell 004," dated January 19, 2016.
- 3. Burns & McDonnell, Various Construction Drawings, dated 1979 and 1984.
- 4. GEI Consultants, "Specific Site Assessment for Coal Combustion Waste Impoundments at Thomas Hill Energy Center," dated June 2011.
- 5. Geotechnology, Inc., "Global Stability Evaluation, Mine Waste and Ash Pond Embankments, AECI Facilities, Bee Veer and Thomas Hill, Missouri," dated April 22, 2010.
- 6. Gredell Engineering Resources, Inc., "CCR Separation Berm Pond 001 Cell 2 2015, Project Description and Specifications," dated October 1, 2015.
- 7. Gredell Engineering Resources, Inc., "Pond 001 Cell 2 Separation Berm" Design and Construction Summary Report, dated November 2015.
- 8. Gredell Engineering Resources, Inc., "Ash Pond 001 Cell 2 Separation Berm" Construction Drawings, dated October 2015.



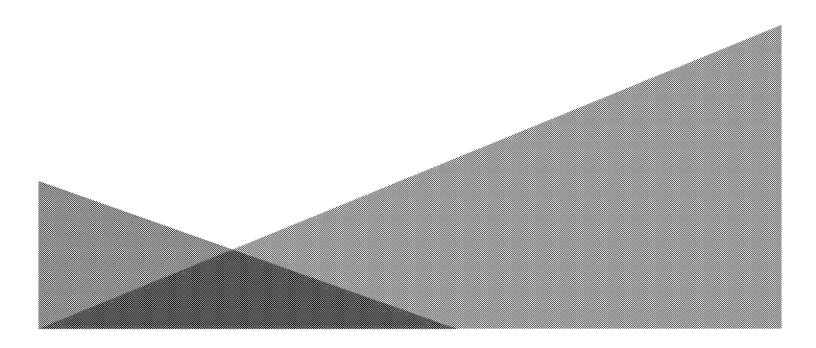
REPORT ON

INITIAL PERIODIC STRUCTURAL STABILITY ASSESSMENT POND 001 – CELL 004
THOMAS HILL ENERGY CENTER
CLIFTON HILL, MISSOURI

by Haley & Aldrich, Inc. Cleveland, Ohio

for Associated Electric Cooperative, Inc. Clifton Hill, Missouri

File No. 128064-003 October 2016





HALEY & ALDRICH, INC. 6500 Rockside Road Suite 200 Cleveland, OH 44131 216.739.0555

17 October 2016 File No. 128064-003

Associated Electric Cooperative, Inc. Thomas Hill Energy Center 5693 Highway F Clifton Hill, Missouri 65244

Attention: Ms. Kim Dickerson

Senior Environmental Analyst

Subject: Initial Periodic Structural Stability Assessment

Pond 001 - Cell 004

Thomas Hill Energy Center

Clifton Hill, Missouri

Ms. Dickerson:

Enclosed please find our report on the Initial Periodic Structural Stability Assessment (Assessment) for the Associated Electric Cooperative, Inc. (AECI) Pond 001 - Cell 004 coal combustion residuals (CCR) surface impoundment located at the Thomas Hill Energy Center (THEC) in Clifton Hill, Missouri.

This work was performed by Haley & Aldrich, Inc. (Haley & Aldrich) on behalf of AECI in accordance with the US Environmental Protection Agency's (EPA's) Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities, 40 CFR Part 257, specifically §257.73(d).

The scope of our work consisted of the following: 1) obtain and review readily available reports, investigations, plans and data pertaining to the Pond 001 – Cell 004 surface impoundment; 2) visit the site to observe Cell 004; 3) evaluate whether the design, construction, operation, and maintenance of Cell 004 are consistent with recognized and generally accepted good engineering practices; and 4) prepare and submit this report presenting the results of our assessment including recommendations.

Associated Electric Cooperative, Inc. 17 October 2016 Page 2

Thank you for inviting us to complete this assessment and please feel free to contact us if you wish to discuss the contents of the report.

Sincerely yours, HALEY & ALDRICH, INC.

Steven F. Putrich, P.E. Project Principal

Enclosures





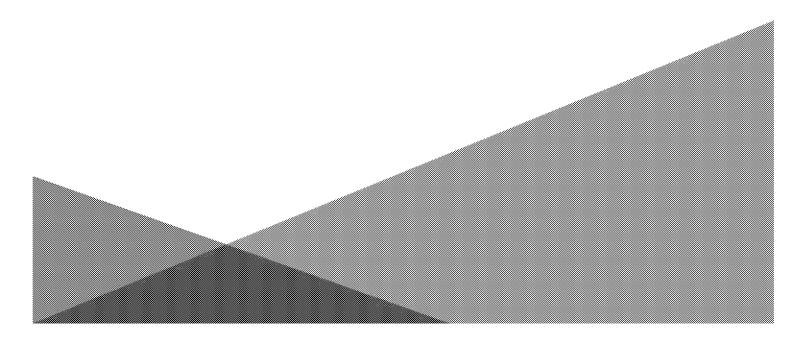
REPORT ON

INITIAL PERIODIC STRUCTURAL STABILITY ASSESSMENT POND 001 – CELL 004
THOMAS HILL ENERGY CENTER
CLIFTON HILL, MISSOURI

by Haley & Aldrich, Inc. Cleveland, Ohio

for Associated Electric Cooperative, Inc. Clifton Hill, Missouri

File No. 128064-003 October 2016



List of Figures			
1.	General		1
	1.1 1.2	AUTHORITY PURPOSE OF STRUCTURAL STABILITY ASSESSMENT	1 1
2.	Description and Operation of Cell 004		2
	2.1	DESCRIPTION OF CELL 004	2
	2.2	OPERATION, MAINTENANCE AND INSPECTION	2
3.	Structural Stability Assessment		
	3.1	REVIEW OF EXISTING INFORMATION	4
	3.2	SITE VISIT AND FIELD OBSERVATIONS	4
	3.3	STRUCTURAL STABILITY ASSESSMENT	4
4.	Conclusions/Certification		8

Appendix A – References



List of Figures

Figure No. Title

1 Project Locus

2 Site Plan



1. General

1.1 AUTHORITY

Haley & Aldrich, Inc. (Haley & Aldrich) has been contracted by Associated Electric Cooperative, Inc. (AECI) to perform the Initial Periodic Structural Stability Assessment (Assessment) for the AECI Pond 001 – Cell 004 (Cell 004) coal combustion residuals (CCR) surface impoundment located at Thomas Hill Energy Center (THEC) in Clifton Hill, Missouri. This work was completed in accordance with the US Environmental Protection Agency's (EPA's) Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities, 40 CFR Part 257, specifically §257.73(d).

1.2 PURPOSE OF STRUCTURAL STABILITY ASSESSMENT

The purpose of this Initial Structural Stability Assessment was to document whether the design, construction, operation, and maintenance of Cell 004 are consistent with recognized and generally accepted good engineering practices.

The scope of our work consisted of the following: 1) obtain and review readily available reports, investigations, plans and data pertaining to the Cell 004 surface impoundment; 2) visit the site to observe Cell 004; 3) evaluate whether the design, construction, operation, and maintenance of Cell 004 are consistent with recognized and generally accepted good engineering practices; and 4) prepare and submit this report presenting the results of our evaluation, including recommendations.



2. Description and Operation of Cell 004

2.1 DESCRIPTION OF CELL 004

Cell 004 is a CCR surface impoundment located to the south of the Thomas Hill power plant. Cell 004 was originally designed by Burns & McDonnell in 1978-1979 and constructed shortly thereafter. It is understood that Cell 004 was modified in the 1980's.

Cell 004 is the final settling pond and stores decant water from Cell 003 and a limited quantity of CCR material. The impoundment is surrounded mostly by earthen berms on all sides except for some portion that is natural ground in the northwest corner and other dike abutment areas. Maximum embankment height is approximately 15 ft. Exterior slopes range from approximately 4H:1V to 5H:1V with some flatter areas. Interior slopes are typically 3H:1V. Crest width varies from approximately 14 to 16 ft.

The embankments are constructed from clay fill obtained from an on-site borrow source. The embankments are underlain by naturally deposited soft to stiff clay with trace sand and/or gravel, which is in turn underlain by weathered limestone, siltstone or shale.

Cell 004 has a surface area of approximately 12 acres and total storage capacity of approximately 125 acre-feet as stated in the Initial Annual Inspection.

The outlet structure from Cell 004 consists of a rectangular concrete drop inlet tower equipped with 60-in. wide concrete stop logs. Decant water enters the structure and flows through a 48-in. diameter steel pipe that penetrates the Cell 004 south embankment and discharges from the NPDES-permitted Outfall #001 into a concrete open channel before flowing to the Middle Fork of the Little Chariton River.

The Cell 004 emergency spillway consists of an 18-ft wide riprap-lined channel which is approximately 2 ft in depth located across the crest of the south embankment. To provide vehicle access across the riprapped channel, the riprap has been topped off with a layer of crushed stone within the limits of access road.

2.2 OPERATION, MAINTENANCE AND INSPECTION

Cell 004 and the other cells within the Pond 001 system are operated and managed by AECI personnel in accordance with AECI's "Operating and Management Plan" dated December 14, 2012 (Reference 1).

AECI personnel are conducting 7-day and annual inspections of the Cell 004 impoundment in accordance with EPA's Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities, 40 CFR Part 257.83. In addition, the impoundment is inspected following heavy rain events. No instrumentation exists in the dike for the 30-day inspection.

Maintenance of Cell 004 includes regular mowing of grass, seeding of thinly vegetated areas, control of woody growth, repair of erosion as needed, repair of riprap as needed, maintenance of the outfall to the Middle Fork of the Little Chariton River, and inspection of the drain mechanisms.



Operation includes regulating water levels in the impoundment, regulating and monitoring wastewater discharge from Cell 003 into Cell 004, and regulating and monitoring flow from Cell 004 to the outfall to the Middle Fork of the Little Chariton Rover.



3. Structural Stability Assessment

3.1 REVIEW OF EXISTING INFORMATION

For this assessment, Haley & Aldrich reviewed multiple sources of information including:

- Report on the Initial Annual Inspection performed by AECI in accordance with 40 CFR §257.83, dated January 19, 2016
- Previous impoundment inspection reports by GEI (on behalf of EPA) and Geotechnology, Inc.
- Operating and Management Plan
- Topographic plans and aerial photos
- Construction drawings
- Subsurface information
- Geotechnical laboratory test results
- Slope stability evaluations
- Correspondence
- Variety of other information in addition to verbal information provided by AECI during our Assessment.

Our review included, but was not limited to the references listed in Appendix A.

3.2 SITE VISIT AND FIELD OBSERVATIONS

On 29 August 2016, Haley & Aldrich visited Thomas Hill Energy Center to observe conditions at Cell 004, and to meet with AECI personnel to discuss operations and maintenance of the impoundment. Prior to the site visit, we reviewed previous inspection reports including the above-referenced Initial Annual Inspection Report by AECI, and previous inspection reports referenced above and listed in Appendix A. At the time of our site visit, Cell 004 was in operation with water levels at the normal operating level.

3.3 STRUCTURAL STABILITY ASSESSMENT

In accordance with 40 CFR §257.73(d), the owner or operator of a CCR surface impoundment must conduct initial and periodic structural stability assessments to determine whether the design, construction, operation, and maintenance of the CCR unit is consistent with recognized and generally accepted good engineering practices.

Haley & Aldrich reviewed the information provided to us and visited the site to observe Cell 004. Based on our review of available information and observations during our 29 August 2016 site visit, we have concluded the following in accordance with 40 CFR §257.73(d):



1. §257.73(d)(1)(i): Stable foundations and abutments.

Based on our review of available subsurface information, impoundment inspection reports, geotechnical laboratory test results, slope stability analyses, and observations during our 29 August 2016 site visit, Cell 004 was judged to have stable foundations. The Cell 004 embankments have not exhibited signs of excessive settlement, instability or other signs of inadequate foundation support.

2. §257.73(d)(1)(ii): Adequate slope protection to protect against surface erosion, wave action, and adverse effects of sudden drawdown.

Along the west embankment and northern half of the east embankment, the Cell 004 interior slopes are covered with vegetation for the full height of the slope. On all other interior slopes, riprap protection is provided on the lower 8 to 15 ft of the slope.

Based on observations during our 29 August 2016 site visit, the slope protection on the interior slopes was judged to provide adequate slope protection against surface erosion, wave action and adverse effects from sudden drawdown. The exterior slopes of Cell 004 are vegetated for the full height of the slopes and were judged to have adequate slope protection.

3. §257.73(d)(1)(iii): Dikes mechanically compacted to a density sufficient to withstand the range of loading conditions in the CCR unit.

Construction records are not available for the Cell 004 embankments.

However, in 2010, Geotechnology, Inc. performed one test boring and one cone penetrometer sounding through the north embankment. In 2011, Geotechnology drilled one test boring through the south embankment and one boring at the exterior toe of the embankment. The borings and cone penetrometer were drilled through the embankment fill and into the underlying natural soils.

The subsurface explorations indicate the embankment fill in the north embankment consists of medium stiff to stiff clay with varying amounts of silt, sand and gravel. In the south embankment, the borings encountered embankment fill generally consisting of medium stiff clay with varying amounts of gravel.

During our 29 August 2016 site visit, we observed no evidence of slope instability or other signs of inadequate compaction of the embankment fill. In addition, based on the information reviewed for this Structural Stability Assessment, there has been no historic evidence of slope instability or other signs of inadequate embankment compaction.

Based on our review of subsurface exploration logs and other available information on the Cell 004 embankments, as well as our observations during the 29 August 2016 site visit, we have concluded the fill used to construct the Cell 004 embankments was mechanically compacted.



4. §257.73(d)(1)(iv): Vegetated slopes of dikes and surrounding areas not to exceed a height of six inches above the slope of the dike, except for slopes which have an alternate form or forms of slope protection.

The vegetation on the interior and exterior slopes of Cell 004 was generally 6 to 12 inches in height at the time of our 29 August 2016 site visit. AECI has recently purchased a specialized mower that attaches to the boom of a Cat 330 long-reach excavator. The excavator has a 60-ft reach, enabling the equipment to mow areas that were previously inaccessible to conventional mowing equipment.

5. §257.73(d)(1)(v)(A): Spillway Erosion Protection – All spillways must be either: (1) Of non-erodible construction and designed to carry sustained flows; or (2) Earth- of grass-lined and designed to carry short-term, infrequent flows at non-erosive velocities where sustained flows are not expected.

The primary spillway in Cell 004 consists of the concrete decant structure located in the southwest corner of the impoundment. The concrete construction is non-erodible and designed to carry sustained flows.

The emergency spillway in Cell 004 consists of an 18-ft wide riprap-lined channel which is approximately 2 ft in depth located across the crest of the west dike. The emergency spillway channel was judged to have adequate erosion protection to withstand short-term, infrequent flows.

6. §257.73(d)(1)(v)(B): Spillway Capacity — The combined capacity of all spillways must adequately manage flow during and following the peak discharge from a: (1) Probable maximum flood (PMF) for a high hazard potential CCR surface impoundment; or (2) 1000-year flood for a significant hazard potential CCR surface impoundment; or (3) 100-year flood for a low hazard potential CCR surface impoundment.

The spillway capacity for the impoundment is required to be modeled and analyzed in accordance with §257.82 Hydrologic and Hydraulic Capacity Requirements for CCR surface impoundments. AECI will complete that capacity analysis requirement under separate cover, consistent with the CCR Rule Preamble reference to the same section.

7. §257.73(d)(1)(vi): Hydraulic structures underlying the base of the CCR unit or passing through the dike of the CCR unit that maintain structural integrity and are free of significant deterioration, deformation, distortion, bedding deficiencies, sedimentation, and debris which may negatively affect the operation of the hydraulic structure.

Cell 004 hydraulic structures include the rectangular concrete decant structure and outlet pipe. The decant structure was judged to be in good condition.

Flow entering the decant structure is conveyed through the Cell 004 embankment and discharges from the NPDES-permitted Outfall #001 into a concrete open channel before flowing to the Middle Fork of the Little Chariton River. The discharge pipe is buried within the embankment and is not visible. There are no signs of ground settlement above or around the pipe. No sediment or debris was observed at either end of the outlet pipe.

8. §257.73(d)(1)(vii): For CCR units with downstream slopes which can be inundated by the pool of an adjacent water body, such as a river, stream or lake, downstream slopes that maintain structural



stability during low pool of the adjacent water body or sudden drawdown of the adjacent water body.

The only natural water body in the vicinity of Cell 004 is the Middle Fork of the Little Chariton River. Due to the limited size of the channel and the local topography, inundation of the Cell 004 downstream slopes by the Middle Fork of the Little Chariton River is not possible nor is a sudden drawdown condition.

9. §257.73(d)(2): Identify any structural stability deficiencies associated with the CCR unit in addition to recommending corrective measures.

Our Structural Stability Assessment identified no structural stability deficiencies at Cell 004. However, we recommend the following maintenance actions:

a. Maintain height of vegetation in accordance with §257.73(d)(1)(iv).



4. Conclusions/Certification

Based on our review of the information provided to us and observations during our 29 August 2016 site visit, it is our opinion that the design, construction, operation, and maintenance of Pond 001 – Cell 004 at Thomas Hill Energy Center is consistent with recognized and generally accepted good engineering practices for the maximum volume of CCR and CCR wastewater which can be impounded in Cell 004.

I certify that the Periodic Structural Stability Assessment for AECI's Pond 001 – Cell 004 at the Thomas Hill Energy Center was conducted in accordance with the requirements of §257.73(d) of the USEPA's Final CCR Rule.

Signed:

Certifying Engineer

Print Name: Steven F. Putrich

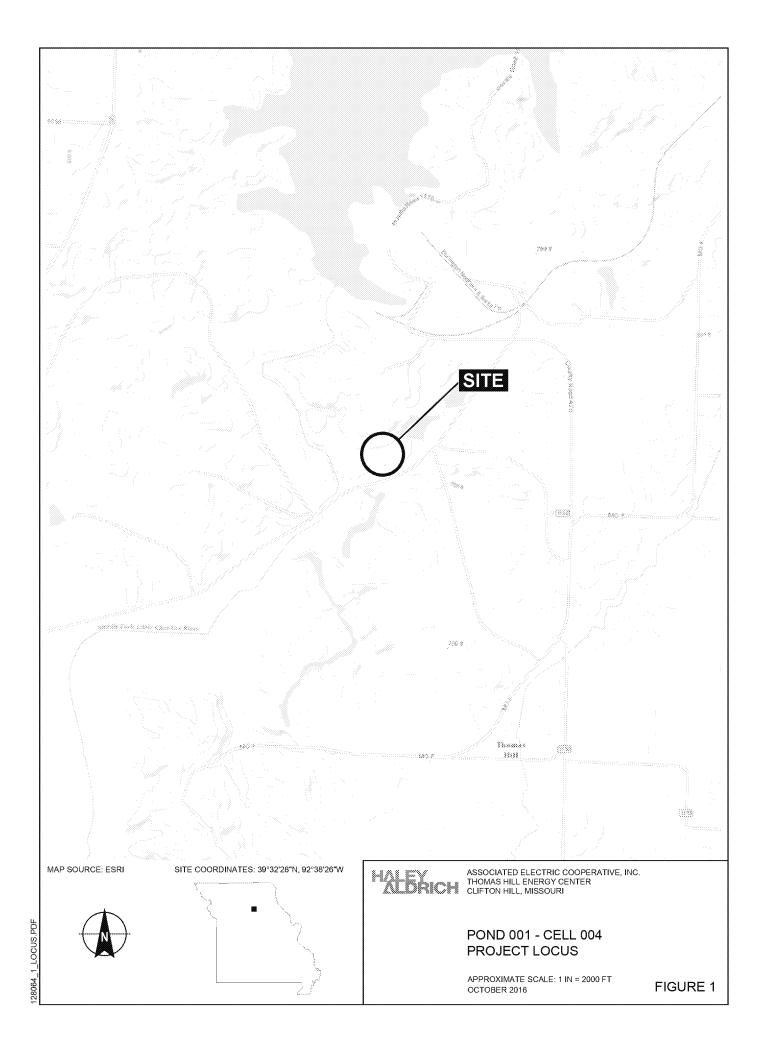
Missouri License No.: 2014035813

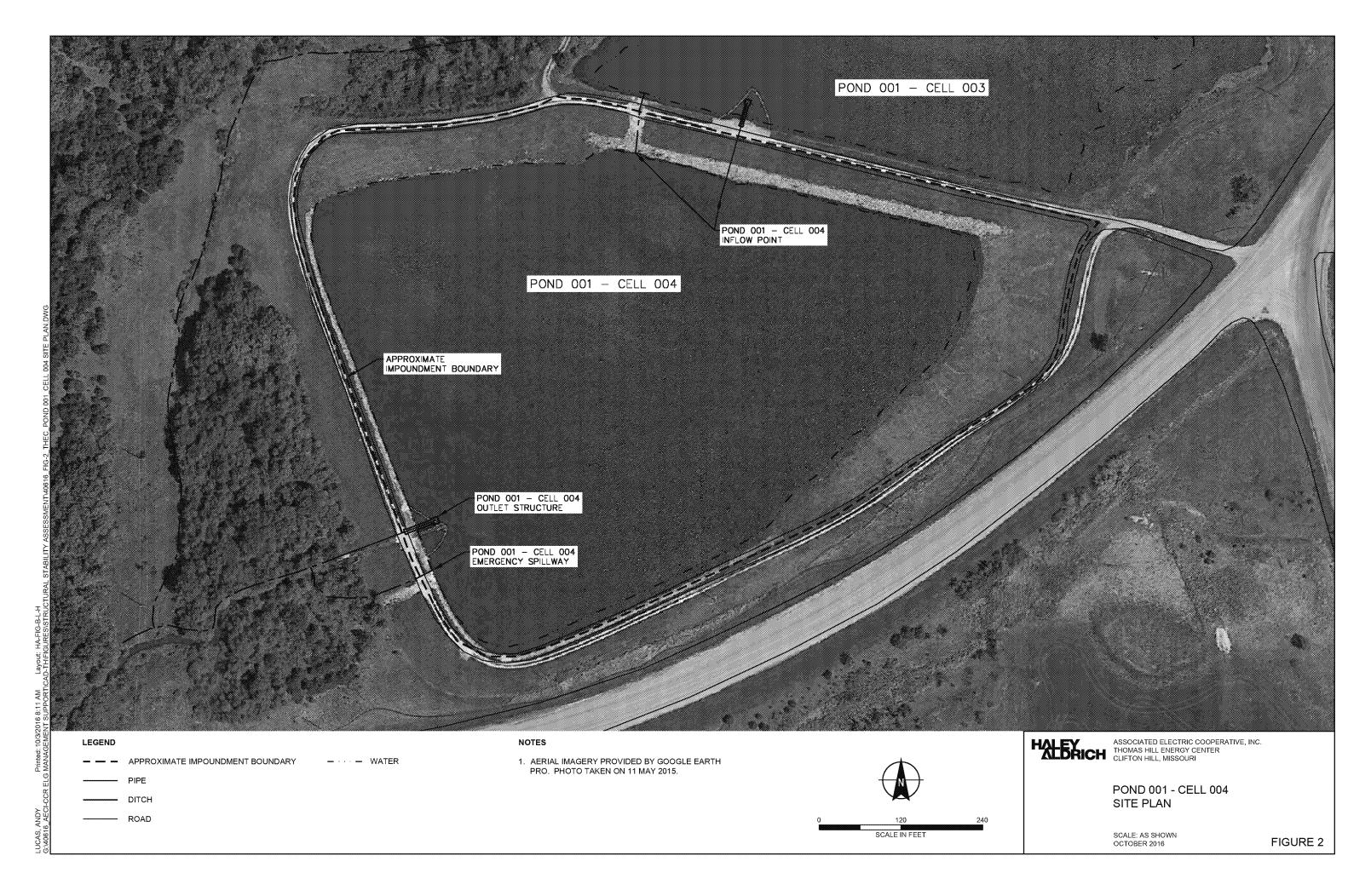
Title: <u>Project Principal</u>
Company: <u>Haley & Aldrich, Inc.</u>

Professional Engineer's Seal:









APPENDIX A

References

References

- 1. AECI, "Pond #001, the Ash Pond Series Operating and Management Plan," revised December 14, 2012.
- 2. AECI, "Report: Initial Annual CCR Surface Impoundment PE Inspection, Ash Pond 001 Cell 001, Cell 002, Cell 003, Cell 004," dated January 19, 2016.
- 3. Burns & McDonnell, Various Construction Drawings, dated 1979 and 1984.
- 4. GEI Consultants, "Specific Site Assessment for Coal Combustion Waste Impoundments at Thomas Hill Energy Center," dated June 2011.
- 5. Geotechnology, Inc., "Global Stability Evaluation, Mine Waste and Ash Pond Embankments, AECI Facilities, Bee Veer and Thomas Hill, Missouri," dated April 22, 2010.
- 6. Geotechnology, Inc., "Slope Stability and Seepage Analysis, Ash Pond No. 3, Thomas Hill Energy Center," dated February 3, 2012.

APPENDIX H

Safety Factor Assessments



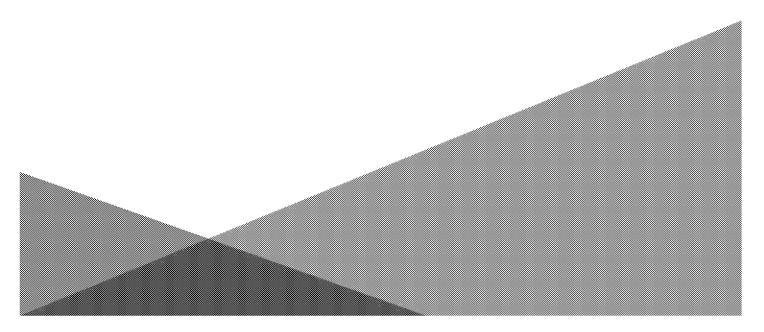
REPORT ON

INITIAL SAFETY FACTOR ASSESSMENT THOMAS HILL ENERGY CENTER CELL 001, CELL 003, AND CELL 004 CLIFTON HILL, MISSOURI

by Haley & Aldrich, Inc. Cleveland, Ohio

for Associated Electric Cooperative, Inc. Springfield, Missouri

File No. 128064-003 October 2016





HALEY & ALDRICH, INC. 6500 Rockside Road Suite 200 Cleveland, OH 44131 216,739,0555

17 October 2016 File No. 128064-003

Associated Electric Cooperative, Inc. 2814 South Golden Avenue P.O. Box 754 Springfield, Missouri 65801

Kim Dickerson Attention:

Senior Environmental Analyst

Subject: Report on Initial Safety Factor Assessment

> Cells 001, 003, and 004 Thomas Hill Energy Center

Clifton Hill, Missouri

Ms. Dickerson:

We are pleased to submit herewith our report entitled, "Report on Initial Safety Factor Assessment, Cells 001, 003, and 004, Thomas Hill Energy Center, Clifton Hill, Missouri." This report includes background information regarding the project, the results of our field investigation program, and the results of our initial safety factor assessment.

This work was performed by Haley & Aldrich, Inc. (Haley & Aldrich) on behalf of Associated Electric Cooperative, Inc. (AECI) in accordance with the United States Environmental Protection Agency's Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities, 40 CFR Part 257, specifically §257.73(e).

The scope of our work consisted of the following: 1) reviewing readily available reports, investigations, plans and data pertaining to the surface impoundments; 2) performing engineering evaluations related to liquefaction and slope stability; and 3) preparing and submitting this report presenting the results of our assessment.

Associated Electric Cooperative, Inc. 17 October 2016 Page 2

Thank you for inviting us to complete this assessment and please feel free to contact us if you wish to discuss the contents of the report.

Sincerely yours, HALEY & ALDRICH, INC.

Dem A Shether

Derrick A. Shelton

Enclosures

Geotechnical Program Manager | Senior Associate

Steven F. Putrich, P.E.

Principal





REPORT ON

INITIAL SAFETY FACTOR ASSESSMENT CELLS 001, 003, AND 004 THOMAS HILL ENERGY CENTER CLIFTON HILL, MISSOURI

by Haley & Aldrich, Inc. Cleveland, Ohio

for Associated Electric Cooperative, Inc. Springfield, Missouri

File No. 128064-003 October 2016

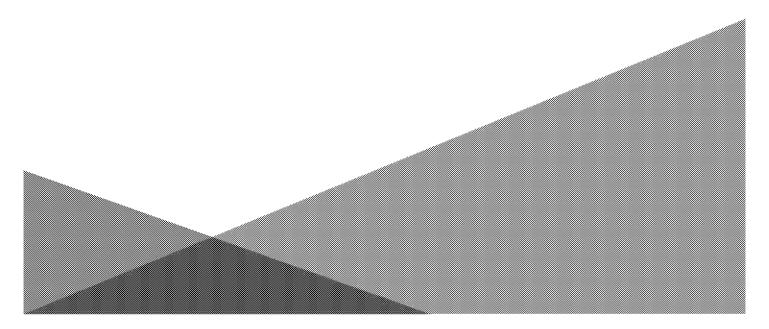


Table of Contents

			Page
	of Tab of Figu		iii
1.	Introduction		1
	1.1 1.2 1.3	GENERAL PURPOSE OF SAFETY FACTOR ASSESSMENT ELEVATION DATUM AND HORIZONTAL CONTROL	1 1 1
2.	Description of Ponds		
	2.1 2.2 2.3	DESCRIPTION OF CELL 001 DESCRIPTION OF CELL 003 DESCRIPTION OF CELL 004	2 2 3
3.	Field Investigation Program		
	3.1 3.2	PREVIOUS EXPLORATIONS AND LABORATORY TESTING PERFORMED BY OTHERS CURRENT SUBSURFACE EXPLORATION PROGRAM	4 4
4.	Subsurface Conditions		
	4.1 4.2 4.3	GEOLOGY SUBSURFACE CONDITIONS GROUNDWATER CONDITIONS	6 6 7
5.	Safety Factor Assessment		
	5.1 5.2 5.3 5.4 5.5	DESIGN WATER LEVELS MATERIAL PROPERTIES DESIGN SEISMIC EVENT LIQUEFACTION POTENTIAL EVALUATION STABILITY ANALYSIS 5.5.1 Methodology for Analyses 5.5.2 Pseudo-static Coefficient 5.5.3 Results of Stability Evaluation CONCLUSIONS	8 9 10 10 10 10 11 11
6.	Cert	ification	14
Refe	erence	S	16



Table of Contents

Page

Tables

Figures

Appendix A – Historic Test Boring Logs and Laboratory Test Results

Appendix B – Current Subsurface Exploration Logs

Appendix C – Analyses



List of Tables

Table No.	Title
1	Summary of Relevant Historic Subsurface Explorations
11	Summary of Current Subsurface Explorations
Ш	Summary of Historic Laboratory Test Results
IV	Summary of Groundwater Level Measurements
V	Material Properties
VI	Summary of Static and Seismic Stability Analyses

List of Figures

Figure No.	Title
1	Project Locus
2	Subsurface Exploration Location Plan



1. Introduction

1.1 GENERAL

Haley & Aldrich, Inc. (Haley & Aldrich) has been contracted by Associated Electric Cooperative, Inc. (AECI) to perform the Initial Safety Factor Assessment for Slag Pond 001 Cells 001, 003, and 004 located at Thomas Hill Energy Center in Clifton Hill, Missouri. This work was completed in accordance with the United States Environmental Protection Agency's (EPA's) Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals (CCR) from Electric Utilities, 40 CFR Part 257, specifically §257.73(e) (EPA, 2015).

1.2 PURPOSE OF SAFETY FACTOR ASSESSMENT

The purpose of this study was to evaluate the subsurface soil and water conditions at the site and to perform the initial safety factor assessment in accordance with Section §257.73(e)(1) of the CCR Rule. To achieve the objective discussed above, the scope of work undertaken for this assessment included the tasks listed below.

- Reviewing readily available reports, investigations, plans and data pertaining to the surface impoundments.
- Evaluating liquefaction susceptibility of material used to construct the impoundment embankments.
- * Performing static and seismic stability analyses for rotational failure surfaces using limit equilibrium methods.

1.3 ELEVATION DATUM AND HORIZONTAL CONTROL

The elevations referenced in this report are in feet and are based on the National Geodetic Vertical Datum of 1929 (NGVD29) unless otherwise noted. The horizontal control is the Missouri State Plane North Coordinate System (NAD 83) datum unless otherwise noted.



2. Description of Ponds

A summary of relevant information associated with each pond is provided below. Additional details can be found in the Initial Structural Stability Assessment Reports prepared by AECI under separate cover. Refer to Figure 1, "Project Locus" for the general site location.

2.1 DESCRIPTION OF CELL 001

Cell 001 is a CCR surface impoundment used for settling and temporary wet storage of bottom ash and boiler slag sluiced from Thomas Hill Units 1 and 2. CCR slurry is pumped from the power plant and discharges into the southwest corner of Cell 001 through two approximate 14-in. diameter pipes. After initial settling, water and suspended CCR enter a rectangular concrete decant structure equipped with 60-inch wide concrete stop logs, and flow via a 30-in. diameter concrete outlet pipe to a drainage channel which discharges into Cell 003.

It is understood that Cell 001 was originally designed by Burn & McDonnell in 1978-1979 and constructed shortly thereafter. In 2015, AECI constructed a CCR Processing and Containment Pad to allow continued removal and dewatering of CCR from Cell 001. The processing and containment pad was designed to allow removal and dewatering of CCR from Cell 001, with free liquids from the dredged CCR draining back into Cell 001. The construction included a 5-ft high containment berm to prevent CCR and free liquids from migrating outside the pad. Fill for the processing pad and containment berm consisted of clayey fill obtained from on-site borrow sources. The clay fill was keyed into the underlying natural clays, and a 2-ft thick compacted clay liner was placed below the processing and containment pad.

Cell 001 impoundment has an area of approximately 2.3 acres. Cell 001 embankments are generally 10 ft or less in height, with a crest width generally ranging from 15 to 20 ft. The containment berm defines the southern edge of the processing and containment pad. Beyond the containment berm, ground surface slopes downward to Cell 002 with a slope height of up to 30 ft.

2.2 DESCRIPTION OF CELL 003

Cell 003 is a CCR surface impoundment located to the south of the Thomas Hill power plant. Cell 003 was originally designed by Burn & McDonnell in 1978-1979 and constructed shortly thereafter. It is understood that Cell 003 was modified in 1984. On the south side, an embankment with 16-ft crest width separates Cells 003 and Cell 004. The embankment is constructed from clay fill obtained from an on-site borrow source. The south interior and exterior slopes are typically 3H:1V. In 1984, the current south embankment was constructed and the original embankment was abandoned and left in place. The abandoned embankment is submerged at normal pool level.

Cell 003 receives decant water and suspended coal combustion residuals (CCR) from Cell 001 via an earthen bypass channel which flows from Cell 001 and around Cell 002, discharging into the northwest corner of Cell 003. In addition, stormwater and non-CCR process water from Cell 002 East flows to Cell 003, discharging from an underwater pipe in the northeast corner of the impoundment. During the 2015 modifications to Cell 002 West, a 15-in. corrugated metal pipe was installed through the embankment between Cell 002 and 003 to convey water from Cell 002 to Cell 003. This pipe remains inactive as Cell 002 is maintained in a dry condition to facilitate the ongoing CCR removal from the impoundment.



The outlet structure from Cell 003 consists of a rectangular concrete drop inlet tower equipped with 60-in. wide concrete stop logs. Decant water entering the structure flows through a pipe that penetrates the common embankment between Cell 003 and 004 and discharges underwater into Cell 004. The Cell 003 emergency spillway consists of an 18-ft wide riprap-lined channel which is approximately 2 ft in depth located across the crest of the south dike. To provide vehicle access across the riprapped channel, the riprap has been topped off with a layer of crushed stone within the limits of access road.

Cell 003 is used for wet storage of fly ash, bottom ash, boiler slag and sediments from the coal pile runoff. Cell 003 is incised on the east and west sides. On the north side, an embankment with 18-ft crest width separates Cell 003 and Cell 002. Accumulated CCR is periodically dredged from Cell 003, generally on an approximate 2 to 4-year cycle.

The north interior slope of Cell 003 varies from about 3 Horizontal to 1 Vertical (3H:1V) to 2H:1V, while the north exterior slope is typically 3H:1V. Cell 003 has a surface area of approximately 13 acres and total storage capacity of approximately 160 acre-ft.

2.3 DESCRIPTION OF CELL 004

Cell 004 is a CCR surface impoundment located to the south of the Thomas Hill power plant. Cell 004 was originally designed by Burn & McDonnell in 1978-1979 and constructed shortly thereafter. It is understood that Cell 004 was modified in the 1980's.

Cell 004 is the final settling pond and stores decant water from Cell 003 and a limited quantity of CCR material. The impoundment is surrounded by earthen berms on all sides. Maximum embankment height is approximately 24 ft based on the ground surface elevation contour lines on Figure 2. Exterior slopes range from approximately 4H:1V to 5H:1V with some flatter areas. Interior slopes are typically 3H:1V. Crest width varies from approximately 14 to 16 ft.

Cell 004 has a surface area of approximately 12 acres and total storage capacity of approximately 125 acre-feet as stated in the Initial Annual Inspection.

The outlet structure from Cell 004 consists of a rectangular concrete drop inlet tower equipped with 60-in. wide concrete stop logs. Decant water enters the structure and flows through a 48-in. diameter steel pipe that penetrates the Cell 004 south embankment and discharges from the NPDES-permitted Outfall #001 into a concrete open channel before discharging into the Middle Fork of the Little Chariton River.

The Cell 004 emergency spillway consists of an 18-ft wide riprap-lined channel which is approximately 2 ft in depth located across the crest of the south embankment. To provide vehicle access across the riprapped channel, the riprap has been topped off with a layer of crushed stone within the limits of access road.



3. Field Investigation Program

3.1 PREVIOUS EXPLORATIONS AND LABORATORY TESTING PERFORMED BY OTHERS

Several subsurface exploration and laboratory testing programs were previously completed at the site by others. The approximate locations of the relevant historic subsurface explorations performed by others are shown on the attached Figure 2. A brief summary of the explorations is provided below and details of relevant explorations are presented in Table I¹. Note that the term "relevant" explorations refers to explorations from previous investigations by others that were directly used in our safety factor assessment.

- * Three (3) test borings were drilled and one (1) temporary piezometer was installed by Geotechnology, Inc. (Geotechnology) during the period 7 November 2011 to 8 November 2011 as part of a slope stability and seepage analysis for Cell 001. The test boring logs and laboratory test results associated with this investigation are included in Appendix A.
- Two (2) test borings were performed by Geotechnology during the period 13 January 2010 to 14 January 2010 as part of a slope stability evaluation of Cell 003. The test boring logs and laboratory test results associated with this investigation are included in Appendix A
- * Two (2) cone penetrometer soundings were performed by Stratigraphics, Inc. on 3 February 2010 as part of a global stability evaluation of Cell 003. The logs associated with this investigation are included in Appendix A.
- Two (2) test borings were drilled and one (1) temporary piezometer was installed by Geotechnology on 8 November 2011 as part of a slope stability and seepage analysis for Cell 004. The test boring logs and laboratory test results associated with this investigation are included in Appendix A

3.2 CURRENT SUBSURFACE EXPLORATION PROGRAM

A subsurface exploration program was conducted at the project site during the period 19 August 2015 to 27 August 2015 and on 2 August 2016 by Haley & Aldrich. The program consisted of installing six (6) piezometers. The piezometers were installed by Bulldog Drilling of Dupo, Illinois using an ATV-mounted drill rig. A Haley & Aldrich representative was present in the field to observe the piezometer installation activities. The locations of the test borings associated with the piezometers are shown on Figure 2. The as-drilled locations and elevations of the piezometers were determined in the field by Gredell Resources Engineering, Inc. (Gredell) of Jefferson City, Missouri by optical survey. The locations and elevations of the explorations should be considered accurate only to the degree implied by the method used. A summary of the subsurface explorations is presented in Table II.

The test borings associated with the piezometers were drilled to depths ranging from 19.4 ft to 34.5 ft below ground surface. The borings were advanced using hollow stem augers. Standard penetration tests were not performed, but the auger cuttings were used to evaluate the subsurface soil conditions encountered.

¹ Note: A table that does not appear near its citation can be found in a separate table at the end of the report.



The observation well installation reports are presented in Appendix B. The installation reports and related information depict subsurface conditions only at the specific locations and at the particular time designated on the installation reports. Subsurface conditions at other locations may differ from conditions occurring at the exploration locations. Also the passage of time may result in a change in the subsurface conditions at these exploration locations.



4. Subsurface Conditions

4.1 GEOLOGY

Thomas Hill Energy Center is located within the Dissected Till Plains subprovince of the Central Lowlands physiographic province and is underlain by recent alluvium and glacial till deposits. These deposits are underlain regionally by a sequence of bedrock formations ranging in age from Cambrian to Pennsylvanian (Miller and Vandike, 1997).

Alluvium and glacial till deposits underlying the ponds typically consist of clay, silty clay, silty clay with trace sand and gravel, and clayey to sandy silt. Siltstone and shale bedrock is present at a depth ranging from 27 to 36 feet (Geotechnology, 2010, 2012a, 2012b).

4.2 SUBSURFACE CONDITIONS

Descriptions of the soil conditions encountered during the historic subsurface exploration programs conducted at the site are provided below in order of increasing depth below ground surface. Actual soil conditions between boring locations may differ from these typical descriptions. Refer to the test boring logs in Appendix A for specific descriptions of soil samples obtained from the historic borings.

The subsurface conditions identified by the historic CPT soundings do not represent material classifications based on grain-size distributions, index tests, or visual observation. Rather, the historic CPT soundings provide an indicator of relative behavior type based on the mechanical characteristics measured during the soundings. For this reason, the descriptions of subsurface conditions discussed below are <u>only</u> based on classifications of samples obtained from historic test borings and the results of historic laboratory testing.

- EMBANKMENT FILL Below the ground surface at all test boring locations, there is a stratum of man-placed EMBANKMENT FILL primarily described as lean clay (CL) with varying amounts of silt, sand, and gravel. This stratum was fully penetrated by all borings. The thickness of this stratum ranged from approximately 3 to 20 ft. The consistency of fine grained soils encountered in this stratum ranged from soft to stiff, but was generally medium stiff.
- ©LAY Below the EMBANKMENT FILL, there is a stratum of natural soil primarily described as fat CLAY (CH) and lean CLAY (CL) with varying amounts silt, sand and gravel. This stratum was encountered in all borings. Where encountered, this stratum was fully penetrated in borings B-1, B-2, B-3 and C-1. Where encountered, the thickness of this stratum ranged from 8.5 to 17 ft. The consistency of fine grained soils encountered in this stratum ranged from soft to very stiff but was generally medium stiff to stiff.
- <u>WEATHERED BEDROCK</u> Below the CLAY in borings B-4, B-5, and C-2, there is a stratum natural material described as WEATHERED BEDROCK. Where encountered, this stratum was not fully penetrated in any of the test borings. It should be noted that boring B-2 encountered auger refusal at 16 ft below ground surface and refusal was assumed to occur due to encountering bedrock (Geotechnology, 2012a).



4.3 GROUNDWATER CONDITIONS

Water levels at the site discussed herein are based on the water levels encountered in historic test borings, historic piezometers, and recent piezometers installed by Haley & Aldrich in 2015 and 2016. Measured water levels in the historic test borings are summarized in Table I and measured water levels in historic and current piezometers are summarized in Table IV. A brief summary of measured water levels is provided below.

- * At Cell 001, measured water levels in the historic test borings ranged from 5.5 ft to 9.3 ft below ground surface. In temporary piezometer P-1, measured water levels ranged from 9.3 ft to 9.4 ft below ground surface.
- * At Cell 003, measured water levels at piezometer TPZ-3 ranged from 4.6 ft to 6.8 ft below ground surface.
- * At Cell 004, measured water levels in the historic test borings ranged from 9.7 ft to 15.0 ft below ground surface. In the temporary and recent piezometers, measured water levels ranged from 1.1 ft to 19.6 ft below ground surface.

Water level readings have been made in the subsurface explorations and piezometers at times and under conditions discussed herein. However, it must be noted that fluctuations in the level of the water may occur due to variations in power plant sluicing activities, season, rainfall, temperature, dewatering activities, and other factors not evident at the time measurements were made and reported herein.



5. Safety Factor Assessment

As mentioned previously, the purpose of this study was to perform the initial safety factor assessment in accordance with Section §257.73(e)(1) of the CCR Rule. As required by the CCR Rule, the initial safety factor assessment is performed for a CCR unit to determine calculated factors of safety for each CCR unit relative to the minimum prescribed safety factors for the critical cross section of the embankment. The minimum required safety factors are defined as follows:

- * The calculated static factor of safety under the long-term, maximum storage pool loading conditions must equal or exceed 1.50.
- The calculated static factor of safety under the maximum surcharge pool loading condition must equal or exceed 1.40.
- The calculated seismic factor of safety must equal or exceed 1.00.
- * For dikes constructed of soils that have susceptibility to liquefaction, the calculated liquefaction factor of safety must equal or exceed 1.20.

Stability analyses have been performed in general conformance with the principles and methodologies described in the USACE Slope Stability Manual (U.S. Army Corps of Engineers, 2003). Conventional static and seismic stability analyses of the impoundment embankments were performed for rotational failures using limit equilibrium methods. Limit equilibrium methods compare forces, moments, and stresses which cause instability of the mass of the embankment to those which resist that instability. The principle of the limit equilibrium method is to assume that if the slope under consideration were about to fail, or at the structural limit of failure, then one must determine the resulting shear stresses along the expected failure surface. These determined shear stresses are then compared with the shear strength of the soils along the expected failure surface to determine the safety factor. The details of the analyses performed for the impoundments are presented in the following sections of this report.

5.1 DESIGN WATER LEVELS

In accordance with the CCR Rule, the water retained in an impoundment must be modeled at the maximum storage pool level for the static drained and seismic undrained analyses. The maximum surcharge pool level must be used to model the ponded water for the static undrained analyses. A summary of the maximum storage pool and surcharge pool water levels at each impoundment are provided below.

		Maximum	Maximum	Available
<u>Location</u>	<u>Crest</u>	Storage Pool Level	Surcharge Pool Level	<u>Freeboard</u>
Cell 001	El. 744	El. 739	El. 744	5 ft.
Cell 003	El. 718	El. 710	El. 715	8 ft.
Cell 004	El. 706	El. 700	El. 703	6 ft.

The elevation of the phreatic surface within the embankments and at the toe of slope were estimated based on conditions encountered in nearby subsurface explorations and observation wells. Additionally, there is no current evidence of seepage emanating from the exterior slopes of the embankments, suggesting that the phreatic surface is contained within and/or below the embankments.

Given the prescribed impoundment pool levels and the observed static groundwater levels discussed above, a seepage analysis was performed to determine the piezometric head between the upstream



slope of the impoundment embankments and the downstream toe of the embankments. The computer software program, Slide 6.029, developed by RocScience, Inc., was used to perform the seepage analyses. Permeability values for each material layer were estimated from typical published values based on material description and correlations to grain size. During the course of the seepage analyses, minor adjustments were made to the permeability values and isotropic permeability ratios to best model the conditions observed in the field. Results from the seepage analysis provided pore pressure values within the seepage model that were then imported into the slope stability model.

The seepage models suggest that much of the seepage emanating from the impoundments is moving downward into the more permeable foundation soils and establishing a groundwater table several feet below ground surface rather than moving laterally through the embankments and discharging from the downstream slope. The phreatic surfaces used in the slope stability models are shown on the slope stability graphical output included in Appendix C.

5.2 MATERIAL PROPERTIES

The material properties used in our analyses have been evaluated using the results of the historic analyses performed by Geotechnology, historic subsurface explorations, and historic laboratory testing. In cases where subsurface explorations, laboratory test data, and historic properties did not exist for certain materials, properties were estimated based on typical values developed from Haley & Aldrich's experience with similar materials as indicated below.

- Bottom Ash/Boiler Slag/Fly Ash typical values.
- Clay Liner typical values

Refer to Table V for a summary of material properties and Appendix C for additional details of soil property characterization.

TABLE V MATERIAL PROPERTIES							
Material	Material Strength	Unit Weight (pcf)	Cohesion (psf)	Friction Angle (degrees)	Su (psf)	Vertical Stress Ratio	Minimum Shear Strength (psf)
Dattom Ash /Dailon Clag	Drained	90	0	30			
Bottom Ash/Boiler Slag	Undrained	90	750	0			
Flor Asla / Dattains Asla / Daile in Class	Drained	90	0	30			
Fly Ash/Bottom Ash/Boiler Slag	Undrained	90	750	0			
Embankment Fill and	Drained	125	200	25			
Embankment Fill (2015)	Undrained	125				0.360	600
Clay	Drained	120	125	26			
Clay	Undrained	120				0.253	800
Claudinan	Drained	125	0	28			
Clay Liner	Undrained	125			1,300		
Markhanad Daduadi	Drained	130	0	38			
Weathered Bedrock	Undrained	130	0	38			



5.3 DESIGN SEISMIC EVENT

In accordance with Section §257.53 of the CCR Rule, the seismic safety factor is defined as the factor of safety determined under earthquake conditions using the peak ground acceleration for a seismic event with a 2% probability of exceedance in 50 years (2,500-year return period). The gridded hazard map data associated with the latest USGS National Seismic Hazard maps developed in 2014 indicates that the bedrock peak ground acceleration (PGA) at the site for the 2,500-year earthquake event is 0.057g, with the greatest contribution to the hazard coming from an earthquake with a modal magnitude of 7.7 as indicated on the deaggregation chart included in Appendix C. The bedrock PGA value was adjusted by the USGS site coefficient, F_{PGA} , of 1.6 for Site Class D to determine the peak free field ground acceleration, k_{max} , of 0.091g. Note that the value of k_{max} corresponds to the peak ground acceleration at the base of the impoundment embankment.

5.4 LIQUEFACTION POTENTIAL EVALUATION

During strong earthquake shaking, loose, saturated cohesionless soil deposits may experience a sudden loss of strength and stiffness, sometimes resulting in loss of bearing capacity, large permanent lateral displacements, and/or seismic settlement of the ground. This phenomenon is called soil liquefaction. In accordance with the requirements of §257.73(e)(1), evaluations have been performed to assess the potential for liquefaction of the soils used to construct the impoundment embankments.

A variety of screening techniques exist to distinguish sites that are clearly safe with respect to liquefaction from those sites that require more detailed study. One of the most commonly used screening techniques used to make this assessment is the evaluation of fines content and plasticity index. In general, soils having greater than 15 percent (by weight) finer than 0.005 mm, a liquid limit greater than 35 percent, and an in-situ water content less than 90 percent of the liquid limit generally do not liquefy (Seed and Idriss, 1982).

The results of the historic subsurface explorations performed at the site indicate that the majority of soils used to construct the impoundment embankments consist of lean CLAY and fat CLAY with varying amounts of sand. Generally, these materials are not considered to be liquefiable. However, since limited laboratory sieve analyses were performed during the historic investigations, we performed liquefaction triggering analyses using the historic test boring data to determine if the soils were susceptible to liquefaction. Details of the liquefaction triggering analysis are included in Appendix C and indicate that the materials used to construct the embankments at Cells 001, 003, and 004 have factors of safety against liquefaction triggering that are greater than 1.2, and are not susceptible to liquefaction.

5.5 STABILITY ANALYSIS

5.5.1 Methodology for Analyses

The computer software program Slide 6.029 was used to evaluate the static and seismic stability of the impoundment embankments. Analyses were performed to evaluate static drained (long-term) and undrained (short-term) strength conditions for circular and translational (block) failures using Spencer's method of slices. Spencer's method of slices was selected because it fully satisfies the requirements of force and moment equilibrium (limit equilibrium method). Translational failures were analyzed where



subsurface conditions included a relatively weak foundation layer underlain by a relatively strong foundation layer (DeHavilland, 2004).

Seismic stability was evaluated using pseudo-static analyses. Pseudo-static analyses model the seismic shaking as a "permanent" body force that is added to the force-body diagram of a conventional static limit-equilibrium analysis; typically, only the horizontal component of earthquake shaking is modeled because the effects of vertical forces tend to average out to near zero (Jibson, 2011). This is a traditional approach for evaluating the stability of a slope during earthquake shaking and provides a simplified safety factor analysis for one earthquake pulse. A 20 percent reduction in material strength was incorporated in the pseudo-static analyses to represent the approximate threshold between large and small strains induced by cyclic loading (Duncan, 2014). A safety factor greater than or equal to one (FS \geq 1.0) indicates a slope is stable and a safety factor below one (FS < 1.0) indicates that the slope is unstable.

5.5.2 Pseudo-static Coefficient

The pseudo-static coefficient, k_s , used in our seismic analyses was calculated using the equation below, which uses the peak free field acceleration discussed above and a reduction factor of 0.50 (Hynes-Griffin and Franklin, 1984).

$$k_s = 0.50 \times \frac{k_{\text{max}}}{g} = 0.50 \times \frac{0.091g}{g} = 0.05$$

5.5.3 Results of Stability Evaluation

The critical cross section is defined as that which is anticipated to be most susceptible to failure amongst all cross sections. To identify the critical cross section at our project site, we examined the following conditions at several cross section locations at each impoundment:

- a. the geometry of the upstream and downstream embankment slopes;
- b. phreatic surface levels within and below the cross sections;
- c. subsurface soil conditions;
- d. presence or lack of surcharge loads behind the crest of the embankments; and
- e. presence or lack of reinforcing measures in front of the embankments.

Examination of the conditions noted above resulted in the identification of one critical cross section at each impoundment. The locations of the critical cross sections are shown on Figure 2. The results of our analyses are presented below in Table VI and are shown on the Slide output files included in Appendix C.

As shown below, the static safety factors are above the minimum required values for the critical cross sections. Similarly, the pseudo-static analyses for the analyzed sections indicate an acceptable seismic safety factor.



SUMMARY OF S	TATIC AND	SEISMIC STAE	BILITY EVALUATION	DNS 		Safety F	actor
Impoundment	Cross Section	Condition ¹	Earthquake Event	Soil Strength	Required Safety Factor	Rotational Failure Surface	Block Failure Surface
		C+-+:-		Drained	1.50	1.89	2.18
Cell 001	1A-1A'	Static	-	Undrained	1.40	1.89	2.07
		Seismic 2,500-year		Undrained ²	1.00	1.33	1.42
		Chatia		Drained	1.50	1.62	2.05
Cell 003	3A-3A'	Static	-	Undrained	1.40	1.86	2.05
		Seismic	2,500-year	Undrained ²	1.00	1.27	1.39
		Ctatio		Drained	1.50	1.93	2.00
Cell 004	4A-4A'	Static	-	Undrained	1.40	1.80	1.72
		Seismic	2,500-year	Undrained ²	1.00	1.21	1.10

^{1.} Refer to Table V for material properties.

5.6 CONCLUSIONS

The analyses associated with the safety factor assessment have been performed in accordance with the requirement of Section §257.73(e) of the CCR Rule. A summary of our conclusions as they relate to the rule requirements are provided below.

§257.73(e)(1)(i) - The calculated static factor of safety under the long-term, maximum storage pool loading conditions must equal or exceed 1.50.

As shown in Table VI, the static safety factors for the long-term (drained) maximum storage pool condition are above the minimum required value for the critical section analyzed. Accordingly, this requirement has been met.

§257.73(e)(1)(ii) - The calculated static factor of safety under the maximum surcharge pool loading condition must equal or exceed 1.40.

As shown in Table VI, the static safety factors for the maximum surcharge pool loading condition (undrained) are above the minimum required value for the critical section analyzed. Accordingly, this requirement has been met.

§257.73(e)(1)(iii) - The calculated seismic factor of safety must equal or exceed 1.00.

As shown in Table VI, the calculated seismic safety factor is above the minimum required value for the critical section analyzed. Accordingly, this requirement has been met.

* §257.73(e)(1)(iv) - For dikes constructed of soils that have susceptibility to liquefaction, the calculated liquefaction factor of safety must equal or exceed 1.20.



^{2.} Soil strengths have been reduced by 20 percent for seismic analyses.

The results of the subsurface investigations and liquefaction triggering evaluation indicate that the material used to construct the impoundment embankments are not susceptible to liquefaction. Accordingly, this requirement has been met.



6. Certification

Based on our review of the information provided to us by AECI and the results of our field investigations and analyses, it is our opinion that the calculated factors of safety for the critical cross section of the impoundment embankments meet the minimum factors of safety specified in §257.73(e)(1)(i) through (iv) of the EPA's CCR Rule.

Certification Statement - Cell 001

I certify that the Initial Safety Factor Assessment for Cell 001 at the Thomas Hill Energy Center meets the requirements of §257.73(e) of the EPA's CCR Rule.

Signed:

Certifying Engineer

Print Name: <u>Steven F. Putrich</u>

Missouri License No.: 2014035813
Title: Project Princip

Title: <u>Project Principal</u>
Company: <u>Haley & Aldrich, Inc.</u>

Professional Engineer's Seal:



Certification Statement - Cell 003

I certify that the Initial Safety Factor Assessment for Cell 003 at the Thomas Hill Energy Center meets the requirements of §257.73(e) of the EPA's CCR Rule.

Signed:

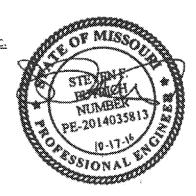
Certifying Engineer

Print Name: Steven F. Putrich

Missouri License No.: 2014035813

Title: <u>Project Principal</u>
Company: Haley & Aldrich, Inc.

Professional Engineer's Seal:





Certification Statement - Cell 004

I certify that the Initial Safety Factor Assessment for Cell 004 at the Thomas Hill Energy Center meets the requirements of §257.73(e) of the EPA's CCR Rule.

Signed:

Certifying Engineer

Print Name: Steven F. Putrich

Missouri License No.: 2014035813

Title: <u>Project Principal</u>
Company: <u>Haley & Aldrich, Inc.</u>

Professional Engineer's Seal:



References

- 1. DeHavilland, A. et al. (2004). "Geotechnical and Stability Analyses for Ohio Waste Containment Facilities." Ohio Environmental Protection Agency Geotechnical Resource Group. Columbus, Ohio, p. 8-1.
- 2. Duncan, J.M., Wright, S.G, and Brandon, T.L. (2014). Soil Strength and Slope Stability. John Wiley & Sons, Upper Saddle River, 2nd Edition, pp. 182-184.
- 3. Environmental Protection Agency, (2015). Code of Federal Regulations, "Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities; Final Rule, "Title 40, Chapter I, Parts 257 and 261, April 17.
- 4. Geotechnology, Inc. (February 2012a). "Slope Stability and Seepage Analysis, Slag Dewatering Basin, Thomas Hill Energy Center". [Note this refers to the current Cell 001 impoundment]
- 5. Geotechnology, Inc. (February 2012b). "Slope Stability and Seepage Analysis, Ash Pond No. 3, Thomas Hill Energy Center". [Note this refers to the current Cell 004 impoundment]
- 6. Geotechnology, Inc (2010). "Global Stability Evaluation, Mine Waste and Ash Pond Embankments, AECI Facilities, Bee Veer and Thomas Hill, Missouri". {Note this report refers to the current Cell 003 impoundment]
- 7. Hynes-Griffin, M.E. and Franklin, A.G. (1984). "Rationalizing the seismic coefficient method," [Miscellaneous Paper GL-84-13]. U.S. Army Corp of Engineers Waterways Experiment Station. Vicksburg, Mississippi, p. 21.
- 8. Jibson, R.W., (2011). "Methods for assessing the stability of slopes during earthquakes—A Retrospective," Engineering Geology, v. 122, p. 43-50.
- 9. Miller, D.E and Vandike, J.E. (1997). "Groundwater Resources of Missouri" Missouri State Water Plan Series Volume II, Missouri Department of Natural Resources.
- 10. Mine Safety and Health Administration U.S. Department of Labor (MSHA), (May 2009. Rev. August 2010). Engineering and Design Manual Coal Refuse Disposal Facilities Second Edition.
- 11. Seed, H.B. and Idriss, I.M. (1982), "Ground Motions and Soil Liquefaction During Earthquakes," Monograph No. 5, Earthquake Engineering Research Institute, Berkeley, California, p. 134.
- 12. U.S. Army Corps of Engineers (2003). "Engineering and Design: Slope Stability," Engineer Manual EM-1110-2-1902, Department of the Army, U.S. Army Corps of Engineers, Washington, DC, October.



TABLES

TABLE I PAGE 1 OF 1

SUMMARY OF RELEVANT HISTORIC SUBSURFACE EXPLORATIONS
ASSOCIATED ELECTRIC COOPERATIVE, INC.
THOMAS HILL ENERGY CENTER
CLIFTON HILL, MISSOURI

Exploration Designation ¹	Performed By	Ground Year Drilled Surface El. ² (ft)		Total Exploration Depth (ft)	Water ³ Depth Below Ground Surface	
		TES	T BORINGS			
B-1	Geotechnology, Inc.	2011	750.0	20.0	9.3	
B-2	Geotechnology, Inc.	2011	745.0	16.0	5.5	
B-3	Geotechnology, Inc.	2011	757.0	20.0	Not Encountered	
B-4	Geotechnology, Inc.	2011	711.0	34.3	9.7	
B-5	Geotechnology, Inc.	2011	697.0	29.7	15.0	
C-1	Geotechnology, Inc.	2010	735.0	50.0	Not Measured	
C-2	Geotechnology, Inc.	2010	725.0	37.2	Not Encountered	
		CONE PENETR	OMETER SOUNDING	iS		
CC01	Stratigraphics, Inc.	2010	728.4	49.8	Unknown	
CC02	Stratigraphics, Inc.	2010	717.9	52.5	Unknown	
		TEMPORA	RY PIEZOMETERS			
P-1 Geotechnology, Inc.		2011	750.0	10.5	See Table IV	
P-2	Geotechnology, Inc.	2011	710.0	See Table IV		

Notes:

- 1) Technical monitoring of historic subsurface explorations was performed by others.
- 2) The elevation data are provided in feet and the vetical datum is unknown. Ground surface elevations of historic test borings were taken from boring logs prepared by Geotechnology, Inc. Ground surface elevations of historic cone penetrometer soundings and piezometers were determined by linear interpolation between ground surface contour lines shown on Figure 2.
- 3) Groundwater level readings have been made in the explorations at times and under conditions discussed herein. However it must be noted that fluctuations in the level of the groundwater may occur due to variations in season, plant sluicing activities, rainfall, temperature, and other factors not evident at the time measurements were made and reported.

HALEY & ALDRICH, INC. Printed: 14 October 2016

\\Was\common\Projects\40616\-XXX TH SF Assessment\Deliverables\SFA Report\Tables\[2016_1014-AECI TH Geotech Summary Tables_F.xlsx]Table | - Hist.

TABLE II PAGE 1 OF 1

SUMMARY OF CURRENT SUBSURFACE EXPLORATIONS ASSOCIATED ELECTRIC COOPERATIVE, INC. THOMAS HILL ENERGY CENTER CLIFTON HILL, MISSOURI

Exploration Designation ¹	Ground Surface El.² (ft)	Northing ²	Easting ²	Total Exploration Depth (ft)	Water Depth Below Ground Surface
		PIEZO	DMETERS		
TPZ-3	730.7	1351172.00	460709.39	28.5	See Table IV
TPZ-9	714.4	1350109.76	461128.86	18.0	See Table IV
TPZ-10	702.7	1350264.13	459992.76	24.5	See Table IV
TPZ-11	704.7	1349882.31	460851.28	19.4	See Table IV
TPZ-12	689.0	1349532.33	460183.30	33.9	See Table IV
TPZ-14	681.5	1349757.46	459870.66	34.5	See Table IV

Notes:

- 1) Technical monitoring of piezometers installed during the period 19 August 2015 through 2 August 2016 was performed by Haley & Aldrich, Inc.
- 2) As drilled locations and ground surface elevations of piezometers were determined in the field by Gredell Engineering Resources Inc. of Jefferson City, Missouri by optical survey. The coordinates are provided in units of feet, relative to the Missouri State Plane North Coordinate System (NAD27). The elevation data are provided in feet above sea level, relative to NAVD29.

HALEY & ALDRICH, INC. Printed: 14 October 2016

 $\label{thm:local_common_rojects} A Geotech Summary Tables_F.xlsx] Table II - Current Exp Summary Tables_F.xlsx]$

TABLE III
SUMMARY OF HISTORIC LABORATORY TEST RESULTS
ASSOCIATED ELECTRIC COOPERATIVE, INC.

THOMAS HILL ENERGY CENTER CLIFTON HILL, MISSOURI

Tube Density **Unconfined Compression** CU Triaxial Sample Moisture USCS Average Undrained Boring Material Average Pond LL PL PΙ Moisture Sample Number Depth Content c' Designation Symbol Type/Stratum Total Shear Ф' Moisture Content (ft) (%) Density Strength (psf) (degrees) Content (%) (%) (pcf) (psf) HISTORIC TESTING BY GEOTECHNOLOGY, INC. IN FEBRUARY 2012 B-1 1 ST2 3.0-5.0 CL EMBANKMENT FILL 17 128.7 CL EMBANKMENT FILL 17 600 B-1 ST2 3.0-5.0 127.7 23 5.0-7.00 EMBANKMENT FILL 50 133.4 B-1 ST3 CL 17 33 16 B-2 ST4 СН 124.0 1 7.0-9.0 CLAY 24 B-2 ST4 7.0-9.0 СН CLAY 65 20 45 24 122.8 500 27 ST4 7.0-9.0 CLAY B-2 1 CH 23 100.0 9.0-11.0 B-2 1 ST5 CH CLAY 20 129.6 20 1600 B-3 1 SS1 1.0-2.5 CL EMBANKMENT FILL 92 27 65 34 B-3 SS3 21 60 20 40 6.0-7.5 CH CLAY 1 SS5 13.5-15.0 B-3 1 CL CLAY 17 36 16 20 HISTORIC TESTING BY GEOTECHNOLOGY, INC. IN FEBRUARY 2012 EMBANKMENT FILL 72 B-4 4 SS3 6.0-7.5 CH 29 23 49 B-4 ST5 11.0-13.0 CH EMBANKMENT FILL 4 30 120.9 B-4 4 ST6 13.0-15.0 СН CLAY 27 116.8 400 26 B-4 ST7 16.0-18.0 СН CLAY 58 20 38 30 118.3 400 26 4 B-5 ST3 6.0-8.0 CL EMBANKMENT FILL 25 122.5 1000 4 B-5 4 ST4 8.0-10.0 CL EMBANKMENT FILL 30 118.3 400 26 B-5 4 SS6 13.5-15.0 25 44 26 CL CLAY 18 HISTORIC TESTING BY GEOTECHNOLOGY, INC. IN APRIL 2010 C-1 2 SS3 6.0-7.5 СН EMBANKMENT FILL 52 24 28 24 C-1 SS4 8.5-10.0 СН EMBANKMENT FILL 23 2 ST5 СН C-1 2 11.0-13.0 CLAY 14 C-1 2 ST6 13.5-15.5 СН CLAY 51 25 30 126.1 26 26 0 C-1 2 ST6 13.5-15.5 СН CLAY 22 120.8 SS10 33.5-35.0 CL 24 44 C-1 2 CLAY 18 26 C-2 3 SS3 6.0-7.5 CL EMBANKMENT FILL 27 45 17 28 C-2 3 ST7 18.0-20.0 СН EMBANKMENT FILL 24 124.0 C-2 ST8 20.0-22.0 СН 62 23 3 CLAY 39 0 25 C-2 3 SS10 28.5-30.0 СН CLAY 25 52 20 32

HALEY & ALDRICH, INC.

 $\label{thm:common} Projects 40616\-XXX\ THSF\ Assessment \Deliverables\SFA\ Report \Tables\[2016_1014-AECI\ TH\ Geotech\ Summary\ Tables_F.xlsx\] Table\ III-Lable\SFA\ Report\Tables\SFA\ Report\Tables\$

Printed: 14 October 2016

PAGE 1 OF 1

TABLE IV

SUMMARY OF GROUNDWATER LEVEL MEASUREMENTS

Page 1 of 1

ASSOCIATED ELECTRIC COOPERATIVE, INC.
THOMAS HILL ENERGY CENTER
CLIFTON HILL, MISSOURI

Observation Well Designation	Top of Casing Elevation (ft) ¹	Well Depth (ft)	Measurement Date	Depth to Water ² (ft)	Groundwater Elevation (ft)	Well Installation Notes
TPZ-3	733.2	28.5	8/28/2015	7.1	726.1	Well installed 8/26/15 by Bulldog Drilling.
			9/16/2015	8.6	724.6	
			9/30/2015	9.3	723.9	
			8/2 to 8/3/16	8.0	725.2	
TPZ-9	716.9	18.0	8/28/2015	3.6	713.2	Well installed 8/24/15 by Bulldog Drilling.
			9/16/2015	3.9	713.0	
			9/30/2015	4.0	712.9	
			8/2 to 8/3/16	3.6	713.2	
TPZ-10	705.2	24.5	8/28/2015	9.5	695.7	Well installed 8/25/15 by Bulldog Drilling.
			9/16/2015	10.6	694.6	
			9/30/2015	14.1	691.1	
			8/2 to 8/3/16	9.8	695.4	
TPZ-11	707.2	19.4	8/28/2015	5.8	701.4	Well installed 8/27/15 by Bulldog Drilling.
			9/16/2015	5.6	701.6	
			9/30/2015	6.7	700.5	
			8/2 to 8/3/16	5.0	702.3	
TPZ-12	691.5	33.9	8/28/2015	3.8	687.7	Well installed 8/19/15 by Bulldog Drilling.
			9/16/2015	4.5	687.1	
			9/30/2015	5.0	686.5	
			8/2 to 8/3/16	4.4	687.1	
TPZ-14	683.7	34.5	8/2 to 8/3/16	6.2	677.6	Well installed 8/2/16 by Bulldog Drilling.
P-1	750.0	10.5	11/7/2011	9.4	740.6	Well installed on 11/7/11 by Geotechnology, Inc.
			11/9/2011	9.3	740.8	
P-2	712.7	23.0	11/8/2011	22.1	690.6	Well installed 11/8/11 by Geotechnology, Inc.
			11/9/2011	12.4	700.3	

Notes:

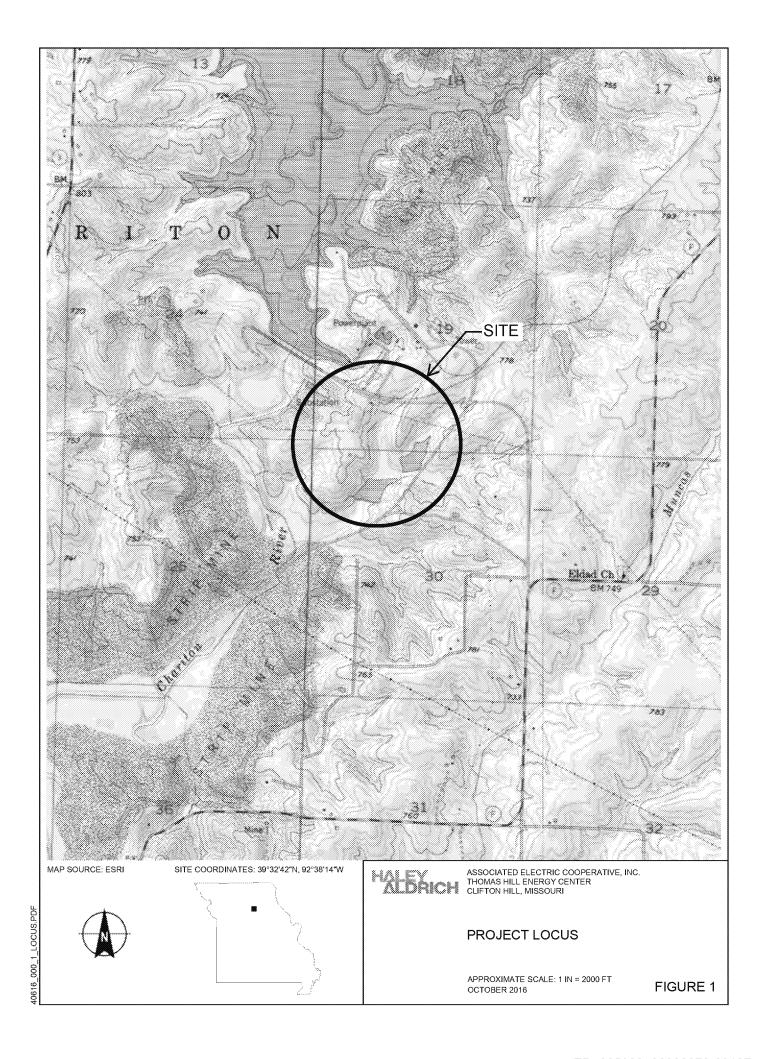
HALEY & ALDRICH, INC.

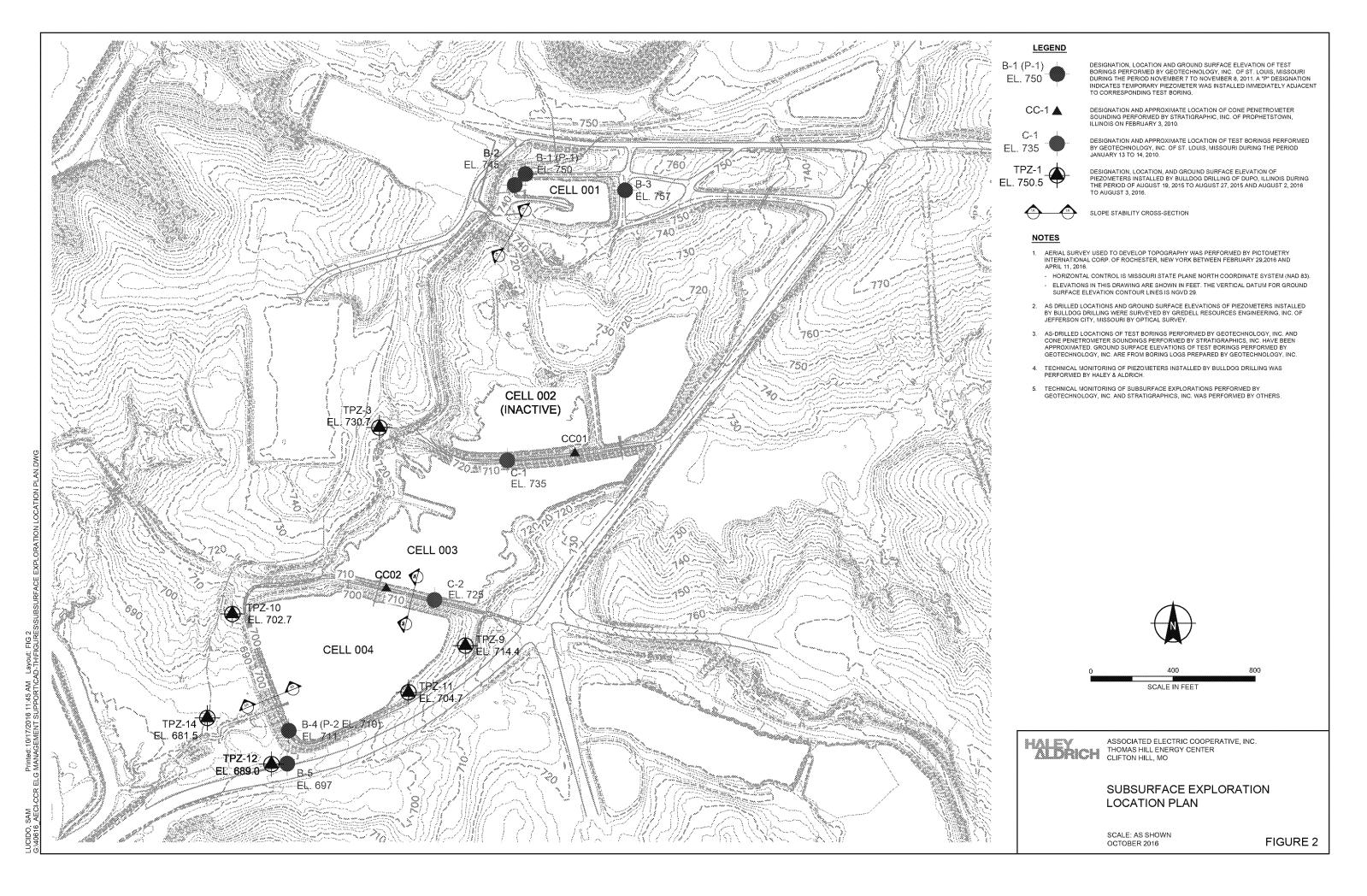
Printed: 14 October 2016

¹⁾ Top of casing elevations of piezometers installed by Bulldog Drilling were determined in the field by Gredell Engineering Resources, Inc. of Jefferson City, Missouri by optical survey, and the elevation data provided are in feet above sea level relative to NGVD29. Top of casing elevations of piezometers installed by Geotechnology, Inc. were taken from boring logs provided by Geotechnology, Inc. and the elevation datum is unknown.

²⁾ Groundwater level readings have been made in the wells at times and under conditions discussed herein. However it must be noted that fluctuations in the level of the groundwater may occur due to variations in season, rainfall, plant sluicing activities, temperature, and other factors not evident at the time measurements were made and reported.

FIGURES





APPENDIX A Historic Test Boring Logs and Laboratory Test Results

discount	KONFERENCIA HORIZONA					SH	EAR STRENG	TH, tsf	
	Surfac	ce Elevation: 750 Completion Date:		DRY UNIT WEIGHT (pcf) SPT BLOW COUNTS	20	Δ - UU/2	O - QU/2	□ - SV	
	1	Datum <u>msl</u>		얼마	RY	0,5	1,0 1,5	2 _, 0 2 _, 5	
	· · · · · · · · · · · · · · · · · · ·			Ş KE	RECOVER SAMPLES	STANDARD	PENETRATIO	N RESISTANCE	
	±		ATEDIAI		ZEC SAN	B N-∨⊁	ALUE (BLOWS P (ASTM D 1586)	ER FOOT)	
	DEPTH IN FEET	DESCRIPTION OF MA	ATERIAL 5	155	3		ATER CONTE	NT %	
	2 Z			DRY	8	PL	20 30	40 50 LL	
800000		FILL: tan clay with sand, gravel, and sla	3 💥	×					
ANESSCHOOL	-,-,-		\otimes	2-3-	5 SS				
				X =					
OXOCHÒMINO			\bowtie	X 110		2			
	- 5-			11					
dassecuti			\otimes	X 115	ST				
				\boxtimes					
BETWEEN SOIL TYPES IN PURPOSES ONLY.		No. 1 to the standard to the s	¥	X 1-3-	5 SS	# : : : A : : : : :			
S ON	_ 10_	black slag layer		\otimes					
SENS		Stiff, brown and gray CLAY, trace sand a	and around CUI						
FURF		(TILL)	ind graver - CH						
ES B TION				1-6-	7 ss	5			
IDARI STRA	15				-				
BOUN		Stiff, orange to green, shaley CLAY - CH	<i></i>						
ATE FOR		Only orange to green, shaley out to or		#					
OXIM				3-4-	5 SS	S ::: X:::::		©	
ION LINES REPRESENT THE APPROXIMATE BOUNDARIES TION MAY BE GRADUAL. GRAPHIC LOG FOR ILLUSTRATIO	- 20 	Boring terminated at 20 feet.							
THE GR/									
SENT SUAL.									
PRES	- 25-								
S RE									
LINE AMA									
ATTON									
NOTE: STRATIFICAT AND THE TRANSI	- 30-			4					
THE 1									
TE: S									
ON I									
12/15/11	- 35-								
8-									
CLONE ME.GPJ									
NE N									
) CFC						Drawn by: KA	Checked by: M.	App'vd. by: ₩₩₩	
PJ 04	9	GROUNDWATER DATA	DRILLING DAT	<u>L</u> A		Date: 11/16/11		Date: 1245/11	
- AEC! B1-3.GPJ 00			AUGER <u>3 3/4"</u> HOLL	LOW STE	EM				
EC! E	ENC	OUNTERED AT <u>9.5</u> FEET ▼	WASHBORING FROM_				GEOTECHN	ULUGY &	
02 - A	AT <u>9.2</u>	25 FEET AFTER 48 HOURS ¥	PH DRILLER EED L					NOW THE SHOULD UT	
J011309.02			CME 55TRK DRILL			Sla	g Dewatering	Basin	
. 8			HAMMER TYPE A	<u>uto</u>		Thon	nas Hill Energy	Center	
)02 W	REM	IARKS: Multi-point consolidated-u	ndrained triaxial comp	ression	test				
VG 20	cond	ducted on ST2 and ST3.	with the second state of the second			LC	OG OF BORING	S: B-1	
.OG OF BORING 2002 WL						EGG OF BORMO. B-1			
3 OF !						Pro	ject No. J01	1309-02	
Ŏ	agitimenton phenomeniculo	00000000000000000000000000000000000000	1 ''						

	Surfa	ace Elevation: 745	Completion Date:	11/8/11	g	T (pcf) INTS Y/RQD		∆ - UU/2	EAR STRENGT	🛘 - SV	
		Datum _msl_			GRAPHIC LOG	T WEIGH OW COU	SAMPLES	STANDARD	l	,0 2 _, 5 I RESISTANCE R FOOT)	
	DEPTH IN FEET	DESCR	IPTION OF MA	ATERIAL	GR/	DRY UNIT WEIGHT (pcf) SPT BLOW COUNTS CORE RECOVERY/RQD	Š	PI I	ATER CONTEN		
		FILL: tan clay with	gravel and slag								
						1-2-4	SS1	A			
						1-2-3	SS2				
	5-			Ź	⁷ ₩₩		ST3				
		Stiff, brown and gra (TILL)	y CLAY, trace sand ar	nd gravel - (CH)		100	074		•	65	
PES (.						99 100	ST4				
BETWEEN SOIL TYPES N PURPOSES ONLY.	— 10—					108	ST5	······································			
EEN S							****				
BETWE PURF		Medium stiff, orange	e and green, shaley C	LAY - CH							
RIES E	— 15—					1-2-5	SS6	:: \ :::::::			
BOUNDARIES ILLUSTRATIOI		Auger refusal at 16	feet.			50/3" /	SS7				
TE BO											
NOTE: STRATIFICATION LINES REPRESENT THE APPROXIMATE AND THE TRANSITION MAY BE GRADUAL. GRAPHIC LOG FOR											
APPRC PHIC											
THE /											
SENT											
REPRE E GRA	25-										
NES F	***************************************										
J NOIT											
FICAT	———— — 30—										
STRAT THE T											
AND AND											
12/12/1	- 35										
GPJ											
VE ME.											
CLONE								IDames to a 1/2	Observation Specific	A	
3PJ 00		GROUNDWATER D	ATA	DRILLING	<u>DATA</u>			Drawn by: KA Date: 11/16/11	Checked by: IXIS Date: 12/12/11	App'vd. by: MHM Date: 12/13/11	
AEC! 81-3.GPJ			-	AUGER <u>3 3/4"</u>				Parties of the second s	GEOTECHNO	nnev=	
AECI	ENC	COUNTERED AT <u>5.5</u> F	EET \$	WASHBORING FRO						JLUUI (5) OM THE GROUND UP	
09.02				CME 55TRK D							
WL J011309.02				HAMMER TYP				Sla Thom	g Dewatering B as Hill Energy	asin Cente r	
LOG OF BORING 2002 WL	REN con	MARKS: Multi-poin ducted on ST4.	t consolidated-ur	ndrained triaxial co	ompre	ssion te	st	LOG OF BORING: B-2			
LOG OF B				KONSTRUKTION (III)	Proj	ject No. J011	309.02				

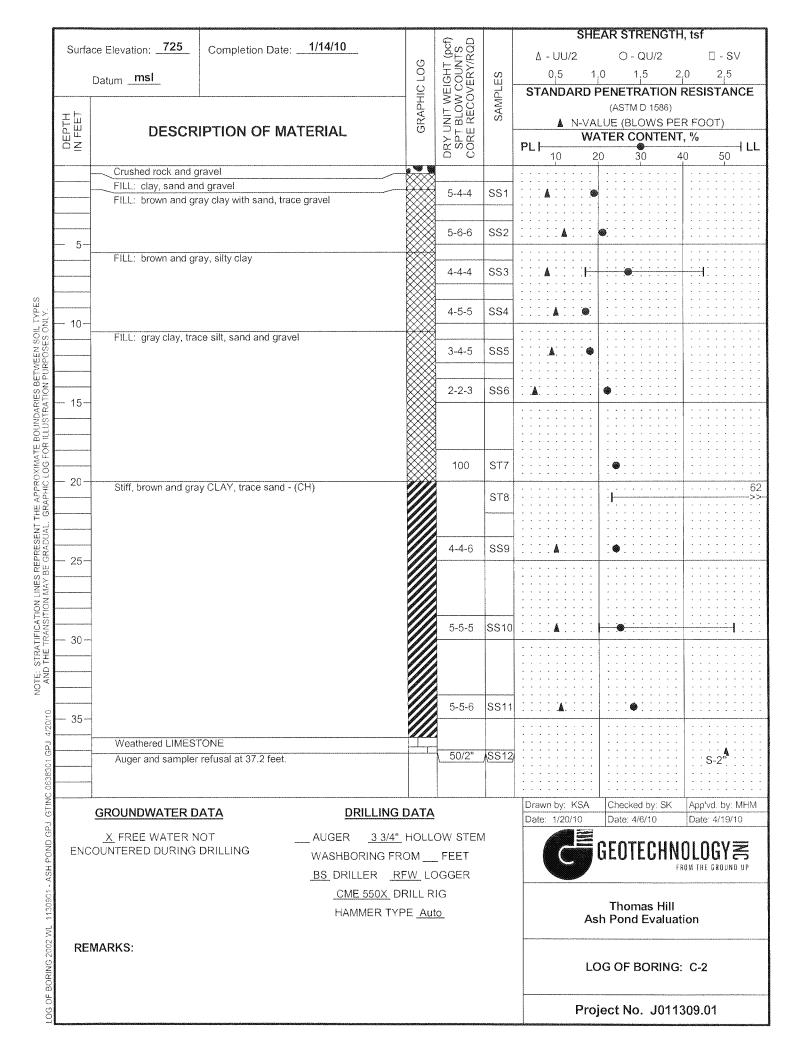
demonstr		727	11/8/11		୫.୯ଅ			SHE	EAR STREN	GTH, tsf		
	Surfa	ace Elevation: 757 _	Completion Date:	1110/11	ő	T (P		Δ-υυ		O - QU/2		- SV
		Datum msl			CLC	무 연 전 전 전 전 전 전 전 전 전 전 전 전 전 전 전 전 전 전	LES	0,5	ARD	_. 0 1 _, 5 PENETRATI		2 _i 5 STANCE
01100000			Legislatini, siriikis moonaa jamaanaa kana oo ka saa saa saa saa saa saa saa saa saa		GRAPHIC LOG	DRY UNIT WEIGHT (pcf) SPT BLOW COUNTS CORE RECOVERY/ROD	SAMPLES	ZIAND	N-VA	LUE (BLOWS (ASTM D 1586	PER FOOT	()
	DEPTH IN FEET	DESCR	IPTION OF MA	ATERIAL	GR	UN P B B	S		W	TER CONTI	=NT %	
	N N					PR S R R R R		PL 10		0 30	***************************************	
		FILL: blackish-brov	wn clay with sand, gra	vel, and slag								
						1-2-3	SS1	: : & : : :		:::I ::::• :		· · · 92 · · · · >>-
0.0000000000000000000000000000000000000		Stiff, orange-brown	to brown and gray Cl	AY, trace sand and								
	5	gravel - (ČH) (TILL))			1-5-6	SS2	: : : : : : : : : : : :	:: <u>`</u>			
						407	000					: : : 60
						1-3-7	SS3					
PES						3-4-7	SS4					
ONLY	 10-					047						
SS SS												
TWEE		Stiff, brown and gra - (CL) (TILL)	y to tan, silty CLAY, t	race sand and gravel				::::::	: : :			: : : : :
ES BE	*******					1-5-6	SS5		. (9 :			
DAR	15-											
BOUN									· · ·			
AATE FOR							ļ					
NOTE: STRATIFICATION LINES REPRESENT THE APPROXIMATE BOUNDARIES BETWEEN SOIL TYPES AND THE TRANSITION MAY BE GRADUAL. GRAPHIC LOG FOR ILLUSTRATION PURPOSES ONLY.	— 20-	tan				4-5-6	SS6					
APP!		Boring terminated a	at 20 feet.									
TTH C.GF									: : :			
ESEN									: : :			
REPR SE GR	25-											
INES												
NOIT												
FICAT									: : :			
IRATI HE TF	30 	-										
TE: S	100V10V1-0110 III 0111	_					and a second					
LON A									: : :			
12/12/11	35											* * * * *
9		_										
AE.GP												
ONE					4				:::			
00 CLONE ME.GPJ			n a 172 a	PDU 1810			<u> </u>	Drawn by:	KA	Checked by:	App'vd.	by: MHM
GPJ (GROUNDWATER D		DRILLING				Date: 11/1	16/11	Date: 12/12/	// Date:	12/13/11
B1-3	ENC	X FREE WATER NO COUNTERED DURING		AUGER <u>3 3/4"</u>				1 66		GEOTECH	NNING	VZ
J011309.02 - AECI B1-3.GPJ	,			WASHBORING FR PH DRILLER E					"		FROM THE GR	
09.02				CME 55TRK								
				HAMMER TY					Slag Dewatering Basin Thomas Hill Energy Center			
2002	RE	MARKS:										
LOG OF BORING 2002 WL									LC	G OF BORII	NG: B-3	
-0G OF					Proj	ject No. J0	11309.0	2				

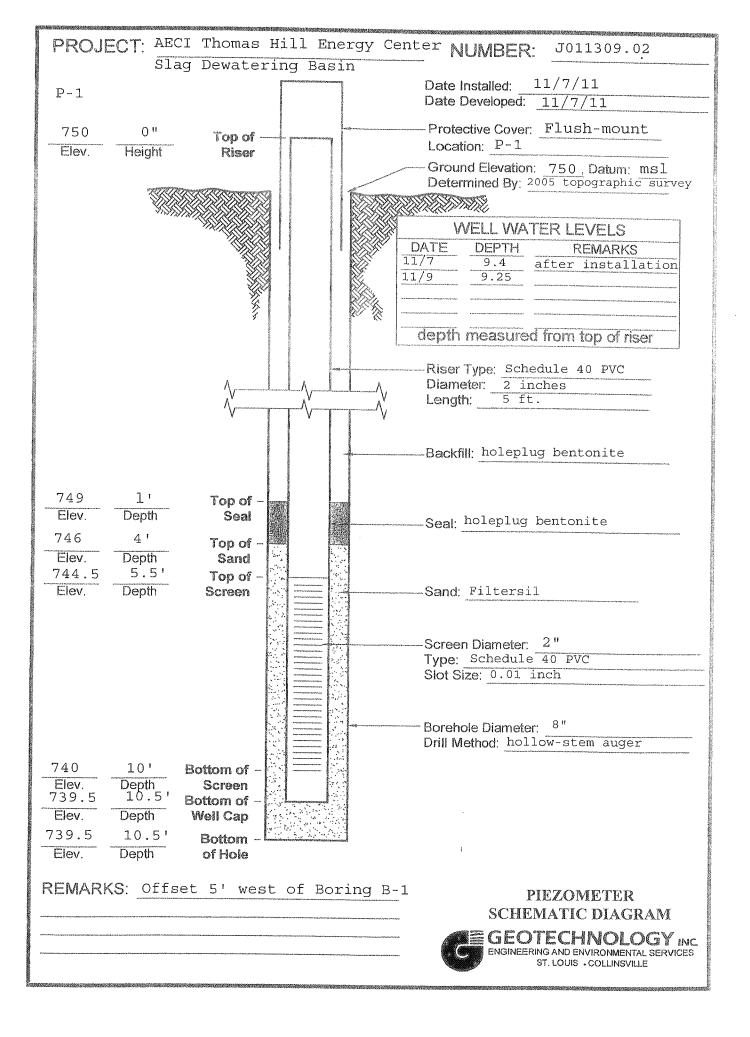
	Surfa	ce Elevation: 711 Completion Date: 11/8/11	ي و	T (pd) NTS /RQD		SHEAR STRENGTH, tsf ∆ - UU/2 O - QU/2 □ - SV	
		Datum msl	GRAPHIC LOG	OW COU	SAMPLES	0,5 1,0 1,5 2,0 2,5 STANDARD PENETRATION RESISTANCI M N-VALUE (BLOWS PER FOOT) (ASTM D 1686)	
	S FEPT	DESCRIPTION OF MATERIAL	GR.	DRY UNIT WEIGHT (pd) SPT BLOW COUNTS CORE RECOVERY/ROD	Š	PLI WATER CONTENT, %	
		FILL: brown to tan clay, some to trace gravel with depth		0-3-4	SS1	10 20 30 40 50	
				2-3-4	SS2		
	- 5-			1-2-3	SS3	72	
rypes Ly.		,	.	1-1-3	SS4		
FEEN SOIL 1	- 10-			93	ST5		
THE APPROXIMATE BOUNDARIES BETWEEN SOIL TYPES GRAPHIC LOG FOR ILLUSTRATION PURPOSES ONLY.	——————————————————————————————————————	Stiff, tan and gray CLAY, trace sand and gravel (CH)		92	ST6		
TE BOUNDA				91	ST7		
PROXIMA!				4-5-6	SS8		
ENT THE AL		Stiff, blackish-gray, silty CLAY, trace gravel - CL					
S REPRESENT 7 BE GRADUAL.	25			1-3-6	SS9		
ATION LINES SITION MAY E		Soft, tan, highly to moderately weathered SILTSTONE	X X X X X X X X X X X X X X X X X X X			68	
NOTE: STRATIFICATI	- 30-		X X X X X X X X X X X X X X X X X X X	26-38-30	5510		
		Complete State Control of the Contro	× × × × × ×	20-50/4"	SS11	4.	
NE ME GPJ 12/15/11	35-	Sampler refusal at 34.3 feet.					
PJ 00 CLO		GROUNDWATER DATA DRILLING	L			Drawn by: KA	
J011309.02 - AECI B4-5.GPJ 00.CLONE ME.GPJ		X FREE WATER NOTAUGER 3.3/4" F DUNTERED DURING DRILLING WASHBORING FRO 7 FEET AFTER 24 HOURS ¥ PH DRILLER EE)M	FEET		GEOTECHNOLOGY S FROM THE GROUND UP	
		CME 55TRK D HAMMER TYP		Ash Pond No. 3 Thomas Hill Energy Center			
LOG OF BORING 2002 WI.	REM and	ARKS: Multi-point consolidated-undrained triaxial te ST7.	st cor	ducted (on ST	LOG OF BORING: B-4	
LOGOFE				Project No. J011309.02			

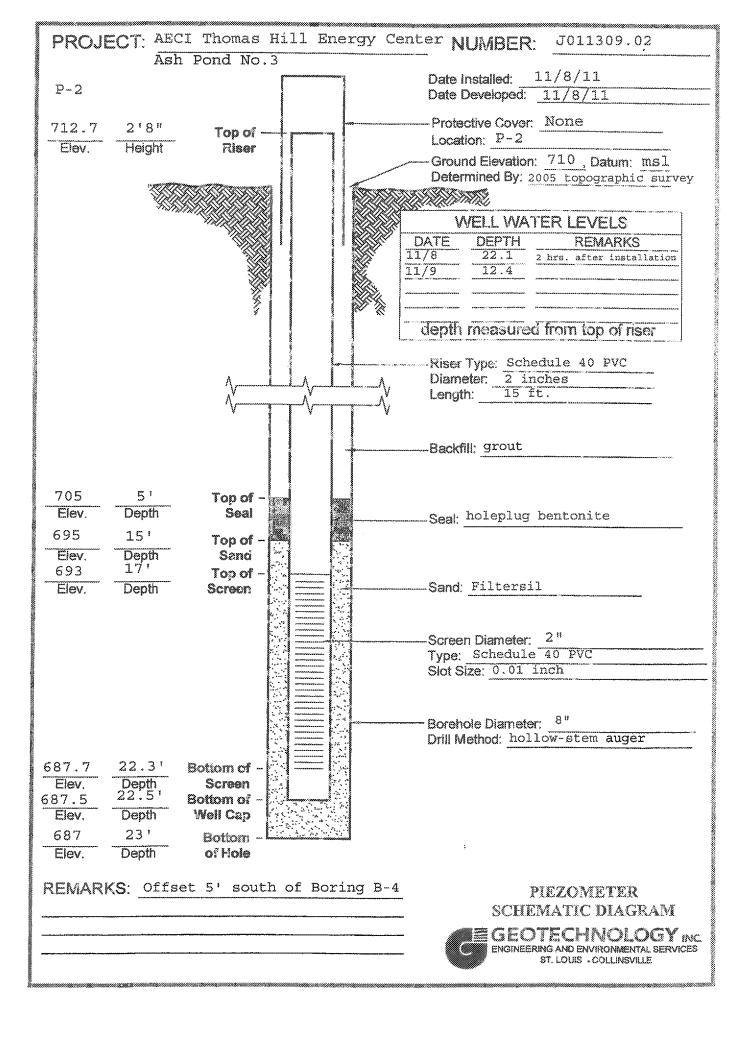
	Sun	face Elevation: 697	Completion Date: 11/8/11	o	DRY UNIT WEIGHT (pd) SPT BLOW COUNTS CORE RECOVERY/ROD		Δ - UU		EAR STRENGT O - QU/2	"H, tsf □ - SV		
		Datum _msi_		95		ES	0,5 STAND		1,0 1,5 2 PENETRATIO	2 ₁ 0 2 ₁ 5 V resistance		
	жh;			GRAPHIC LOG		SAMPLES	N-VALUE (BLOWS PER FOOT) (ASTM D 1586)					
	DEPTH N REET	DESCR	IPTION OF MATERIAL	Ö	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		PLI	W.	ATER CONTEN	T, % LL		
			wn clay, some gravel and coal		ā č		10		20 30 4	40 50 °		
		FILE. gray and pro-	mi way, suine grassi and buan		3-4-3	SS1						
					3-5-4	SS2		• • • •		• • • • • • •		
	- 5-						* * * * * *	· · ·				
					98	ST3	0		•			
83.					91	ST4						
8 E, 3	10-	Medium stiff to soft,	blackish-gray, silly CLAY, trace gravel -	- 7777				~	* * * * * * * * * * * * * * * * * * * *			
SES OSES		(CL)			1-2-4	SS5			• : : : : : : : : : : : : : : : : : : :			
PURP								*				
TION	15-				1-1-2	SS6	A :::::	:: -				
NDAR	10-								* * * * * * * * * * * * * * * * * * * *			
E BOL	~~~~	-										
SHAT		-			1-1-2	SS7	A					
PPRO HICL	20-	-										
GRAF GRAF												
ENT.										* * * * * * * * * * *		
GRAD	25-	some sand			0-1-2	SS8	A :::::		::•:::::			
ES RE							* * * * * * * * * * * * * * * * * * * *					
ŠŠ ŠŠ		Soft, gray, highly to	moderately weathered SHALE					^ · · ·				
NOTE: STRATHFICATION LINES REPRESENT THE APPROXIMATE BOUNDARIES BETWEEN SOIL TYPES AND THE TRANSITION MAY BE GRADUAL, GRAPHIC LOG FOR ILLUSTRATION PURPOSES ONLY.		-			12-30 -50/4"	SS9		•		10"		
RATIF TRV	30-	Sampler refusal at 2	9.7 feel.		-30/4			- · ·	v , v e , v , v			
E: ST		•										
Ö.										* * * * * * * * * * *		
12/13/11	35-	7										
.GPJ 12/	•••••											
¥.		7						* * *				
00 CLONE									* * * * * * * * * *			
. 00 C		GROUNDWATER D	ATA DRILLING	DATA		i	Drawn by: Date: 11/16	~~~~~	Checked by: \\%\ Date: \\\ 2\/\:\\3\/\!	App'vd. by: (A) (V) Date: (2) (7)		
J011309.02 - AEC! B4-5.GP.)			AUGER _ <u>3_3/4"</u> _	HOLLO'	N STEM					, , ,		
ECIB	EN	ICOUNTERED AT <u>15</u> F							GEOTECHN	JLUUYÆ ON THE GROUND UP		
.02 - A			<u>PH</u> DRILLER <u>E</u>						1 15	om 1355 8359515 84		
111309			<u>CME 55TRK</u> [HAMMER TYF						Ash Pond No. 3	3		
WL K			5 27 22955326ccc1 % D 5 1		···		ı T	nom	as Hill Energy	Center		
3 2002	RE	MARKS:							A 400 400 900 mm 40			
ORING								LO)G OF BORING:	: 6-5		
LOG OF BORING 2002 WI.								Prvi	ect No. J011	309.02		
ğ						00000000000000000			**************************************			

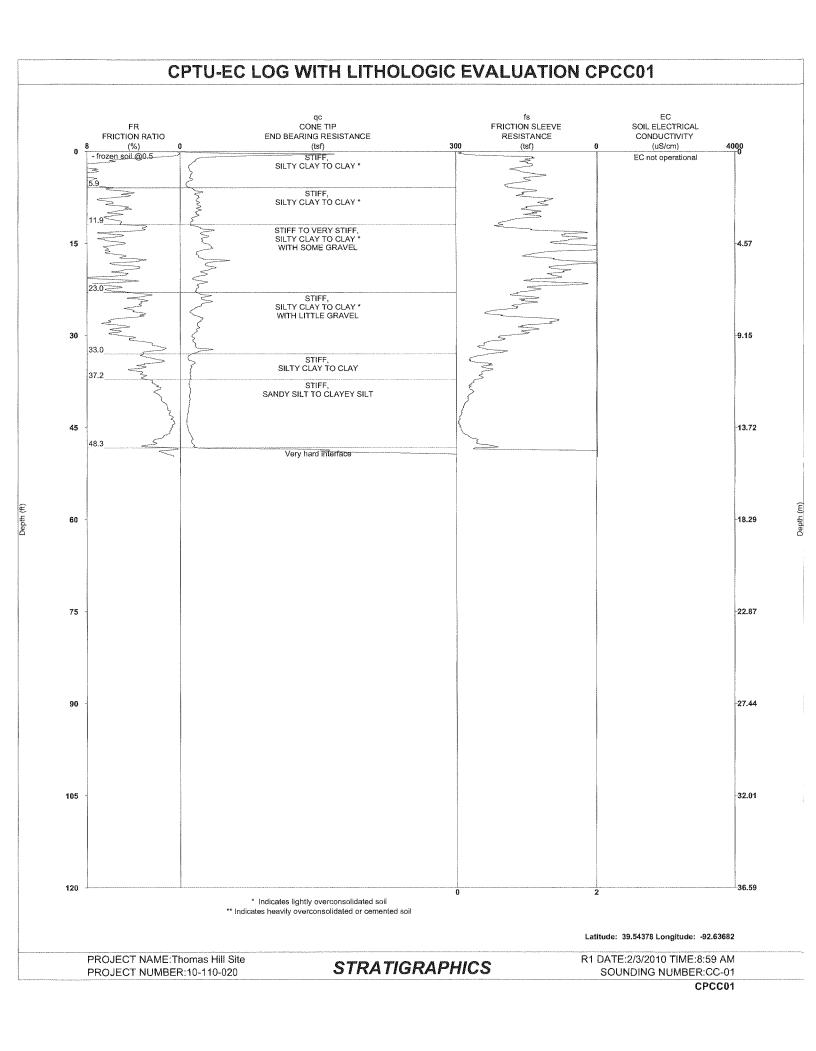
Ĩ	MANAGEMENT AND				and the second second second				SHEA	AR STREM	IGTH,	tsf		
CONTONIO	Surfac	e Elevation: <u>735</u>	Completion Date:			/ UNIT WEIGHT (pcf) PT BLOW COUNTS RE RECOVERY/RQD		∆ - UU/2		0 - QU/	/2	<u> </u>	sv	
No.					SRAPHIC LOG	₽N₽	(0)	0,5	1.0		2,0) 2,	5	
000		oatum <u>msl</u>			C	80 80 80 80 80 80 80 80 80 80 80 80 80 8	SAMPLES	1			i			
ŀ			1		1 <u>Ť</u>	\ <u>\</u> \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \	MP	STANDAR	STANDARD PENETRATION RESISTANCE (ASTM D 1586)					
on the second	I I				₹ ₩	F 2 2 3	SA	. A. NI	(ASTM D 1586) N-VALUE (BLOWS PER FOOT)					
esos section of the s	DEPTH IN FEET	DESCR	IPTION OF MA	TERIAL	9	PT E				ER CON				
	ద르					DRY UN SPT E		PL 10	20		40			
staturodu		Crushed rock, slag	and try ash											
autopatika.		Fill brown and a	ray clay, trace silt and	cand		4-4-6	SS1	I						
		FILE: Drown and gr	ray clay, trace siit and	sairu	$\otimes \otimes$		-							
aucustina						3-4-4	SS2	::: A :::						
annoi in a	- 5-													
ppakintis					$\otimes \otimes$	0.45	000		: :		: : :			
en e					\otimes	3-4-5	SS3		::					
S														
YPE.						4-5-6	SS4		: :					
F NO	10													
v sc SES		Very stiff, yellow, b	rown and gray CLAY -	(CH)			OTE							
VEE Y							ST5		: :					
PUI.														
TION	4.5					97	ST6			<u> </u>			<u> </u>	
DAR	— 15—					99	 			T				
SOT.						4								
TE BY		Medium stiff to stift gravel - CH	f, brown and gray CLA	Y with sand and										
IMAT IG F(gravor orr				3-5-7	SS7							
SOX	- 20-					+		•						
APP \PHI														
胀														
JAL.											: : :			
RESE						3-3-4	SS8		::					
REP!	25-													
AY B													1	
Z Z														
SITIO						0.45	000		::					
STRATIFICATION LINES REPRESENT THE APPROXIMATE BOUNDARIES BETWEEN SOIL TYPES) THE TRANSITION MAY BE GRADUAL. GRAPHIC LOG FOR ILLUSTRATION PURPOSES ONLY.	_ 30-					3-4-5	SS9	A		. 💝				
RAT TET														
S		Ctiff to modium of	ff, gray, silty CLAY - (0	21 \										
NOTE: 9		200 to median sa	ii, gray, sitty CLAT - (C	JL.)		<u> </u>								
						5-7-7	SS10	.	:H					
20/10	— 35—					g								
J 4/2								: : : : : : :						
1.GPJ														
3830														
2 063				**************************************	_\///	2-4-4	SS1							
GTINC 0638301	000000000	GROUNDWATER I	DATA	DRILLING	DATA	A		Drawn by: K		Checked b	··	App'vd. Date: 4/	by: MHM	
				-				Date: 1/20/1	نسوست	Date: 4/6/1	U	Tuate. 4/	19/10	
₫D.G	EVIO.	X FREE WATER OUNTERED DURING				LOW STE	IVI			GEOTE	`HNI	በተሆር	٧Z	
0	ENC	OOM LEVEN DOKING	JUNELING	WASHBORING F						JLUIL		U L U U Iom the gr		
- ASH POND.GPJ	NA CONTRACTOR OF THE CONTRACTO			BS DRILLER F										
-	. 1			CME 550X	DRILL I	RIG				Thomas	. Hill			
113090.		HAMMER				uto		A 11 A 1	Asi	nomas ا h Pond E		ion		
	.1													
2002	REI	REMARKS:												
S.								LOG OF BORING: C-1						
BOR														
OG OF BORING 2002 WI				_	Proj	ect No.	J0113	309.01						

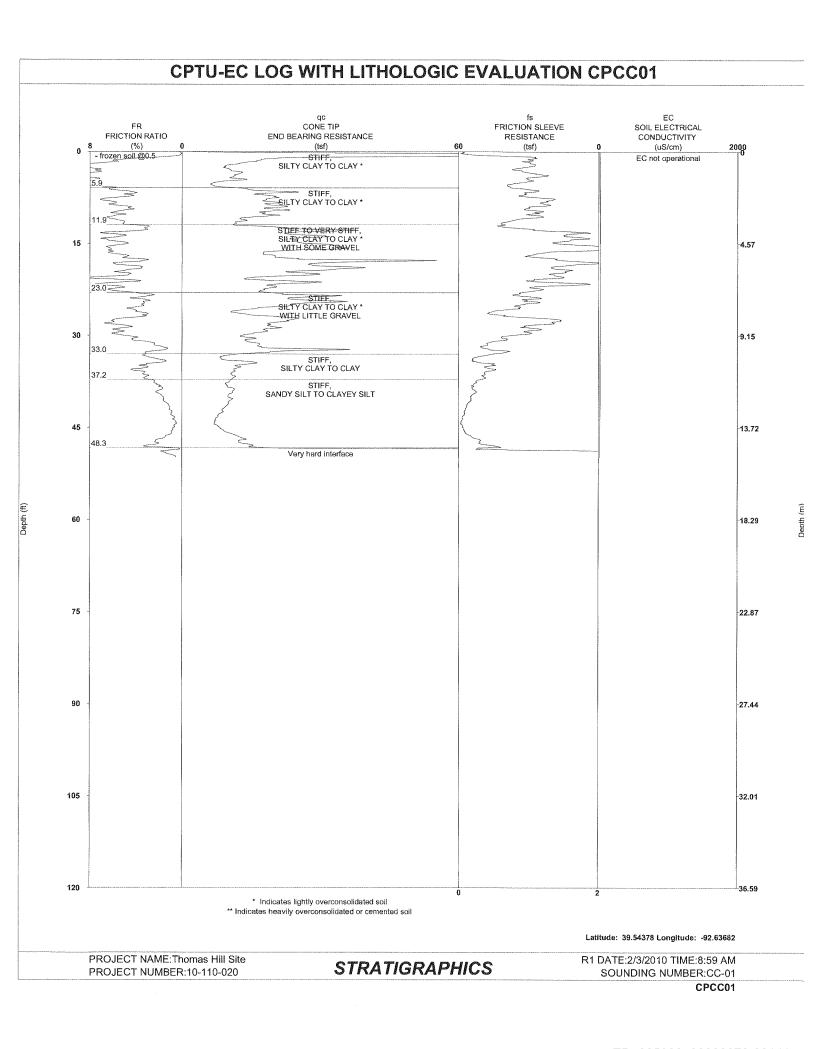
	Surface Elevation: 735 Completion Date: 1/13/10			90.	SHT (pcf) OUNTS ERY/RQD	S	SHEAR STRENGTH, tsf Δ - UU/2			
	DEPTH IN FEET	DESCRIPTION OF MATERIAL		SRAPHIC LOG	DRY UNIT WEIGHT (pcf) SPT BLOW COUNTS CORE RECOVERY/RQD	SAMPLES	STANDARD PENETRATION RESISTANCE (ASTM D 1586) N-VALUE (BLOWS PER FOOT)			
	D Z						PL 10	ZO 30 4	, % 0 50	
NOTE: STRATIFICATION LINES REPRESENT THE APPROXIMATE BOUNDARIES BETWEEN SOIL TYPES AND THE TRANSITION MAY BE GRADUAL. GRAPHIC LOG FOR ILLUSTRATION PURPOSES ONLY.		Stiff to medium stiff, gray, silty CLAY - (CL) (continued) Medium stiff to stiff, brown and gray CLAY, trace sand - CH			2-3-3	SS12				
	- 45-				2-0-0	3312				
		3 Boring terminated at 50 feet.								
	— 50 —				3-4-4	SS13				
		Soring terminated at 50 foot.								
	— 55— —									
	- 60-									
ICATION LINES REFAUNSITION MAY BE C	65									
NOTE: STRATII AND THE TR	70-									
GPJ 4/20/10	— 75 —									
0638301										
GTINC		GROUNDWATER DATA DRILLING		ILLING DATA	7		Drawn by: KSA Date: 1/20/10	Checked by: SK Date: 4/6/10	App'vd. by: MHM Date: 4/19/10	
- ASH POND.GPJ	ENC	X FREE WATER NOT AUGER 3 3/4" OUNTERED DURING DRILLING WASHBORING FR BS DRILLER R		RING FROM <u>4</u>				GEOTECHNOLOGY SEROM THE GROUND UP		
1130901	HAMMER			<u>550X</u> DRILL F MER TYPE <u>AL</u>			,	Thomas Hill Ash Pond Evaluation		
OG OF BORING 2002 WL	REI	REMARKS:						CONTINUATION OF LOG OF BORING: C-1		
090							Pr	Project No. J011309.01		

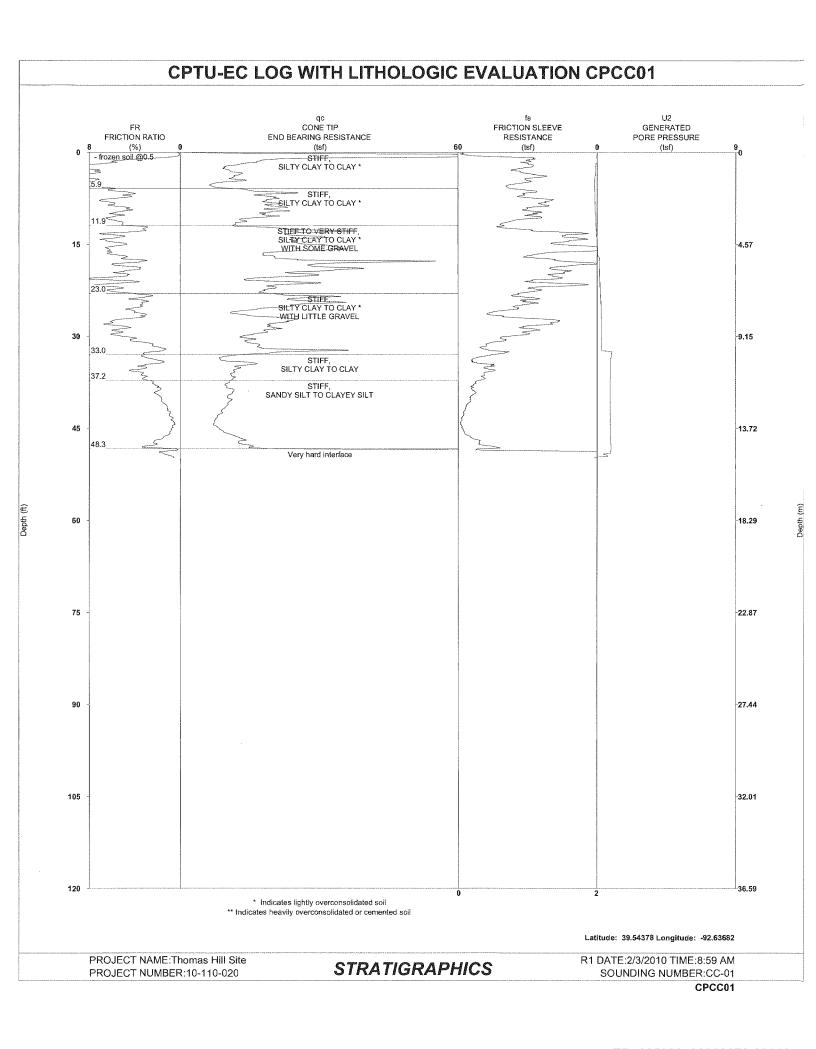




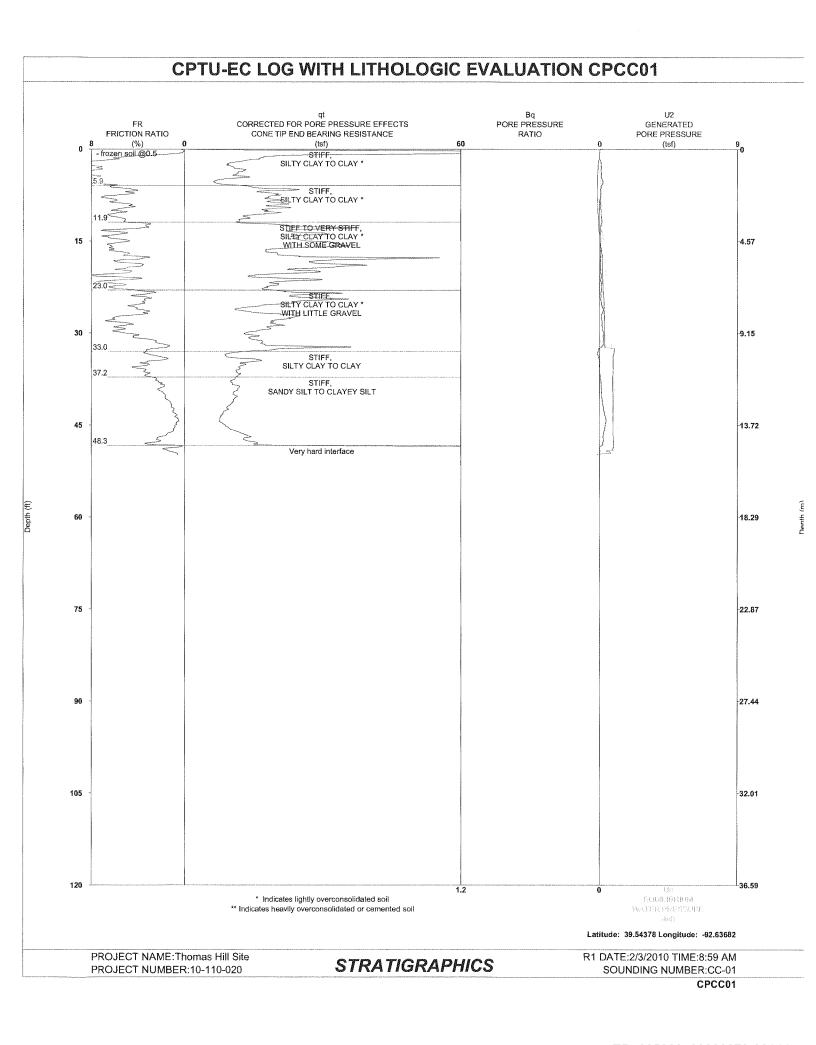


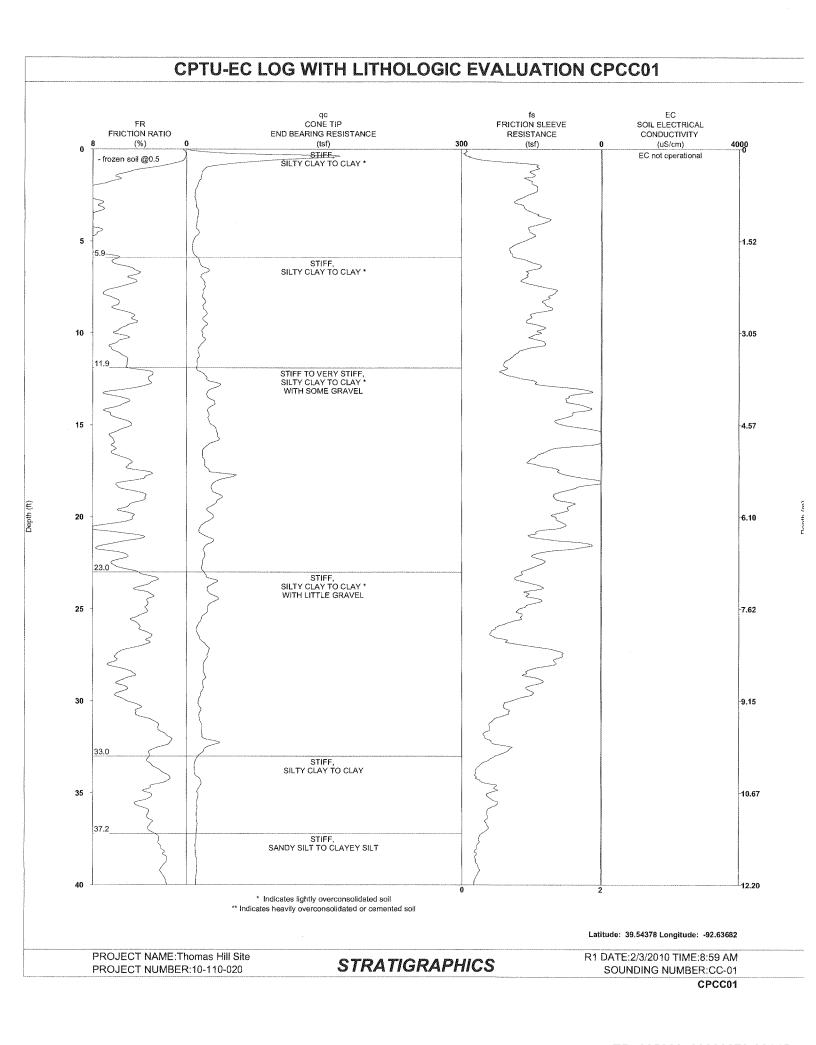


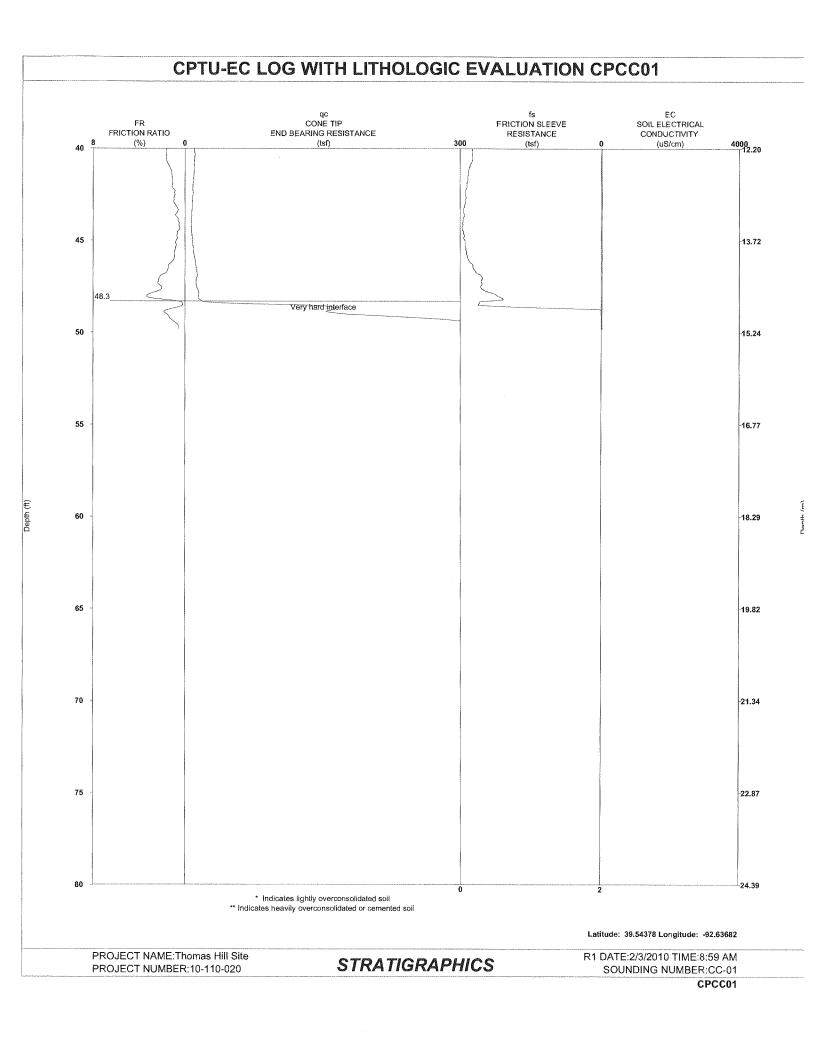


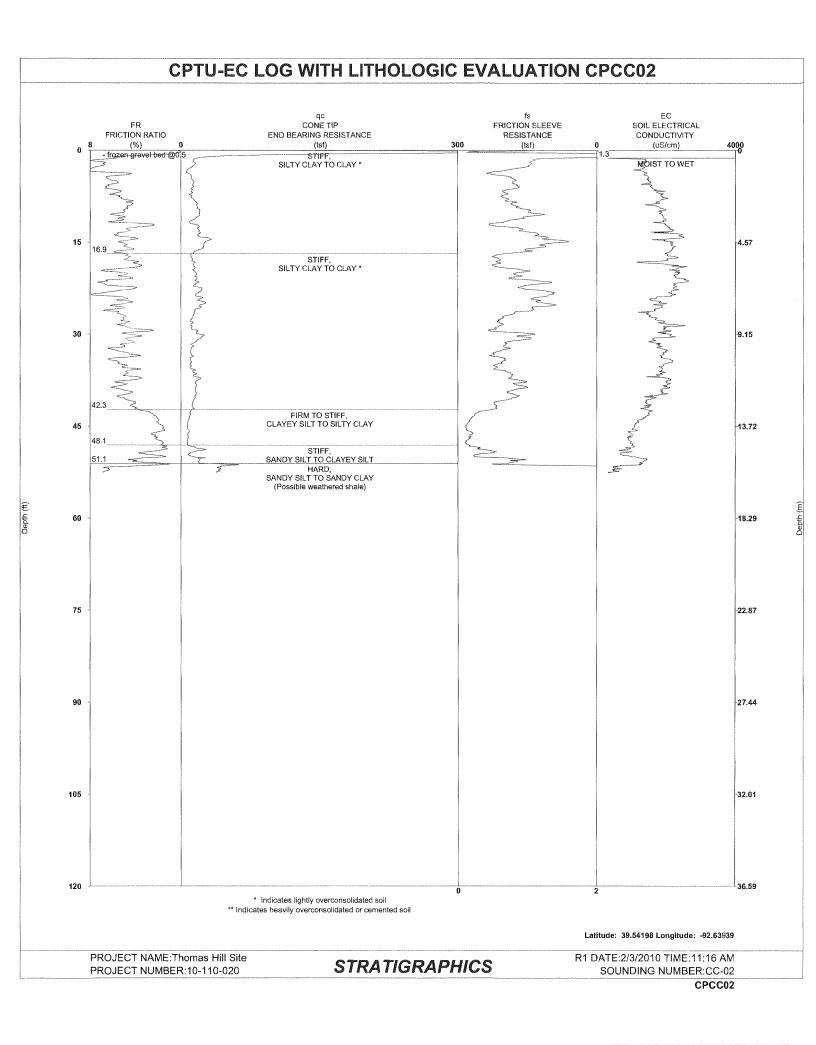


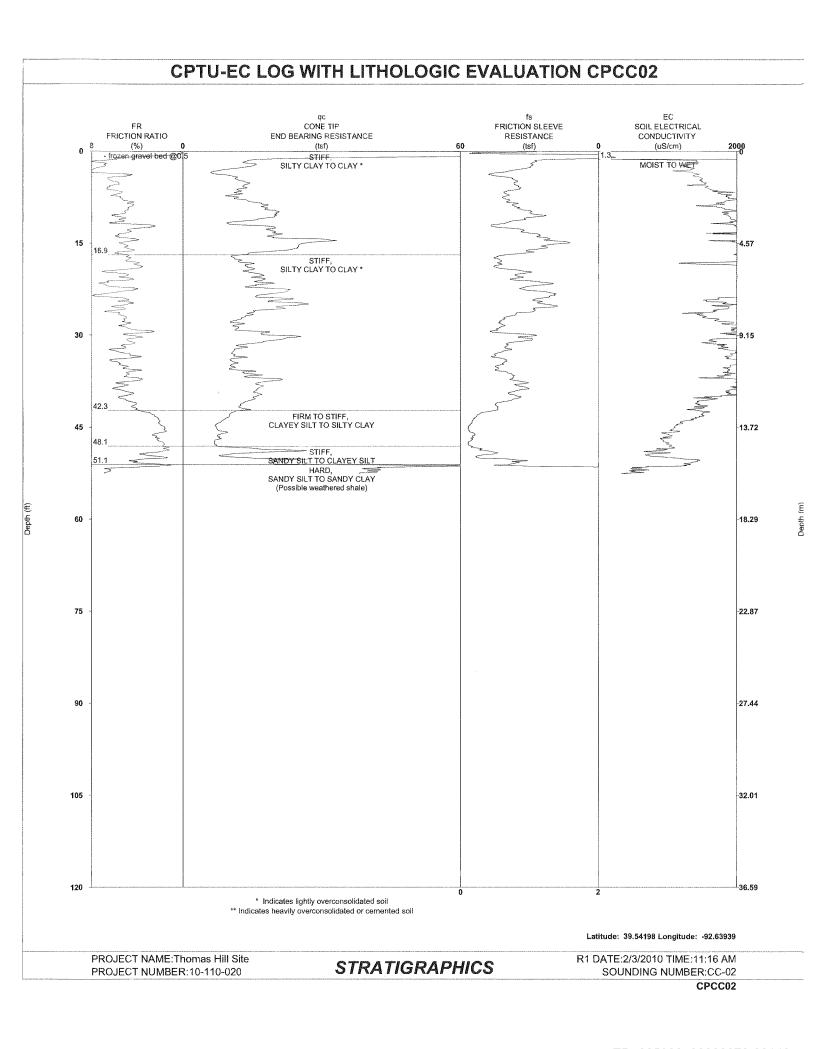


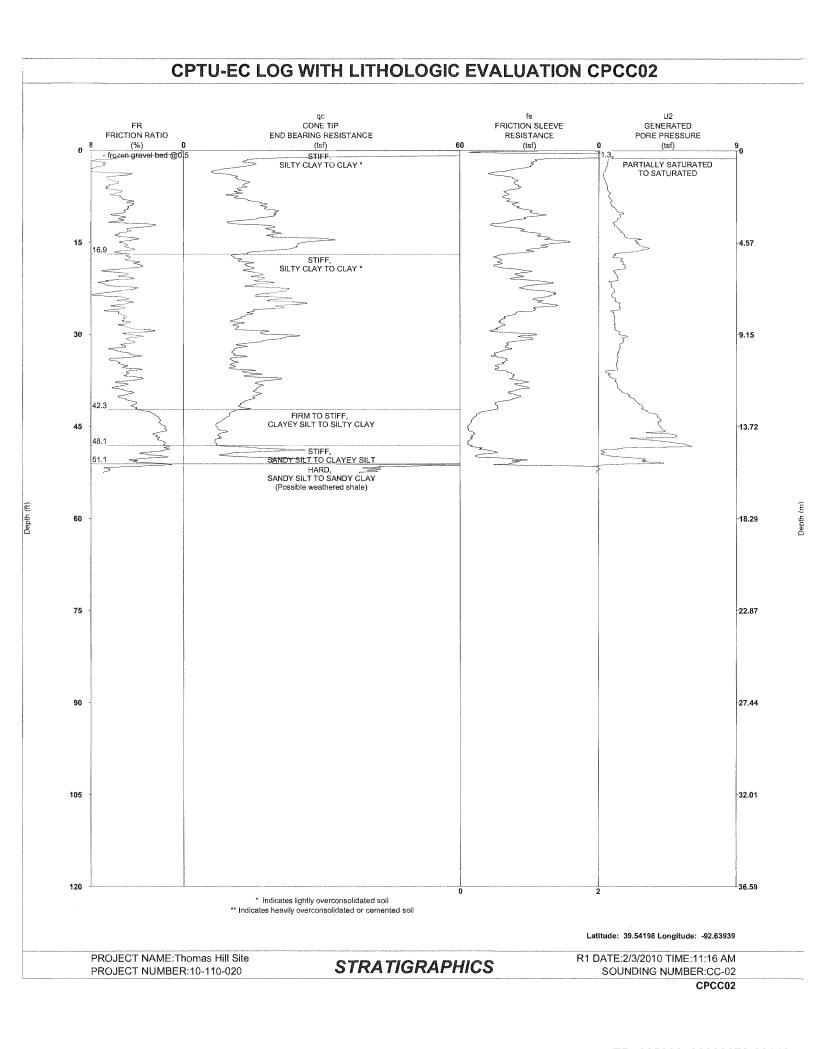


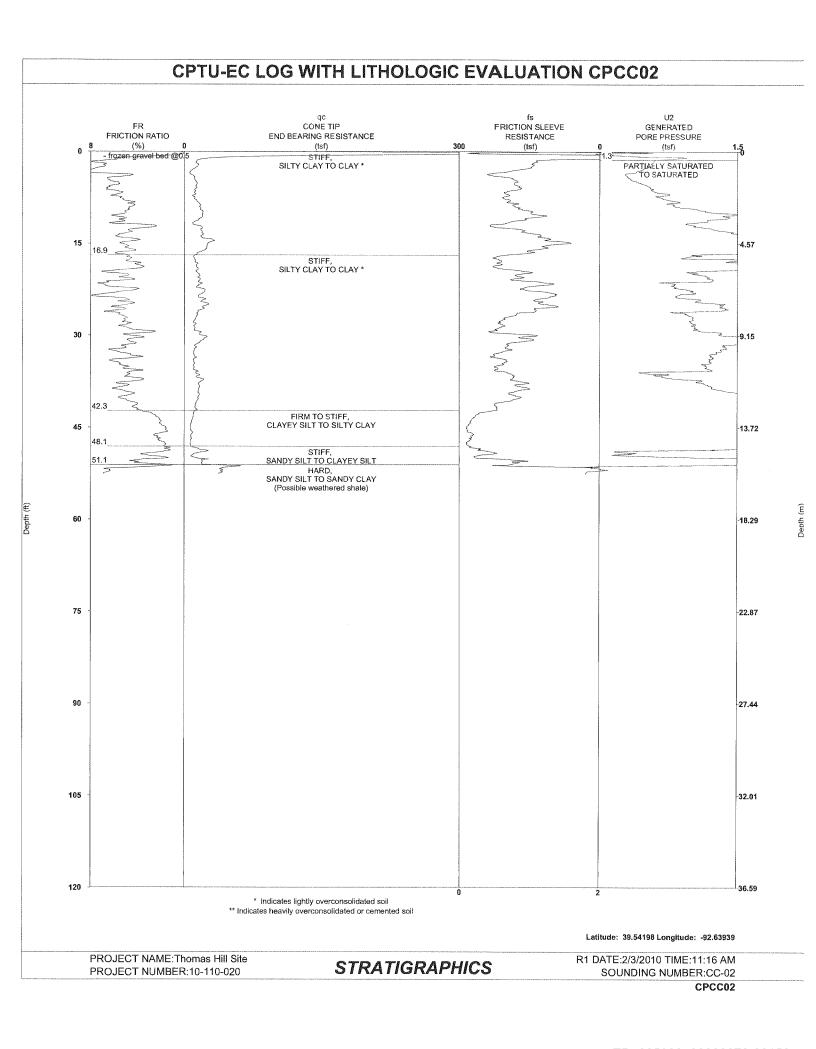


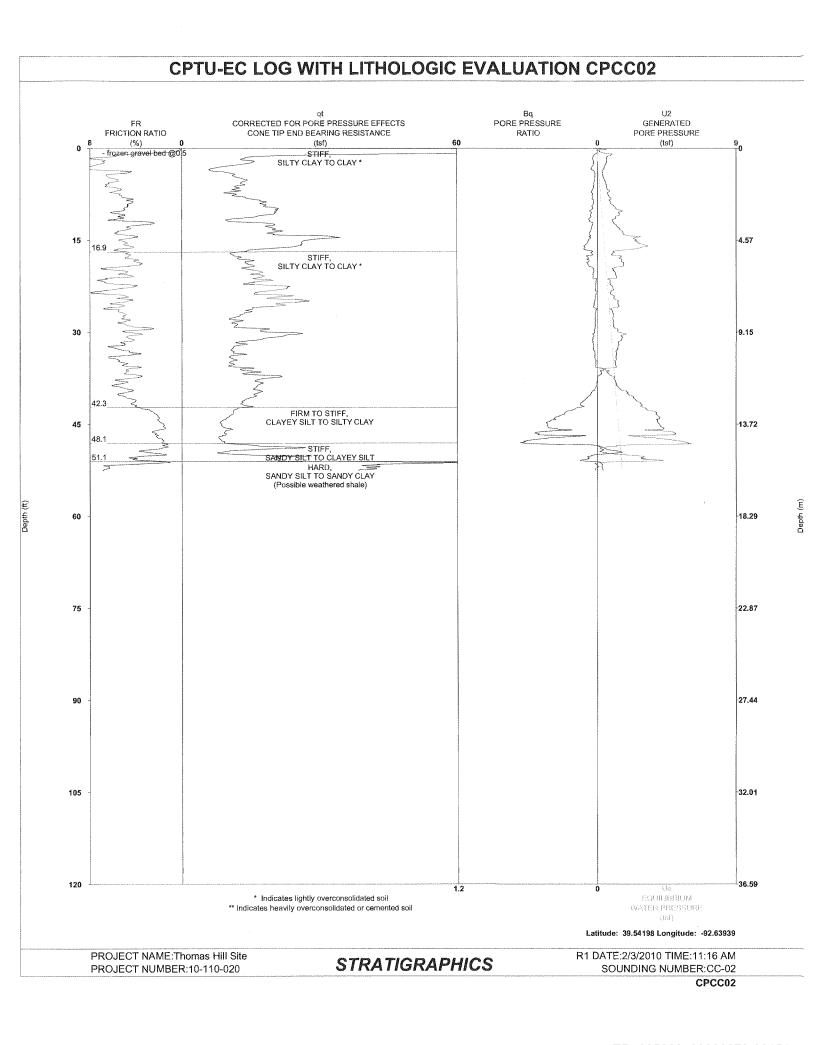


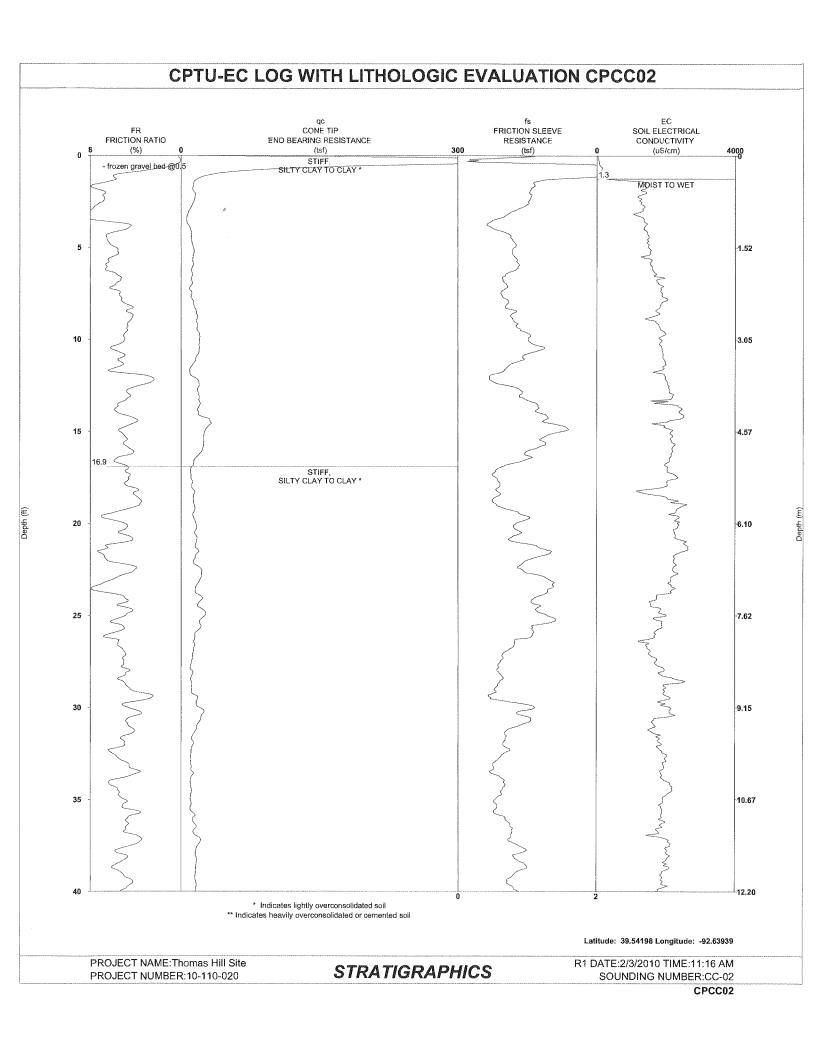


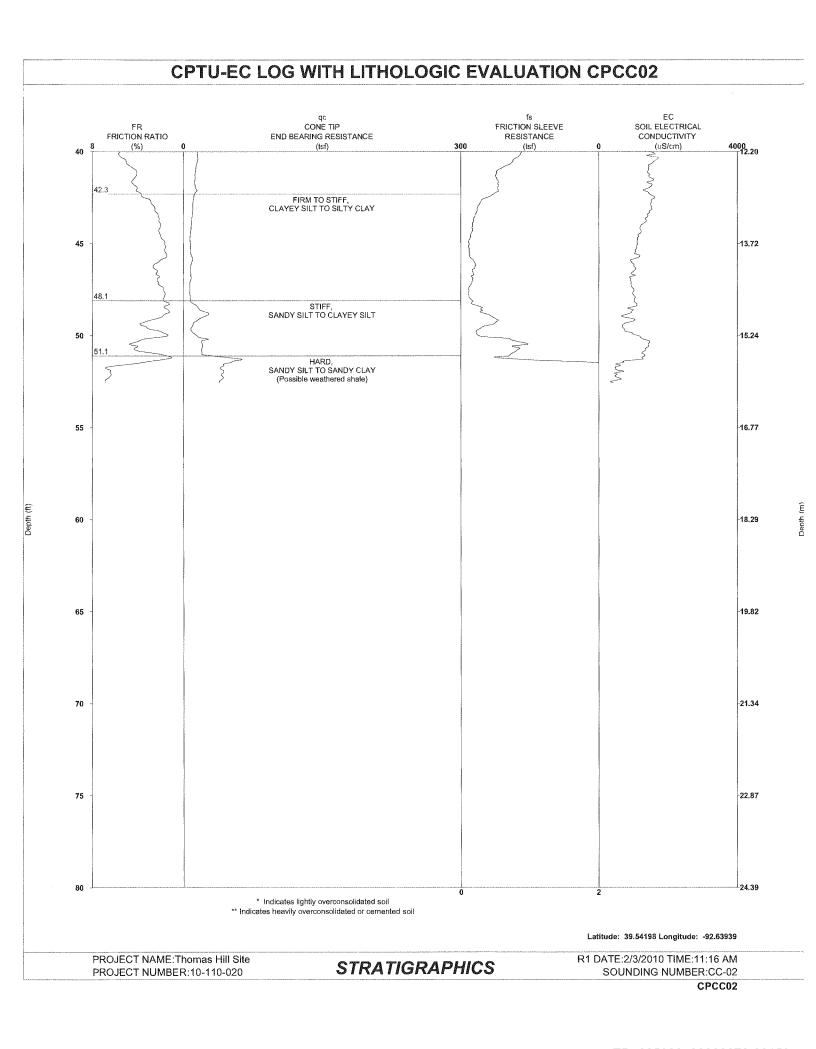




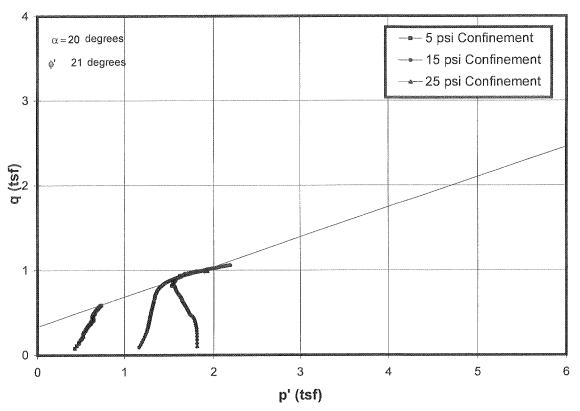


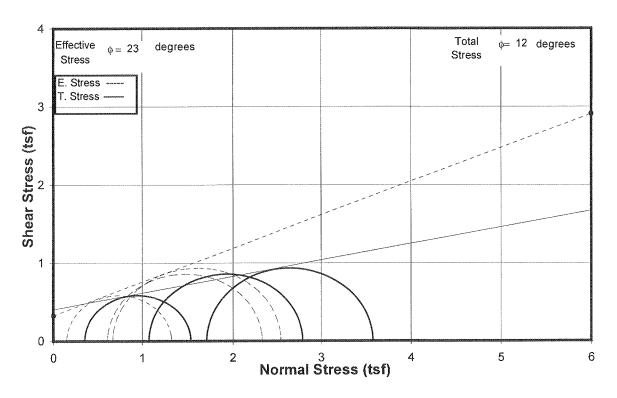










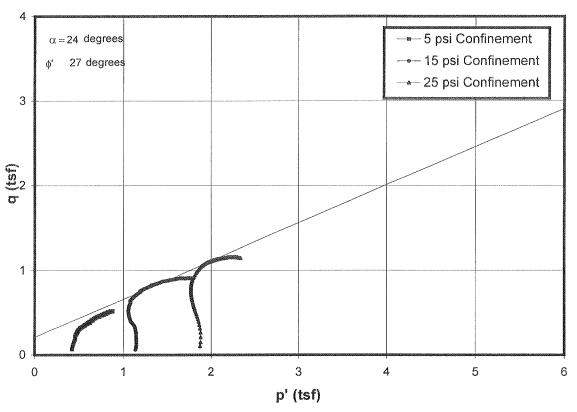


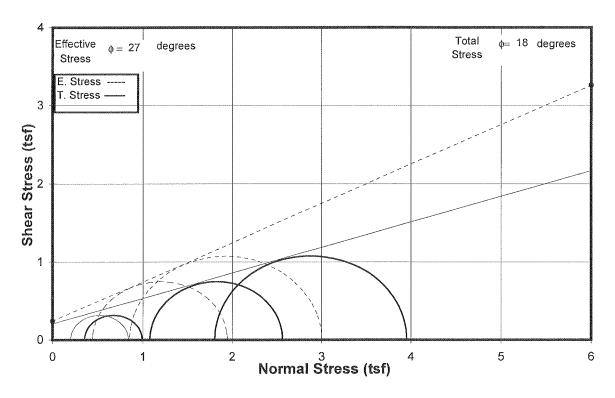
ASTM D 4767 Project No.: J011309.02 Boring: B-1

Sample: ST2, ST2, ST3 - Depth: 3, 3, 5

J011309.02-1_2CU.xls, Mohr, 12/12/2011





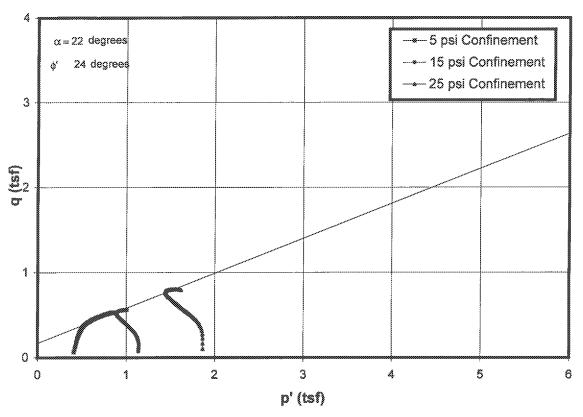


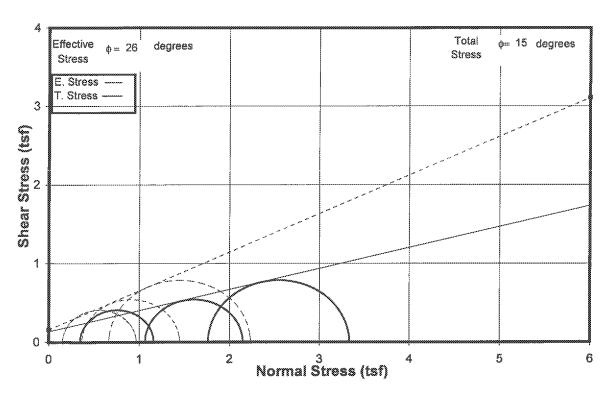
ASTM D 4767 Project No.: J011309.02 Boring: B-2

Sample: ST4 - Depth: 7

J011309.02-2_4CU.xls, Mohr, 12/12/2011



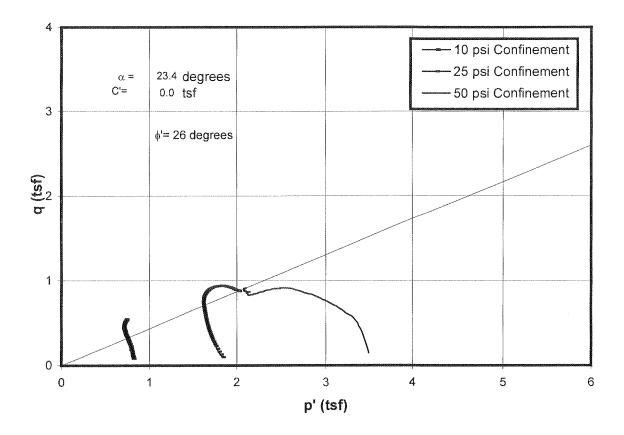


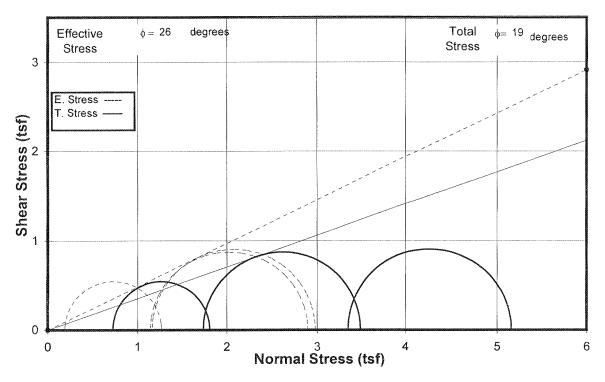


ASTM D 4767 Project No.: J011309.02 Boring: B-5, B-4, B-4

Sample: ST4,ST6,ST7 - Depth: 8, 13,16

J011309.02-4_6CU.xls, Mohr, 12/12/2011

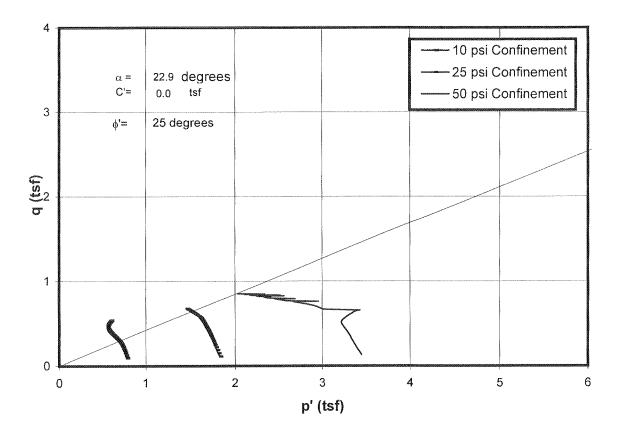


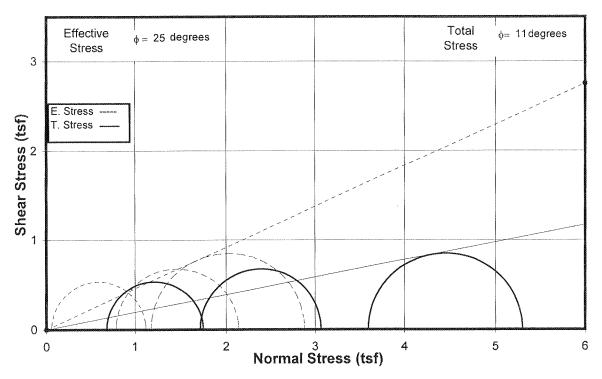


ASTM D 4767 Project No.: J011309.01

Boring: C-1

Sample: ST-6 - Depth: 13.5





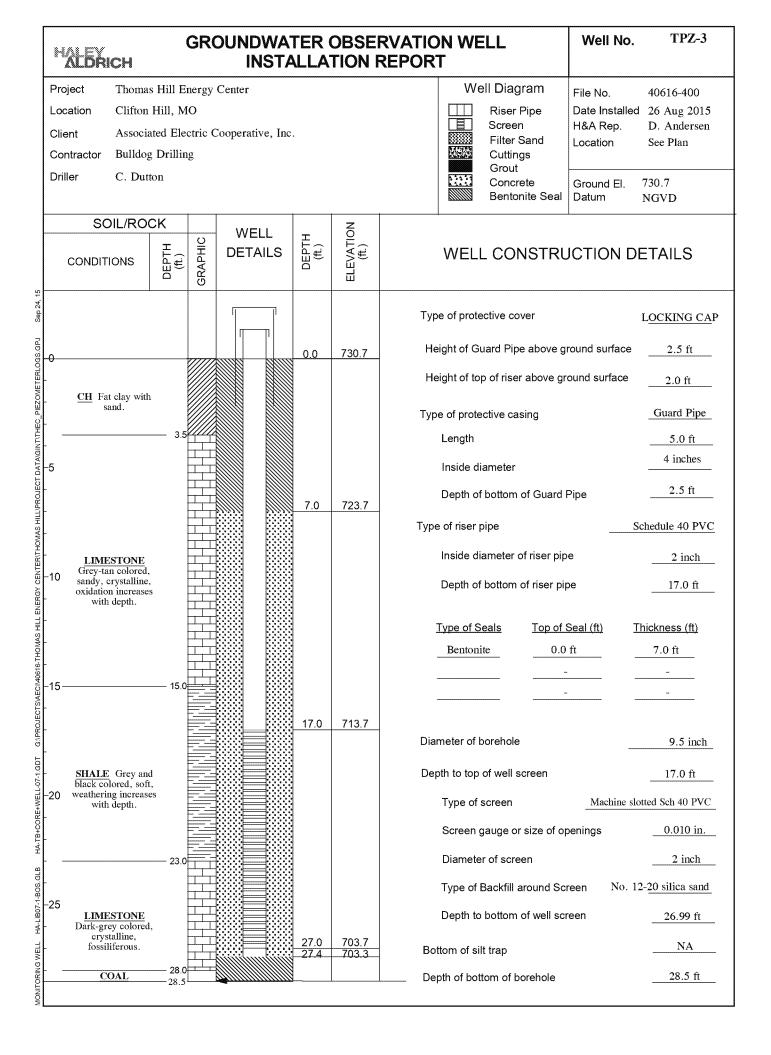
ASTM D 4767 Project No.: J011309.01

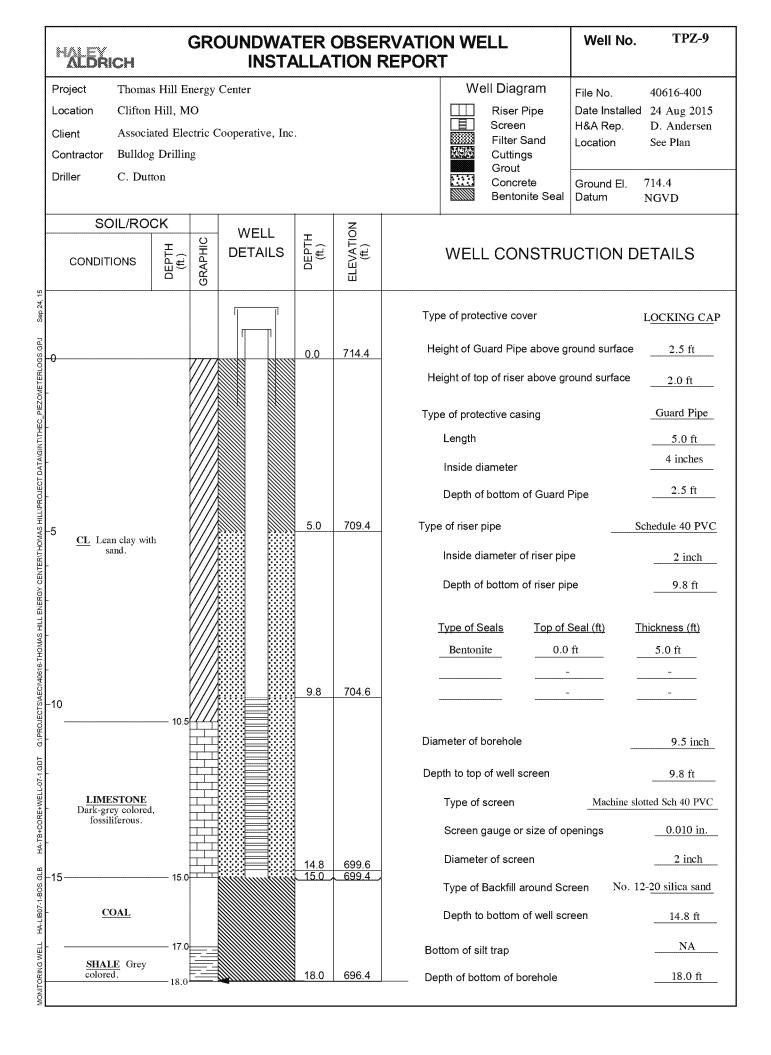
Boring: C-2

Sample: ST-8 - Depth: 20

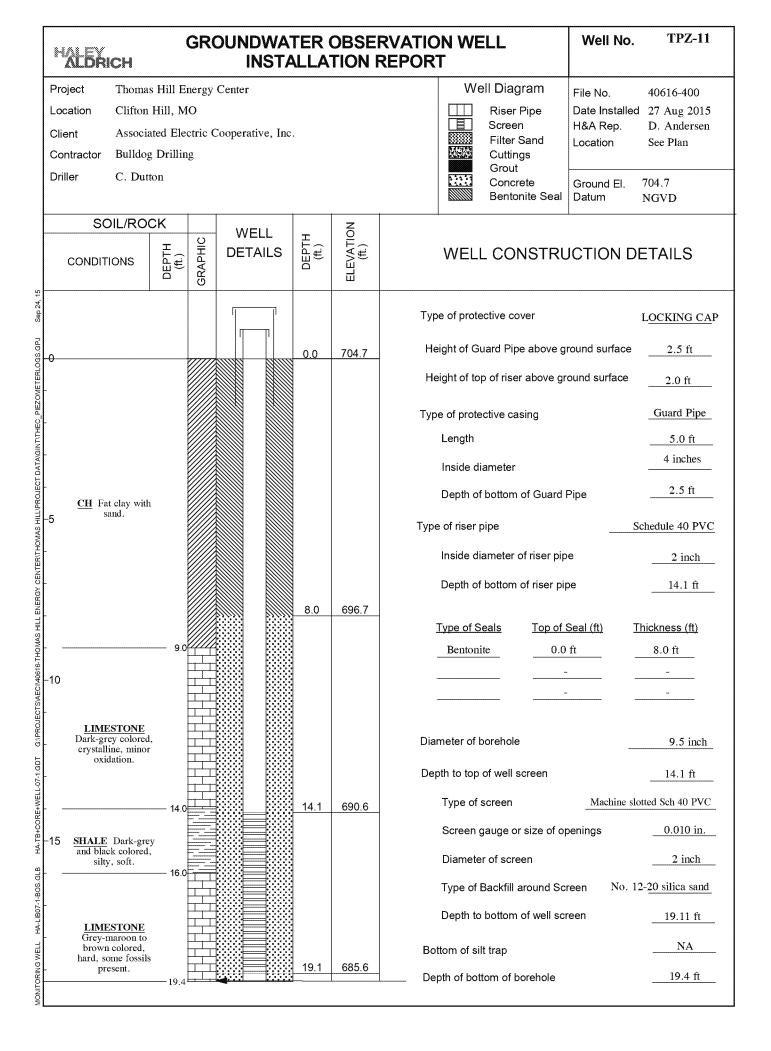
APPENDIX B

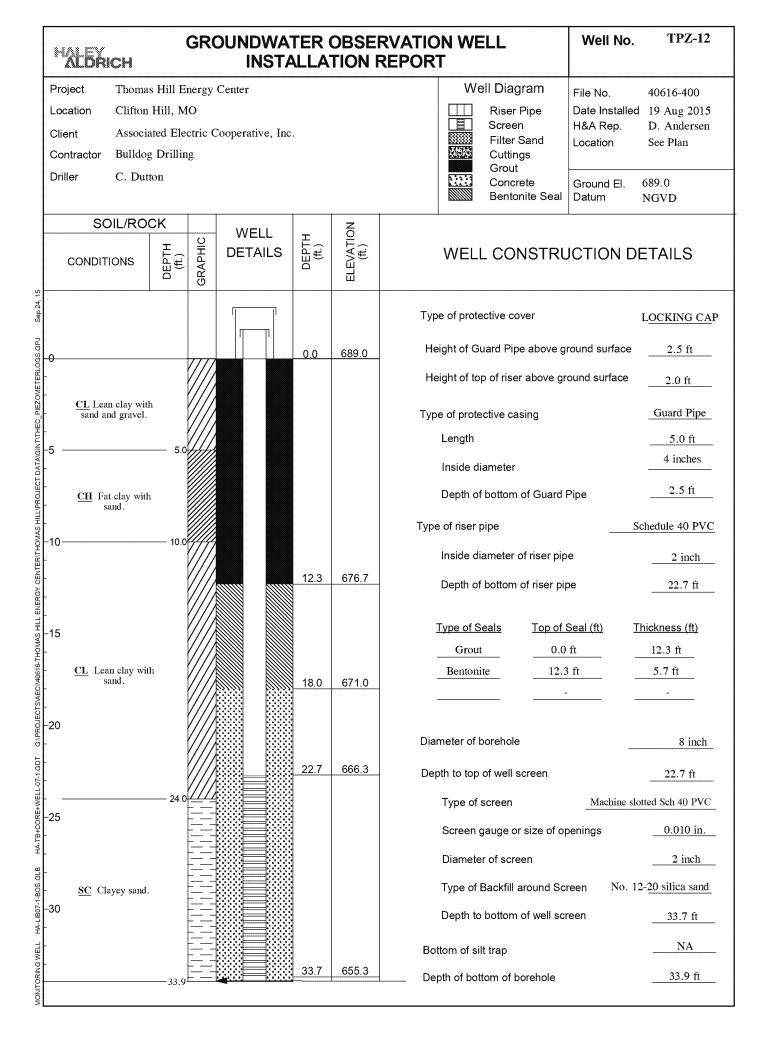
Current Subsurface Exploration Logs

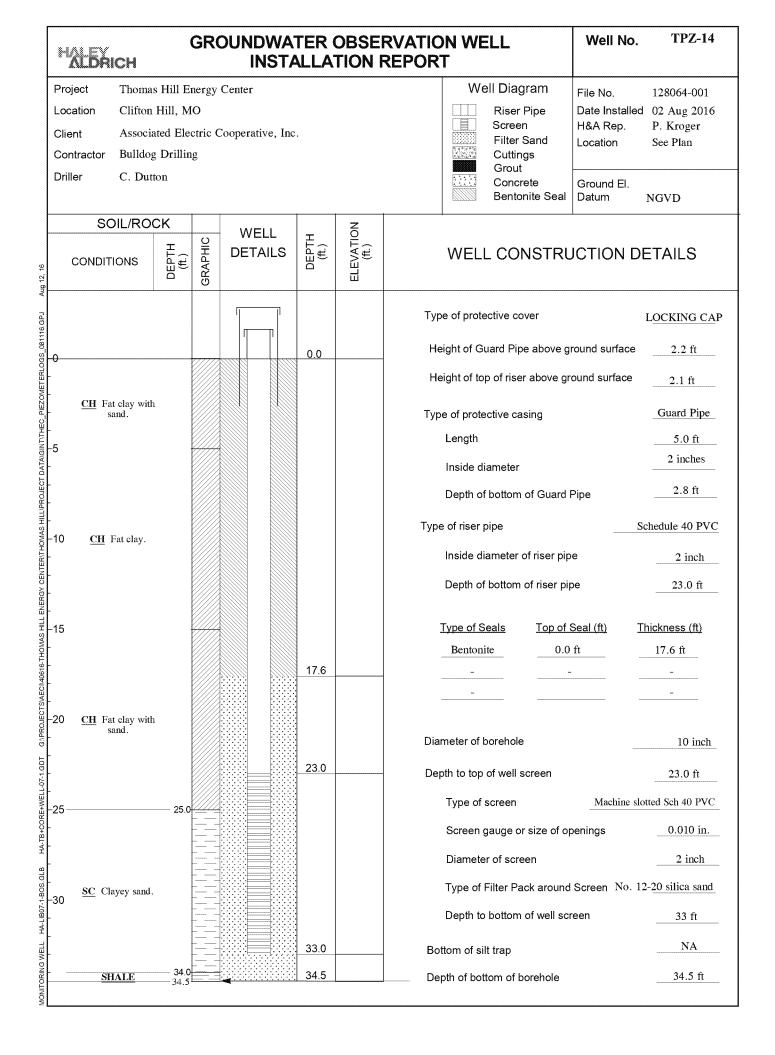




HALBAICH		IDWATER INSTALLA		VATION WELL EPORT	No. TPZ-10
Location Clifton Client Associa	s Hill Energy Center Hill, MO ated Electric Cooper g Drilling			Well Diagram Riser Pipe Screen Filter Sand Cuttings Grout Concrete Bentonite Seal File No. Date Inst Location Groth Ground E	D. Andersen See Plan
SOIL/ROO CONDITIONS	_ 0 W	ZELL DEPTH	ELEVATION (ft.)	WELL CONSTRUCTION	I DETAILS
0		0.0	702.7	Type of protective cover Height of Guard Pipe above ground surfa Height of top of riser above ground surfa Type of protective casing	ce 2.0 ft Guard Pipe
5		8.0	694.7	Length Inside diameter Depth of bottom of Guard Pipe Type of riser pipe Inside diameter of riser pipe	5.0 ft 4 inches 2.5 ft Schedule 40 PVC 2 inch
0 <u>CH</u> Fat clay with sand.		13.1	689.5	Depth of bottom of riser pipe Type of Seals Top of Seal (ft) Bentonite 0.0 ft	13.1 ft Thickness (ft) 8.0 ft
5				Diameter of borehole Depth to top of well screen Type of screen Screen gauge or size of openings Diameter of screen	9.5 inch 13.1 ft ne slotted Sch 40 PVC 0.010 in. 2 inch
GC Clayey gravel with sand. Rounder quartzose river gravel and sub-angular feldspathic gravel	20.0	23.1 23.4	679.5 679.3		23.14 ft NA
COAL	24.0 ///. 24.5	24.5	678.2	Depth of bottom of borehole	24.5 ft

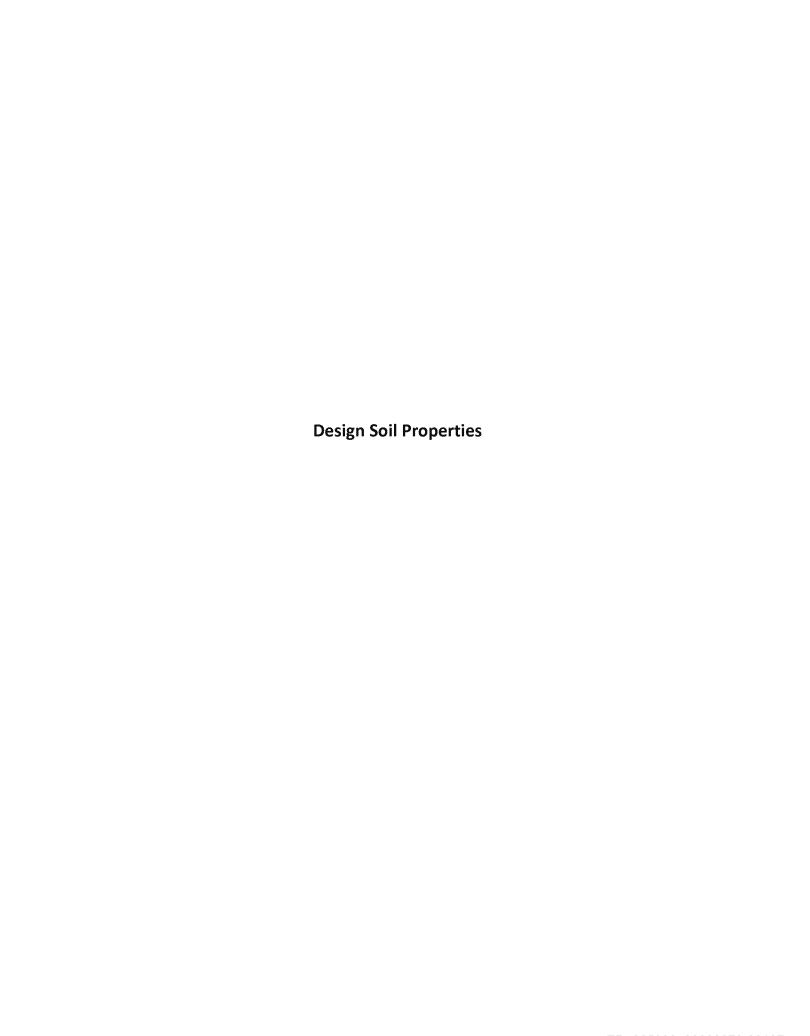






APPENDIX C

Analyses



SOIL PROPERTY CHARACTERIZATION - THOMAS HILL ENERGY CENTER CELL 001

		Total Unit	Weight, /-						Undrained She											Drained	Shear Strei	ngth			
Material ²	CPT avg	Laboratory Site-Wide Average	Historic Design ¹	Current Design	SI avg	PT avg-1σ	avg	PT avg - 1d	UU and CIU Trx (Site-Wide)	Historic Design ¹				urrent Design		SPT avg - 1σ		PT avg - 1σ		atory CIU /g	Trx (Site-V mi		4	toric sign ¹	Current Design
	Y	¥÷.	Ϋ́	71	S _u	S,	Su	Su	S ₀	c	ф	С	ф	S,	φ'		Ф	φ	'n.	ф'	c'	φ,	c'	φ'	c' •
Bottom Ash/Boiler Slag				90 pcf								-	-	750 psf											0 psf 30°
Embankment Fill		125 psf	129 pcf	125 pcf	638 psf	487 psf			$S_{u,min} = 600 \text{ psf}$ $S_u/\sigma_v^{\ \ i} = 0.360$	600 psf			-	S _{umin} = 600 psf S ₁ /a ₂ ' = 0.360					500 psf	25°	400 psf	23°	20 psf	23°	200 psf 25*
Clay Liner				125 pcf									-	1,300 psf											0 psf 28*
Clay		120 pcf	120 to 124 pcf	120 pcf	2507 psf	1156 psf			$S_{u,min} = 800 \text{ psf}$ $S_{u}/\sigma_{v}' = 0.253$	700 to 1000 psf			-	S _{umin} = 800 psf S ₀ /c ₀ = 0.253					260 psf	26°	0 psf	25°	0 psf	20° - 27°	125 psf 26°
Weathered Bedrock				130 pcf	6,000 psf	6000 psf	1531 psf	910 psf		1			38°												- 38"

Notes:

- 1. Based on historic analyses performed by Geotechnology, Inc.
- 2. In cases where historic design properties, SPT/CPT correlations, and laboratory test data do not exist, the current design properties for these materials have been conservatively estimated using typical published values and Haley & Aldrich's experience with similar materials.

HALEY & ALDRICH, INC.

\was\Common\Projects\40616\-XXX TH SF Assessment\Analyses_Design Soil Properties\[2016-1017-HAI-AECI Thomas Hill Design Soil Properties_F.xlsx]Cell 001

Printed: 17 October 2016

SOIL PROPERTY CHARACTERIZATION - THOMAS HILL ENERGY CENTER CELL 003

		Total Unit	Weight, /-						Undrained She	ar Strength, S.,									Drained '	Shear Stre	ngth			
Material ²	CPT avg 71	Laboratory Site-Wide Average Y:	Historic Design ¹ Y-	Current Design	avg S _u	avg - 1 σ		PT avg -1σ	UU and CIU Trx avg S _a	Historic Design ^t C	· ·		urrent eesign 5 ₂	<u> </u>	SPT avg 1σ		PT avg · 1σ φ'	····		Trx (Site-)	Vide) in: φ	4	toric sign ¹ •	Current Design c' • •
Bottom Ash/Boiler Slag/Fly Ash				90 pcf									750 psf											Opsf 30°
Embankment Fill		125 pcf	120 psf	125 pcf	865 psf	631 psf	1621 psf	1303 psf	$S_{u,min} = 600 \text{ psf}$ $S_u/\sigma_v' = 0.360$				S _{com} = 600 psf S _c /a _c = 0.360					500 psf	25°	400 psf	23°	100 psf	28°	200 psf 25°
Clay		120 pcf	120 pcf	120 pcf	2,612 psf	1,946 psf	1610 psf	1282 psf	$S_{u,min} = 800 \text{ psf}$ $S_u/\sigma_v' = 0.253$				S _{umin} = 800 pet S _u /r _s = 0.253					260 psf	26°	0 psf	25°	50 psf	27°	125 psf 26°
Weathered Bedrock				130 pcf	6,000 psf	6000 psf	1531 psf	910 psf				3.8°				100 000							M/ UK.	- 38"

Notes:

- 1. Based on historic analyses performed by Geotechnology, Inc.
- 2. In cases where historic design properties, SPT/CPT correlations, and laboratory test data do not exist, the current design properties for these materials have been conservatively estimated using typical published values and Haley & Aldrich's experience with similar materials.

HALEY & ALDRICH, INC.

Printed: 14 October 2016

SOIL PROPERTY CHARACTERIZATION - THOMAS HILL ENERGY CENTER CELL 004

		Total Unit V	Veight, γ ₇						Undrained She	ar Strength, S							Drained:	Shear Stre	ngth			
Material ²	CPT	Laboratory	Historic	Current	5	PT	C	PŢ	UU and CIU Trx	Historic		Current		SPT	CPT	Labo	atory CIU	Trx (Site-)	Nide)	His	toric	Current
Material	avg	Site-Wide Average	Design ¹	Design	avg	avg - 1σ	avg	avg - 1σ	avg	Design ¹		Design	avg	avg - 1σ	ivg avg-1	7 a	vg	m	in,	De	sign ¹	Design
	ÿ-	ÿ +	γ̈́τ	71	S _u	S _a	S	Su	5,	C	фсф	5,	φ.		0 0	ď	9	C	Φ,	c'	φ.	c' (9
Embankment Fill		125 pcf	129 pcf	125 pcf	648 psf	473 psf			$S_{u,min} = 600 \text{ psf}$ $S_{u}/\sigma_{v}' = 0.360$	700 psf		S _{prin} = 600 p S _p /s ₂ = 0.36				500 psf	25°	400 psf	23°	20 psf	23°	200 psf 25°
Clay		120 pcf	118 pcf	120 pcf	738 psf	N/A			$S_{u,min} = 800 \text{ psf}$ $S_u/\sigma_v' = 0.253$	400 to 900 psf		S _{0,00} = 800 p S ₀ /o ₅ = 0.25				260 psf	26°	0 psf	25°	0 psf	26°	125 psf 26'
Weathered Bedrock				130 pcf	6,000 psf	6,000 psf					38"											- 38'

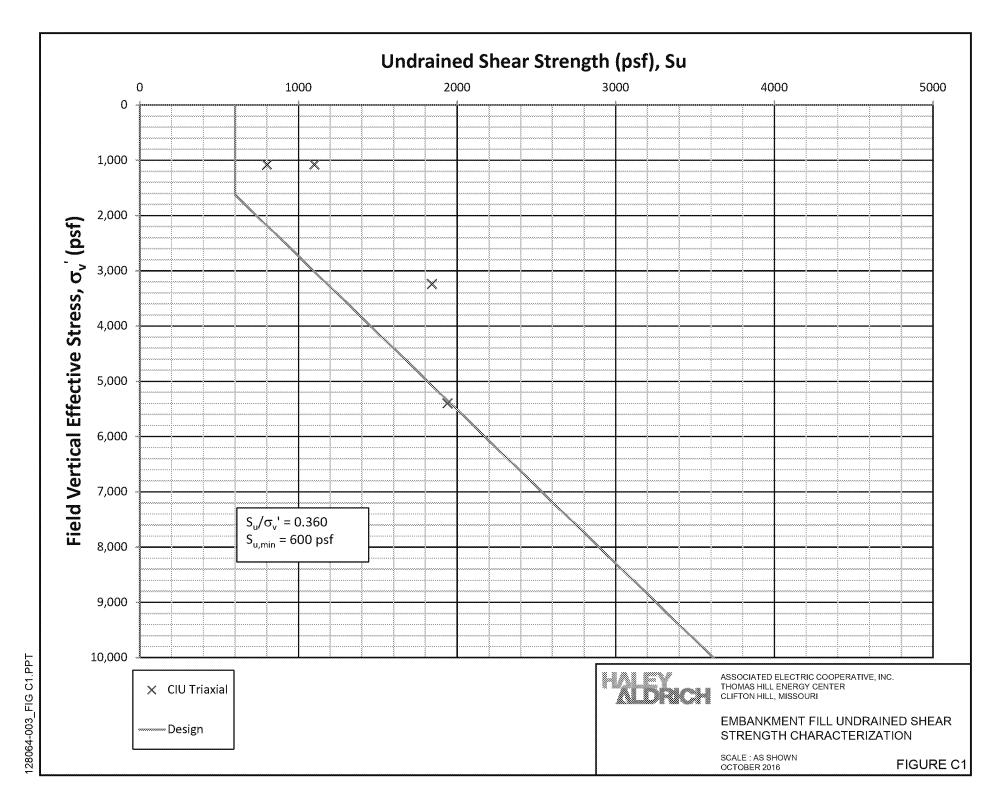
Notes

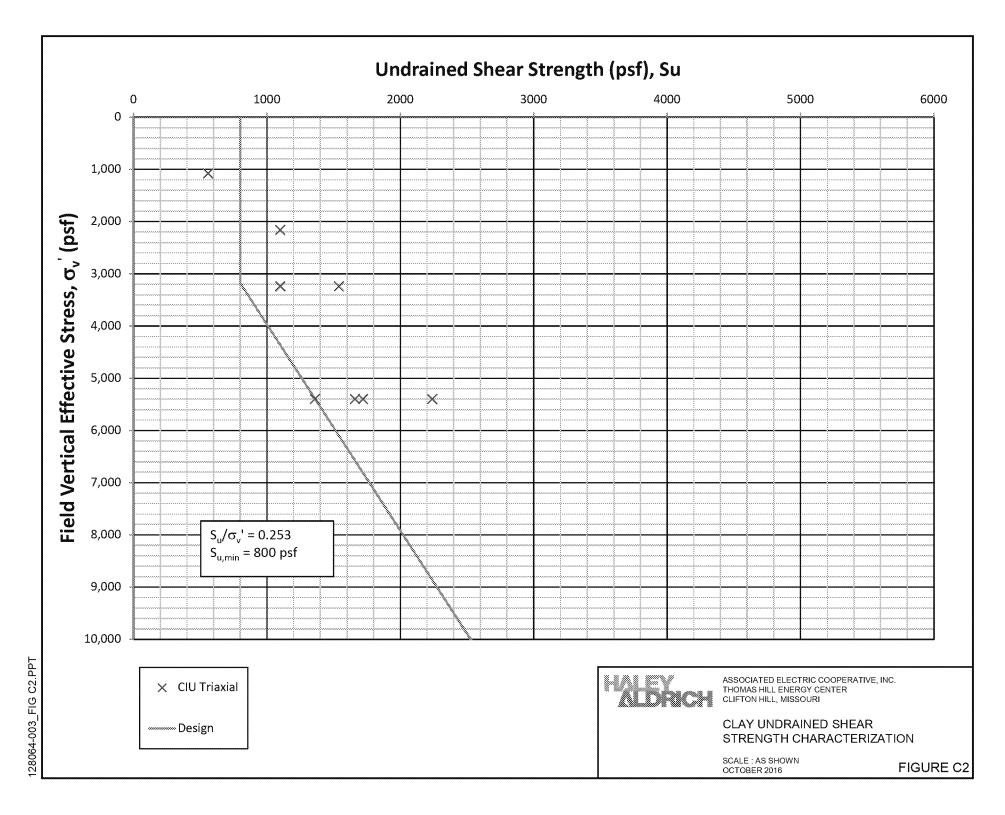
- 1. Based on historic analyses performed by Geotechnology, Inc.
- 2. In cases where historic design properties, SPT/CPT correlations, and laboratory test data do not exist, the current design properties for these materials have been conservatively estimated using typical published values and Haley & Aldrich's experience with similar materials.

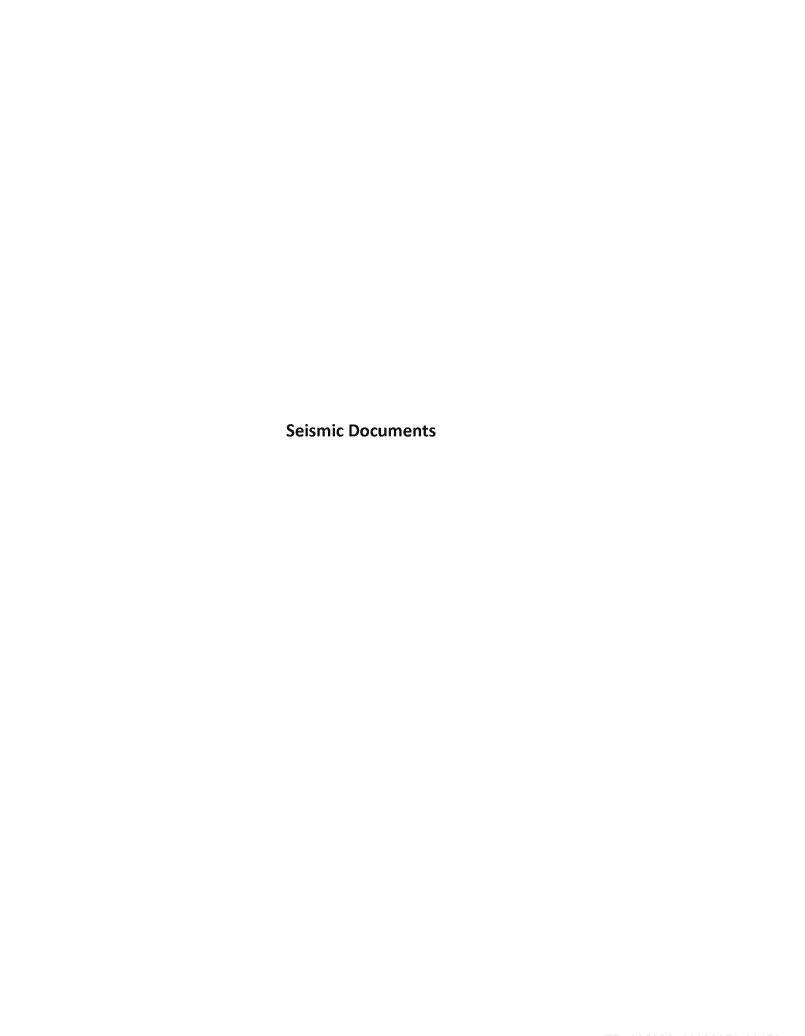
HALEY & ALDRICH, INC.

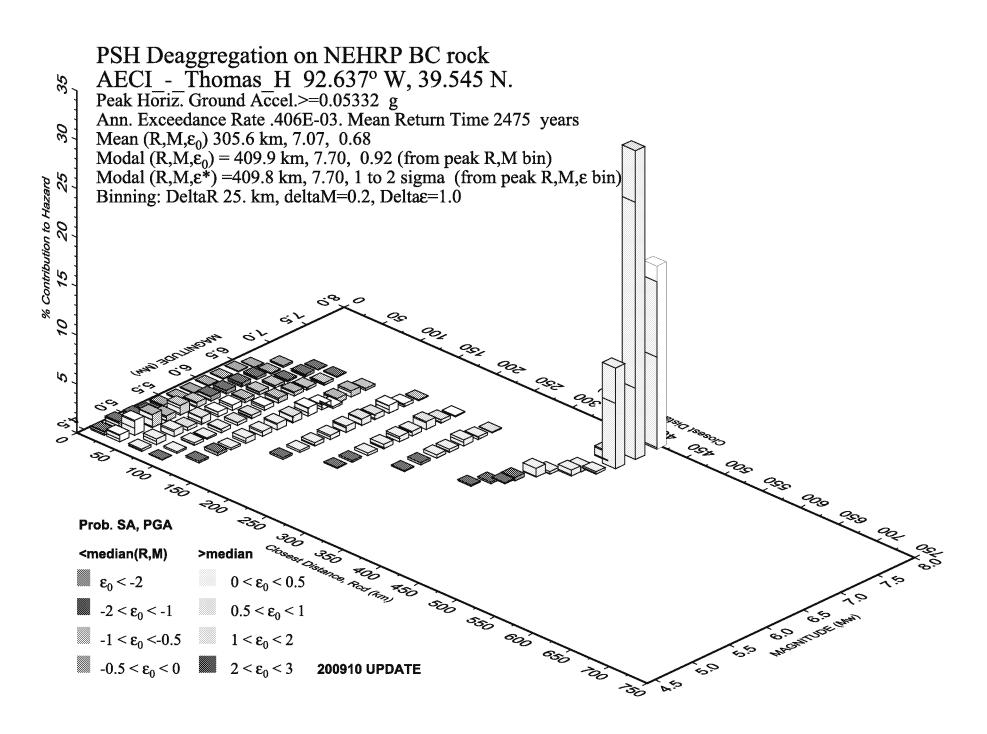
ED_005366_00000070-00170

Printed: 14 October 2016









ISGS Design Maps Detailed Report

ASCE 7-10 Standard (39.545°N, 92.637°W)

Site Class D - "Stiff Soil", Risk Category IV (e.g. essential facilities)

Section 11.4.1 — Mapped Acceleration Parameters

Note: Ground motion values provided below are for the direction of maximum horizontal spectral response acceleration. They have been converted from corresponding geometric mean ground motions computed by the USGS by applying factors of 1.1 (to obtain S_s) and 1.3 (to obtain S_i). Maps in the 2010 ASCE-7 Standard are provided for Site Class B. Adjustments for other Site Classes are made, as needed, in Section 11.4.3.

From <u>Figure 22-1</u> ^[1]	$S_s = 0.124 g$
From <u>Figure 22-2 [2]</u>	$S_i = 0.077 g$

Section 11.4.2 — Site Class

The authority having jurisdiction (not the USGS), site-specific geotechnical data, and/or the default has classified the site as Site Class D, based on the site soil properties in accordance with Chapter 20.

Table 20.3-1 Site Classification

Site Class	- Vs	\overline{N} or \overline{N}_{ch}	S.
A. Hard Rock	>5,000 ft/s	N/A	N/A
B. Rock	2,500 to 5,000 ft/s	N/A	N/A
C. Very dense soil and soft rock	1,200 to 2,500 ft/s	>50	>2,000 psf
D. Stiff Soil	600 to 1,200 ft/s	15 to 50	1,000 to 2,000 psf
E. Soft clay soil	<600 ft/s	<15	<1,000 psf

Any profile with more than 10 ft of soil having the characteristics:

- Plasticity index PI > 20,
- Moisture content $w \ge 40\%$, and
- Undrained shear strength \bar{s}_{\parallel} < 500 psf

F. Soils requiring site response analysis in accordance with Section See Section 20.3.1

For SI: $1ft/s = 0.3048 \text{ m/s} \ 1lb/ft^2 = 0.0479 \text{ kN/m}^2$

Section 11.8.3 — Additional Geotechnical Investigation Report Requirements for Seismic Design Categories D through ${\sf F}$

From <u>Figure 22-7</u>[4]

PGA FROM 2014 HAZARD MAP = 0.057 g

Equation (11.8-1):

 $PGA_{M} = F_{PGA}PGA = 1.600 \times 0.057 = 0.0912 g$

Table 11.8-1: Site Coefficient FPGA

Site	Mapped	MCE Geometri	c Mean Peak Gr	ound Accelerati	on, PGA
Class	PGA ≤ 0.10	PGA = 0.20	PGA = 0.30	PGA = 0.40	PGA ≥ 0.50
A	0.8	0.8	0.8	0.8	0.8
В	1.0	1.0	1.0	1.0	1.0
С	1.2	1.2	1.1	1.0	1.0
D	1.6	1.4	1.2	1.1	1.0
Е	2.5	1.7	1.2	0.9	0.9
F		See Se	ction 11.4.7 of	ASCE 7	

Note: Use straight-line interpolation for intermediate values of PGA

For Site Class = D and PGA = 0.057 g, F_{PGA} = 1.600

Section 21.2.1.1 — Method 1 (from Chapter 21 – Site-Specific Ground Motion Procedures for Seismic Design)

From <u>Figure 22-17 [5]</u>	$C_{RS} = 0.866$
From <u>Figure 22-18</u> [6]	$C_{R1} = 0.838$



LEGEND

- Cyclic Stress Ratio
- Cyclic Resistance Ratio

Uncorrected N-Value

(blows/foot)

Factor of Safety

KI HIS THE SACE OF THE SACE ASSESSED AND ASSESSED AS A SACE OF THE SACE ASSESSED AS A SACE OF THE SACE of politic advancement. Effective stresses used in XSB eduations pased on debit to

Factor of Safety

CSR & CRR



Uncorrected N-Value

(blows/foot)

Thomas Hill Energy Center Liquefaction Analysis

Figure 1991 Figure 1991 Evaluation

B-1 & B-2 2 KNN-Vear Return Period 2,000-Todi Notalii Follod

FIGURE NO.

Factor of Safety

October 2016

CSR & CRR

LEGEND

- Cyclic Stress Ratio
- Cyclic Resistance Ratio
- Factor of Safety

MOYETE Stiess Batin (MSB). MARIE BESISTATIVE BATIN (MBB) ATTALE ARTER (MSB). MARIE STIESS BATIN Earthquakes" [ldriss & Boulanger, 2008].

- of politic advancement. Effective stresses used in XSB eduations pased on debit to



Thomas Hill Energy Center Liquefaction Analysis

Figure 1904 Figure 1114 Evaluation

B-3 & B-4 2 KNN-Vaar Return Period 2,000-1 car return r criva

FIGURE NO.

October 2016

Depth (ft) 15 20 25 0.08 0.16 0.24 4 8 12 16 0 1 2 3 4 Uncorrected N-Value CSR & CRR Factor of Safety (blows/foot) Thomas Hill Energy Center Liquefaction Analysis WAYER STIESS DATIO 1/889/ AVAILA DESISTATIVE DATIO 1/801 ATTACH A LEGEND Earthquakes" [Idriss & Boulanger, 2008]. Cyclic Stress Ratio שוווו שוו שוו השנה לה וווקשה ווה השנפח השופוריקושה מואוישוש לופא ווו השנה בשלכשוול שאוריקוש וא Cyclic Resistance Ratio of polling advancement. Effective stresses used in XSB eduations pased on debit to Figure action Triggering Evaluation Factor of Safety PANO-Year Return Period FIGURE NO. October 2016

\was\Common\Projects\40616\-XXXTH SF Assessment\Analyses\Liquefaction\SPT_Liquefaction Triggering _2500 B-5.grf

0

5

10

0

Boring B-5

FIGURE NO.

October 2016



